



Finance Department
Central Services Division

INVITATION TO BID RAILS TO TRAILS ROCK RIVER SHORELINE REPAIR 526-PW-044

Name of Bidding Firm:							
Address:		City:		State:		Zip:	
Phone:		Fax:					
Email:				Local Business Adjustment Requested: NA			

Bid Opening Time and Date: FRIDAY JUNE 5, 2026 @ 11:00 A.M.

Bids will be accepted until the specified opening time and date. Any bidder attempting to deliver after the opening time and date will be refused.

Mandatory Pre-Bid Meeting:	YES	<p>NOTES: A MANDATORY pre-bid meeting will be held at the east end of the Rails to Trails bridge on the multi-use path, located adjacent to 505 Water St., on Friday May 29, 2026 at 1:00 p.m.</p> <p>Last day for questions is June 1, 2026 at 12:00 p.m.</p> <p>Answers to questions will be posted on the City's website in the form of an addendum no later than June 2, 2026 at 5:00 p.m.</p>
Illinois Preference Act:	YES	
Bid Deposit/Bid Bond:	YES	
Prevailing Wage:	YES	
Performance Bond:	YES	
Apprenticeship:	YES	
IDOT Prequalified:	YES	

<p>PLEASE MARK THE RETURN SEALED ENVELOPE:</p> <ol style="list-style-type: none"> 1. Bid Opening Date and Time 2. Title of Job 3. Bid Number 	<p>RETURN BIDS TO:</p> <p>City Hall 425 East State Street, Rockford, Illinois 61104 Attn: Central Services Manager 4th Floor</p>
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BIDS SUBMITTED BY FASCIMILE OR E-MAIL WILL NOT BE ACCEPTED

BID QUESTIONS:
Questions regarding this Bid/RFP shall be addressed to: Vishruti Patel - vishruti.patel@rockfordil.gov

BID RESULTS:
Bid results may be obtained by telephone at 779-348-7164 or at www.rockfordil.gov.

City of Rockford, Illinois USA
425 East State Street
Rockford, Illinois 61104-1068 USA
779-348-7164-voice 800-380-7175-fax
www.rockfordil.gov

PLEASE DO NOT USE STAPLES TO BIND THE BID RESPONSES.

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Section 1
Bid Instructions
and
Contract
Conditions

BIDDING AND CONTRACT GENERAL CONDITIONS

The following shall be made part of the terms and conditions of the contract (“Contract”) entered into between the City of Rockford (City) and Vendor (also referred to herein as “bidder,” “awarded vendor,” and “contractor”) if awarded the contract. Vendor’s submission of a bid or proposal constitutes acceptance of these Conditions:

1. Pricing. The bidder shall insert price for all bid items and all other information requested in these specifications. The price shall be the *full, delivered cost* to the City of Rockford with no additions.

2. Total versus "Per Item" Awards. The City generally awards contracts on a lump sum basis to the lowest responsible and responsive bidder. However, the City may choose to award on a per item basis. Therefore, each bidder must submit pricing for each item indicated on the bid forms. Bidders must clearly indicate which items are bid and which are not.

3. Delivery of Merchandise. Delivery terms will always be Freight-On-Board (FOB) Destination. The City of Rockford accepts no responsibility for the condition of any merchandise purchased prior to acceptance by City Personnel. Failure to comply with this requirement may constitute rejection of the bid.

4. Acceptance of Merchandise at Delivery. The City of Rockford reserves the right to refuse acceptance of delivered merchandise that differs substantially from the specifications in the invitation to bid or as otherwise permitted by Illinois law.

5. Prompt Payment Act. The City of Rockford intends to comply with the Local Government Prompt Payment Act (50 ILCS 505/1 *et seq.*). The awarded vendor will be paid upon submission of invoices to: City of Rockford Accounts Payable, 425 East State Street, Rockford, IL 61104.

6. W-9 Request for Taxpayer Identification Number. Prior to issuance of a purchase order, the successful bidder will be required to supply the City of Rockford with a federal W-9 Request for Taxpayer Identification Number and Certification. Failure to comply with this requirement will be considered a violation of contract terms, for which the City may bar Vendor from bidding for a period of up to three (3) years.

7. Legal Compliance. Vendor will at all times observe and comply, and will cause its subcontractors to observe and comply, with all applicable federal, state, and local laws, ordinances, rules, regulations, and executive orders, now existing or hereinafter in effect, which may in any manner affect the performance of this contract. Provisions required by law, ordinance, rules, regulations, or executive order to be inserted in this contract will be deemed inserted, whether or not they appear in it. In no event will failure to insert such required provisions prevent the enforcement of applicable law. Lack of knowledge of applicable law on the part of Vendor will in no way be cause for release of this obligation. If the City becomes aware of violation of any

laws, ordinances, rules and regulations on the part of Vendor or subcontractor, it reserves the right to reject any bid, cancel any contract, and pursue any other legal remedies deemed necessary.

Vendor must pay all required taxes and obtain all licenses, certificates, or other authorization required in connection with the performance of its obligation hereunder, and Vendor must require all subcontractors to also do so. Failure to do so may result in rejection of Vendor's bid, cancellation of an award to Vendor, or termination of this contract with Vendor.

By entering into a contract with the City, Vendor certifies that to the best of its knowledge, its principals and any subcontractor used in the performance of this contract meet City requirements and have not violated any City ordinance, code, state, federal, or local rules or regulations, and have not been subject to any debarment, suspension, or other disciplinary action by any government agency. Additionally, if at any time Vendor becomes aware of such information, it must immediately disclose it to the City.

8. Legal Requirements. This contract sets forth the entire final agreement between the City of Rockford and the bidder and shall govern the respective duties and obligations of the parties. The validity of this contract, and any disputes arising from the contract, shall be governed by the laws of the State of Illinois. Any litigation under this agreement shall be resolved in the trial courts of Winnebago County, State of Illinois. Should a provision of this contract be declared invalid by a court of competent jurisdiction, it shall not affect the validity of the remaining provisions of the contract.

9. Safety. Prevention of accidents at any project is the sole responsibility of Vendor and its subcontractors, agents, and employees. Vendor, its subcontractors, agents, and employees shall be fully and solely responsible for the safety of this project. Vendor shall retain exclusive and direct control over the acts or omissions of its subcontractors, agents and employees, and any other persons performing portions of the work and not directly employed by the awarded vendor.

10. Criminal Background Check. When necessary for the protection of citizens and/or City staff, the City may require an awarded vendor to conduct a criminal background check on all of its personnel who will have direct contact with City facilities or residents/businesses served under this contract. Personnel are defined as representatives, agents, employees, subcontractors, or anyone else who will be utilized to fulfill obligations under this contract. Criminal background checks, at a minimum, shall consist of a county level felony and misdemeanor check for each county in which the personnel resided in the last ten (10) years. The awarded vendor shall notify the City of any of its personnel who have been convicted of a felony or misdemeanor prior to commencing any work under this contract. At the City's discretion, personnel with any felony or misdemeanor convictions which raise a concern about the safety of building, property, or City staff/resident's personal security, or is otherwise job related (as determined by the City) shall not perform work under this contract. Once given notice that a background check(s) will be required, it must be completed within fourteen (14) calendar days so as to not delay work to be completed.

11. Control of the Work. With respect to Vendor's own work, the City shall not have contractual, operational, and/or supervisory control over and/or charge of the work and shall not be responsible for construction means, methods, techniques, sequences, procedures, and programs in connection with the awarded vendor's work, since these are solely the vendor's responsibility under the agreement. The City shall not be responsible for the awarded vendor's failure to carry out the work in accordance with the agreement's terms and conditions. The City shall not have control over and/or charge of acts or omissions of the awarded vendor, its subcontractors, and/or their agents or employees, or any other person performing portions of the work not directly employed by the awarded vendor. The awarded vendor shall be considered to be an "independent contractor" pursuant to Illinois law.

12. Bid Bond. When required on the cover sheet, a bid bond for not less than five (5) percent of the bid amount must accompany all bids as a guarantee that if the bid is accepted, the bidder will execute and file the proper contract. A bank cashier's check, bank draft, or certified check equal to the amount specified is acceptable in lieu of a bid bond. Bid bonds of the two lowest firms will be retained until the contract is awarded.

13. Performance and Payment Bond. When required by the specifications herein, the awarded vendor shall furnish a performance and payment bond equal to the amount of the contract, acceptable to the City, within fourteen (14) calendar days after notification of contract award. Failure to furnish the required bond within the time specified may be cause for rejection of the bid and any bid deposit may be retained by the City as liquidated damages and not as a penalty.

14. Taxes. No charge will be allowed for taxes from which the City of Rockford, Illinois is exempt. The City of Rockford, Illinois is not liable for the Illinois Retailers' Occupation Tax, the Service Occupation Tax or the Service Use Tax. The City is exempt from the Federal Excise and Transportation Tax.

15. Withdrawal of Bids. Firms may withdraw or cancel their bids at any time prior to the advertised invitation to bid opening. After the opening time, no bid shall be withdrawn or cancelled. All bids shall be firm and valid for a period of sixty (60) calendar days. If a bidder to whom a contract is awarded refuses to accept the award, the City may, at its discretion, suspend the bidder for a period of time up to three (3) years.

16. Subcontracting. The bidder shall provide information for all subcontractors and leased operators of equipment in the required Subcontractor Utilization Form. Information contained in this form must be complete and accurate, to the best of Vendor's estimating ability at the time of bid, and will be relied upon by the City in projecting Minority and Women Business Enterprise subcontractor utilization for awarded City contracts. Any changes in subcontractor utilization from that which is provided on the Subcontractor Utilization Form must be made immediately in writing by submitting a new form to the City's Equal Opportunity Compliance Officer and the City Project Manager or designee. When subcontractors are used, Vendor must pay subcontractors

for satisfactory performance no later than thirty (30) days after receipt of each payment from the City.

17. Termination of Contract. The City of Rockford reserves the right to terminate the contract in its entirety or in portions, upon written notice to Vendor for convenience, if the Rockford City Council does not appropriate sufficient funds to complete the contract, or in the event of default by Vendor. Default is defined as failure of the awarded vendor to perform any of the provisions of this contract or failure to make sufficient progress so as to endanger performance of this contract in accordance with its terms. The City's written notice of termination shall specify the effective date of termination. Vendor shall discontinue providing goods or services after such effective date, and the City shall not be liable for goods or services provided by Vendor thereafter. In the event of default, the City may purchase the product(s) and/or service(s) from other sources and hold the defaulting company responsible for any excess costs occasioned thereby. The City may require payment of liquidated damages for non-performance. Should default be due to failure to perform or because of a request for a price increase, the City reserves the right to remove the firm from the City's bidder list and place the firm on the City's debarred list for a period of up to three (3) years.

18. Late Bids and Proposals. Regardless of cause, late bids and proposals will not be accepted and will automatically be disqualified from further consideration. It shall be solely Vendor's risk to ensure delivery at the designated office by the designated time. Late bids and proposals will not be opened and may be returned to Vendor at their request and expense.

19. Equal Employment Opportunity. Vendor shall comply with all applicable equal employment opportunity statutes, regulations, and ordinances including but not limited to the City's Equal Opportunity Employment (EOE) Ordinance (City of Rockford Code of Ordinances, Chapter 11, Article IV); the Illinois Human Rights Act (775 ILCS 5/101 *et seq.*), the Illinois Department of Human Rights (IDHR) Rules and Regulations for Government Contracts (44 Ill. Admin. Code, Chapter X, Section 750), the Discrimination in Public Contracts Act (775 ILCS 10/0.01 *et seq.*), Title VII of the Civil Rights Act of 1964, as amended (§ 7, 42 U.S.C. § 2000e *et seq.*); the Age Discrimination in Employment Act of 1967, as amended (29 USC §.621 *et seq.*); Title I of the Americans with Disabilities Act of 1990, as amended (42 USC 12111-12117); the Equal Pay Act of 1963, as amended; and the Uniformed Services Employment and Reemployment Rights Act of 1994, as amended (38 USC §§ 4301-4335).

Pursuant to IDHR's Rules and Regulations and the City's EOE Ordinance, the awarded vendor shall comply with the following terms and conditions during the performance of this contract:

- a) Vendor will not discriminate against any employee, including apprentices, or applicant for employment, including training programs, because of race, color religion, sex, sexual orientation, gender identity, marital status, order of protection status, status as a survivor of domestic violence or human trafficking, national origin or ancestry, citizenship status, age, physical or mental disability unrelated to ability, military status, or unfavorable discharge from military service; and, further, that Vendor will examine all job

classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any underutilization.

- b) If Vendor hires additional employees in order to perform this contract or any portion of this contract, Vendor will determine the availability (in accordance with Section 750) of minorities and women in the areas from which Vendor may reasonably recruit and will hire for each job classification for which employees are hired in a way that minorities and women are not underutilized.
- c) In all solicitations and advertisements for employees placed by Vendor on its behalf, Vendor will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, sexual orientation, gender identity, marital status, order of protection status, status as a survivor of domestic violence or human trafficking, national origin or ancestry, citizenship status, age, physical or mental disability unrelated to ability, military status, or an unfavorable discharge from military service.
- d) Vendor will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining or other agreement or understanding, a notice advising the labor organization or representative of the Vendor's obligations under the Illinois Human Rights Act and Section 750 of the Illinois Department of Human Rights Rules and Regulations. If any labor organization or representative fails or refuses to cooperate with the Vendor in its efforts to comply with the Act and Part 750, Vendor will promptly notify the Illinois Department of Human Rights and the City of Rockford and will recruit employees from other sources when necessary to fulfill its obligations under the contract.
- e) Vendor will submit reports as required by Part 750, furnish all relevant information that may be requested by the Illinois Department of Human Rights or the City of Rockford, and in all respects comply with the Act, the Department's Rules and Regulations, and the City of Rockford's Equal Opportunity Employment Ordinance. *Vendor's failure to complete the City's required Equal Employment Opportunity Certifications or Vendor and Subcontractor Workforce Data Forms will result in disqualification of Vendor's bid or proposal.*
- f) Vendor will permit access to all relevant books, records, accounts, and work sites by personnel of the City of Rockford and the Illinois Department of Human Rights for purposes of investigation to ascertain compliance with the Act, IDHR's Rules and Regulations, and City of Rockford EOE Ordinance.
- g) Vendor will include verbatim or by reference the Equal Employment Opportunity Clause (44 Ill. Admin. Code, Chapter X, Appendix A) in every subcontract awarded under which any portion of the contract obligations are undertaken or assumed, so that the provisions will be binding upon the subcontractor. In the same manner as with other provisions of this contract, Vendor will be liable for compliance with applicable provisions of this clause by subcontractors. Further, Vendor will promptly notify the City of Rockford and

the Illinois Department of Human Rights if any subcontractor fails or refuses to comply with the provisions of sections (a) through (f) of this paragraph. Vendor shall not utilize any subcontractor declared by the Illinois Human Rights Commission to be ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

20. Restrictive or Ambiguous Specifications. It is the responsibility of the bidding firm to review the invitation to bid specifications and to notify the Central Services Manager if the specifications are formulated in a manner that would unnecessarily restrict competition. Any such protest or question regarding the specifications or invitation to bid procedures must be received by the Central Services Division not less than seventy-two hours prior to the time set for the opening. In the event a contract term is not defined within the contract document, the term will be given its ordinary dictionary definition.

21. Bid Protest. Firms wishing to protest bids or awards shall notify the Central Services Manager in writing within seven (7) days after the invitation to bid opening. The notification should include the bid number, the name of the firm protesting, and the reason why the firm is protesting the bid. The Central Services Manager will respond to the protest within seven (7) calendar days. A successful protest may result in the reversal of a previously awarded contract.

22. Disputes. In case of disputes as to whether or not an item or service quoted or delivered meets specifications, the decision of the Central Services Manager or authorized representative shall be final and binding to all parties. The Central Services Manager has the right to waive technicalities as they see fit. The Central Services Manager may request a written recommendation from the head of the department using the equipment or service being procured.

23. Exceptions. Any deviations from these specifications shall be noted and submitted with the bid. Failure to address deviations from specifications may result in bid rejection.

24. Acceptance/Rejection of Bids. The City of Rockford reserves the right to accept or reject any or all bids or proposals at any time, for any reason, including but not limited to the Rockford City Council not appropriating sufficient funds to purchase equipment or complete the contract. The City may make awards in any manner deemed in the best interest of the City.

25. Prevailing Wage. When indicated on the cover page of the invitation to bid, this contract calls for the construction of a "public work," within the meaning of the Illinois Prevailing Wage Act, 820 ILCS 130/.01 *et seq.* ("the Act"). The Act requires awarded vendors and subcontractors to pay laborers, workers, and mechanics performing services on public works projects no less than the "prevailing rate of wages" (hourly cash wages plus fringe benefits) in the county where the work is performed. Prevailing wage rates are determined by the Illinois Department of Labor and posted on the Department's website at:

<https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Rates.aspx> .

- (a) The Act *does* apply to owner-operators.
- (b) When applicable, all awarded vendors and subcontractors rendering services under this contract must comply with all requirements of the Act, including but not limited to all wage, notice, recordkeeping, and filing of certified payroll requirements.
- (c) Under the Act, it is mandatory upon Vendor to insert into each subcontract a written stipulation to the effect that not less than the prevailing rate of wages shall be paid to all laborers, workers and mechanics performing work under this contract. It is also the Vendor's responsibility under the Act to verify and pay *current* wage rates, as the Department may change them from time to time.
- (d) It is Vendor's obligation to understand what the Act requires, and to comply accordingly. *Failure on the part of the City to provide proper written notice regarding the applicability of the Prevailing Wage Act does not relieve Vendor or subcontractors of the obligation to comply with the Act when applicable, nor does it relieve them of their obligation to pay back wages when owed.*
- (e) Vendor and all subcontractors shall make all records required under the Prevailing Wage Act available for inspection, copying, or transcription by authorized representatives of the City of Rockford or Department of Labor. Vendor shall further permit such representatives to interview employees during working hours on the job. If Vendor or subcontractor fails to submit the required records or make them available, the City may take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request may be grounds for debarment action.

26. Certified Payroll. The Illinois Prevailing Wage Act requires any contractor and each subcontractor who participates in public works to file with the Illinois Department of Labor (IDOL) certified payroll for those calendar months during which work on a public works project has occurred. The Act requires certified payroll to be filed with IDOL no later than the 15th day of each calendar month for the immediately preceding month through the Illinois Prevailing Wage Portal—an electronic database IDOL has established for collecting and retaining certified payroll. The Portal may be accessed using this link: <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Prevailing-Wage-Portal.aspx>. A copy of proof of submission, including the reported wage information, must be submitted to the City with the request for payment. The City reserves the right to withhold payment due to the awarded vendor until the vendor complies with this or any other provision of the Act.

27. Substance Abuse Prevention. Before Vendor commences work on a public works project, it must have in place a written program which meets or exceeds the program requirements in the Substance Abuse Prevention on Public Works Projects Act (820 ILCS 265/1 et seq.), to be filed with the City and made available to the general public, for prevention of substance abuse among its employees. This program must include pre-hire, random, reasonable suspicion, and post-

accident drug and alcohol testing, as required by the Substance Abuse Prevention on Public Works Projects Act.

28. Apprenticeship Requirement. For construction contracts over \$50,000, Vendor must participate in apprenticeship and training programs approved and registered with the United States Department of Labor's Bureau of Apprenticeship and Training for all Trades that will be in Vendor's (or his subcontractor's) employment, with each worker receiving the required apprenticeship/training appropriate to his trade. Owners or work performed by owners is not exempt from the apprenticeship and training requirement.

29. Indemnification. To the fullest extent permitted by law, Vendor shall indemnify and hold harmless the City, its officers, representatives, elected and appointed officials, agents, and employees from and against all claims, damages, losses and expenses, including but not limited to attorney's fees, arising out of or resulting from Vendor's performance of work under this agreement, and indemnifies and agrees to defend and hold harmless the City against any and all losses, claims, damages, and expenses arising from the work performed hereunder of the erection, construction, placement, or operation of any scaffold, hoist, crane, stay, ladder, support, or other mechanical contrivance in connection with such work including but not limited to losses, claims, damages, and expenses arising pursuant to claims asserted against the City pursuant to theories premised upon sections 343 and 414 of the Restatement (Second) of Torts.

This indemnification agreement shall not be limited in any way by any limitations on the amount or type of damages, compensation, or benefits payable by or for Vendor under the Illinois Workers' Compensation Act (820 ILCS 305/1 *et seq.*), disability benefit acts, or other employee benefit acts, and serves as an express agreement to waive the protection of *Kotecki v. Cyclops Welding Corp.*, 146 Ill.2d 155, 585 N.E.2d 1023 (1991) in Illinois.

Further, Vendor agrees that it is solely responsible for compliance with all safety laws applicable to the work performed hereunder, including but not limited to the Occupational Safety and Health Act (29 USC Ch. 15 §651 *et seq.*) and the Contract Work Hours and Safety Standards Act (40 USC Ch. 37 §3701 *et seq.*) and all standards and regulations which have been or shall be promulgated by the agencies which administer the Acts.

Under no circumstances shall Vendor, its subcontractors, agents, and employees be required to indemnify the City for its own negligence.

30. Insurance Requirements. Upon execution of the contract, and prior to Vendor commencing any work or services with regard to the project, Vendor shall carry commercial general liability insurance, umbrella liability insurance, and automobile liability insurance on ISO form CG 00 01 10 01 (or a substitute form providing equivalent coverage) and Vendor shall provide the City with a Certificate of Insurance and Additional Insured Endorsement on ISO form CG 20 10 11 85 (or substitute form providing equivalent coverage) or on the combination of ISO forms CG 20 IO 10 01 and CG 20 3 7 1001 (or substitute forms providing equivalent coverage) naming the City as

Additional Insured thereunder. Additional insured coverage shall apply as primary insurance and be noncontributory with respect to any other insurance afforded to the City. All coverage shall be placed with an insurance company duly admitted in the State of Illinois and shall be reasonably acceptable to the City. All awarded vendor insurance carriers must maintain an A.M. Best rating of "A-" or better. Coverage shall be afforded to the additional insured whether or not a claim is in litigation.

The insurance coverage required above shall be of sufficient type, scope and duration to ensure coverage for the City for liability related to any manifestation date within the applicable statutes of limitation and/or repose which pertain to any work performed by or on behalf of the City in relation to the contract. The following insurance requirement shall apply to the successful firm for the duration of the contract unless explicitly waived by the Central Services Manager:

- a) Commercial General Liability. The coverage available to the City, as Additional Insured, shall not be less than \$1 million each occurrence, \$2 million general aggregate (subject to a per project general aggregate provision applicable to the project), \$2 million products/completed operations aggregate and \$1 million personal and advertising injury limits. Such insurance shall cover liability arising from premises, operations, independent contractors, products-completed operations, personal and advertising injury, and liability assumed under an insured contract (including the tort liability of another assumed in a business contract).
- b) Umbrella Liability. The coverage available to the City, as Additional Insured, shall not be less than \$2 million each occurrence, \$2 million general aggregate. Such insurance shall cover liability arising from premises, operations, independent contractors, products-completed operations, personal and advertising injury, and liability assumed under an insured contract (including the tort liability of another assumed in a business contract).
- c) Automobile Coverage. The coverage available to the City, as Additional Insured, shall include comprehensive automobile bodily injury and property damage liability coverage for a minimum amount of \$1 million each occurrence, \$2 million general aggregate
- d) Workers Compensation. Vendor shall maintain during the life of this contract statutory workmen's compensation and employer's liability insurance for all his employees engaged in work on the job site.
- e) Insurance Certificates. Each Certificate of insurance shall provide that the insurer must give the City at least thirty (30) days' prior written notice of cancellation and termination of the City's coverage thereunder. Not less than two weeks prior to the expiration, cancellation or termination of any such policy, Vendor shall supply the City with a new and replacement Certificate of Insurance and Additional Insured endorsement as proof of renewal of said original policy. Said new and replacement endorsements shall be similarly endorsed in favor of the City as set forth above. All subcontractors to be utilized by Vendor shall provide Ownership with a Certificate of Insurance naming City of Rockford as additional insured prior to commencement of work by said subcontractor.

31. Conflict of Interest. Each bidder affirms, by submission of a response to this bid or request for proposals, it has no interest and will not acquire any interest in any enterprise, project, or contract that would conflict in any manner of degree with the performance of the work, services, or goods to be provided hereunder. Bidder further affirms that no person having such an interest will be employed to perform any work or services under the contract, and that no employee of the City of Rockford is directly or indirectly interested in the bid or proposal for any reason of personal gain.

32. Non-Waiver. The failure by the City to require performance of any provision shall not affect the City's right to require performance at any time thereafter, nor shall a waiver of any breach or default of this contract constitute a waiver of any subsequent breach or default or a waiver of the provision itself.

33. Professional Services Selection Act. The City of Rockford intends to comply with 50 ILCS 510/0.01 *et seq.* governing the selection of professional services. Any reference in these terms and conditions to supplying pricing or price as a determining factor in selection do not apply for services covered by said act.

34. Compliance with Stormwater Management Ordinance and IEPA General Construction Permit. Vendor must comply with the City's Stormwater Management Ordinance and Illinois EPA's General Construction Permit. For work performed on the stormwater system, including projects only requiring erosion and sediment control measures, acknowledgement of receipt of the Stormwater Management Ordinance and IEPA's General Construction Permit is required. It is also required that Vendor retain all invoices, work orders and/or other records of work performed in drainage areas for three (3) years beyond the end of the consent decree, 12/31/2023. These records are subject to audit and are to be made available immediately upon request by the City or the Federal and State Environmental Protection Agency (EPA). Additionally, there may be other records provided that Vendor will be required to keep on file upon request of the City. Violation of this section and with the City's Stormwater Management Ordinance may result in a fine. Additional information can be found at: <https://rockfordil.gov/274/stormwater-environmental-team/>

35. Acceptance or Rejection of Bids and Proposals. The City of Rockford reserves the right to accept or reject any and all proposals and to waive technicalities in submitted bids.

36. Minority and Women Business Enterprise Policy. It is the policy of the City of Rockford to strongly encourage and promote the award of subcontracts to ready, willing, and able Minority and Women Business Enterprises (MWBEs) certified with the City. The City strongly encourages bidders, when preparing bids or proposals, to contact certified MWBEs regarding potential subcontracting opportunities. The City requires information regarding Vendor's good faith efforts to identify MWBE subcontractors on the Subcontractor Utilization Form required to be completed and submitted with Vendor's bid or proposal. An up-to-date list of the City's certified MWBEs can be found at: <https://rockfordil.gov/279/purchasing/>.

37. Veterans Preference. Vendor shall comply with the Veterans Preference Act (330 ILCS 55/1 *et seq.*) in its employment to fill positions for the construction, addition to, or alteration of public works contracted for by the City. This Act requires that preference shall be given to veterans who possess the business capacity necessary for the proper discharge of the duties of employment. Vendor is not required to give preference to veterans who are not residents of the City of Rockford over City residents who are not veterans. A person who has been a member of the Illinois National Guard shall be given priority over a person who has been a member of the National Guard of any other state.

Veterans under the Act are defined as persons who have been members of the armed forces of the United States or who, while citizens of the United States, were members of the armed forces of allies of the United States in time of hostilities with a foreign country, and have served under one or more of the following conditions:

- a) The veteran served a total of at least six (6) months;
- b) The veteran served for the duration of hostilities regardless of the length of engagement;
- c) The veteran served in the theater of operations but was discharged on the basis of a hardship; or
- d) The veteran was released from active duty because of a service connected disability and was honorably discharged.

Vendor shall insure that the preceding provision is inserted in all subcontracts entered into to furnish labor for the construction, addition to, or alteration of public works in connection with this contract.

38. Non-barred Bidder. Vendors affirms, by submission of a response to this bid or request for proposals, that Vendor is not barred from bidding on this contract as a result of a conviction for violation of state law prohibiting bid rigging or rotating.

39. City Debarment. The City of Rockford reserves the right to bar Vendor from future bidding opportunities with the City if false information is submitted as part Vendor's bid response or proposal, Vendor has committed any violation of law, or Vendor fails to comply with the terms and conditions of this contract.

40. Non-Assignment. Neither this Contract nor any of the rights, interests or obligations under the Agreement shall be assigned, in whole or in part, by written agreement, merger, consolidation, operation of law, or otherwise by either party without the prior written consent of the other party.

41. Governing Law. This Contract shall be governed by and construed and enforced in accordance with the laws of the State of Illinois, excluding its choice of law rules and, to the extent

applicable, the copyright laws of the United States of America. In the event of a dispute under this Contract, the parties agree to submit to the exclusive jurisdiction of the state courts of, and federal courts sitting in, the State of Illinois.

42. Severability. In the event that any clause, provision, or portion of these General Conditions or any part thereof shall be declared invalid, void, or unenforceable by any court having jurisdiction, such invalidity shall not affect the validity or enforceability of the remaining portions.

43. Local Business Adjustment to Bid Award. For Public Works or Construction contracts the use of local business adjustment (up to a maximum 5 percent of the contract price, but not more than \$20,000 whichever is less) may be authorized. To receive the local business adjustment the bidder must meet the qualifications of a local bidder (described below) and request the local business adjustment be implemented for that bid. The downward adjustment shall then be applied automatically to the local bidder's bid if doing so will cause the local bidder's bid to match the bid of a non-local bidder who would otherwise be the lowest qualified bidder. Any bidder requesting application of the local bidder adjustment shall acknowledge and agree to the potential of the reduction in their overall bid at the time of its bid submission. Should a bidder requesting the local business adjustment be declared the lowest bidder and thereafter decline to be awarded the project, that bidder shall be prohibited from requesting the application of a local business adjustment for a period of one year. In instances where more than one local bidder requests local business adjustment, the adjustment will be applied to the next lowest local bidder only, however, if the next lowest bidder did not request the adjustment, then the local bidder who did elect would get the adjustment and award.

A local bidder is an individual or entity that (1) has established a place of business within Winnebago, Boone, Ogle, or Stephenson County; (2) has paid a minimum of \$5,000 in sales tax in Winnebago County during the 12 months prior to bid submission; and (3) can demonstrate that at least 50% of its employees reside within Winnebago, Boone, Ogle, or Stephenson County.

A bidder that requests local business adjustment is certifying that they are in compliance with the above description. If the City determines the bidder is not in compliance the bidder may be barred from bidding for a period of up to three years.

44. Sexual Harassment Policy Requirement. Every party to a public contract and every eligible bidder shall have a written sexual harassment policy in accordance with the Equal Employment Opportunities; Affirmative Action (775 ILCS 5/2-105). The written policy must include, at a minimum, the following information: (i) the illegality of sexual harassment; (ii) the definition of sexual harassment under State law; (iii) a description of sexual harassment, utilizing examples; (iv) the vendor's internal complaint process including penalties; (v) the legal recourse, investigative, and complaint process available through the Department and the Commission; (vi) directions on how to contact the Department and Commission; and (vii) protection against retaliation as provided by Sections 6-101 and 6-101.5 of the Act.

Instruction to Bidders

1. The bidder shall insert the price for all bid items and all other information requested in the Bid Form attached or a computer generated schedule of prices. All computer-generated schedule of prices submitted must be correct and correspond to the latest schedule of prices issued by the City of Rockford or the bid may be rejected. All prices shall be net and shall be the full, delivered cost to the City of Rockford, including all factors whatsoever. Failure to comply with this requirement will constitute rejection of bid.

2. The City requires the improvements specified to be completed under the following guidelines:

3. Bidders bidding on any City of Rockford construction projects for CIP (Capital Improvement Projects) must be pre-qualified with the State of Illinois according to Section 102 of the Standard Specifications for Road and Bridge construction of the Illinois Department of Transportation. An "Affidavit of Availability" issued by the Department of Transportation must accompany each sealed bid.

The bidder, prior to receiving an award, must submit a certified copy of a "Certificate of Eligibility" issued by the Department of Transportation and an "Affidavit of Availability"

4. It shall be mandatory that the awarded vendor and subcontractors comply with the Illinois Preference Act (IL Rev. Stat., Ch. 48, Par. 2201-2207) requires that on Illinois-resident workers be employed on Public Works Projects in times of excessive unemployment.

5. The bidder receiving award of this contract by the Rockford City Council shall submit the following information and be issued a notice to proceed prior to start of work:

a. Construction schedule including starting date, project phasing controlling factors; and, estimated payment schedule (in Microsoft Projects format, one hard copy and one digital copy);

b. Material suppliers including plant locations and State certification.

c. A final, accurate Subcontractor Utilization Form and Subcontractor Workforce Data Forms for each subcontractor to be used. If the subcontractor fails to submit all required EEO compliance forms to Vendor or if the subcontractor is found to be in noncompliance, the City of Rockford may require that the subcontractor in question not be utilized on the project. The City of Rockford also reserves the right to take whatever action necessary to meet all EEO requirements.

6. Bidders are only required to return to the City the forms listed in the Required Forms section of the bid document, along with any special information that may be requested of firms as part of the general or special provisions. Sections 1, 3, and 4 of the bid document need not be returned to the City.

7. Bidder Questions during Bidding.

All questions regarding the bidder's preparation of this bid, pertaining to the drawings and specifications, shall be compiled in writing and e-mailed to Anne Wilkerson, (anne.wilkerson@rockfordil.gov) City of Rockford, Finance Department, at least 72 hours prior to bid time.

Questions received less than 72 hours before the designated bid time cannot be answered by addendum.

Oral statements will not be binding to City of Rockford or Vendor.

Any questions deemed by City as requiring a response will be answered by addendum issued to all bidders and will become a part of the Contract.

Subcontractors must direct their questions through Vendor only.

The consulting Architect and/or the consulting Engineer shall not be contacted direct without prior authorization from City.

8. These instructions are to be considered an integral part of any proposal.

9. Questions regarding EEOs should be addressed to: City of Rockford Equal Opportunity Compliance (EOC) Officer, at (779) 348-7264.

10. Questions regarding Bid/RFP specs should be addressed to analyst listed in specs section.

FINANCE AND PERSONNEL COMMITTEE
Anne Wilkerson
Central Services Manager

Section 2

Required Forms

City of Rockford
EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

All bidders seeking to do business with the City of Rockford must complete this certification. **Failure to sign this Certification will result in disqualification of Vendor's bid or proposal.** Questions regarding EEOs should be addressed to: City of Rockford Equal Opportunity Compliance (EOC) Officer, at (779) 348-7264.

1. **Compliance with EEO Law.** Vendor acknowledges and certifies that, if awarded a contract with the City of Rockford, it is subject to and will comply with all applicable equal employment opportunity statutes, regulations, and ordinances including but not limited to: the City's Equal Opportunity Employment (EOE) Ordinance (City of Rockford Code of Ordinances, Chapter 11, Article IV); the Illinois Human Rights Act (775 ILCS 5/101 *et seq.*), the Illinois Department of Human Rights Rules and Regulations for Government Contracts (44 Ill. Admin. Code, Chapter X, Section 750), and the Discrimination in Public Contracts Act (775 ILCS 10/0.01 *et seq.*), Title VII of the Civil Rights Act of 1964, as amended (§ 7, 42 U.S.C. § 2000e *et seq.*); the Age Discrimination in Employment Act of 1967, as amended (29 USC §.621 *et seq.*); Title I of the Americans with Disabilities Act of 1990, as amended (42 USC 12111-12117); the Equal Pay Act of 1963, as amended; the Uniformed Services Employment and Reemployment Rights Act of 1994, as amended (38 USC §§ 4301-4335); and, for federally-funded construction contracts (only), Executive Order 11246, as amended, and relevant U.S. Department of Labor regulations regarding equal employment opportunity for federally assisted construction contracts (see 41 CFR Part 60).
2. **Discrimination Prohibited.** Vendor certifies that it is its policy to provide equal employment opportunity and that it prohibits discrimination against any employee or applicant for employment due to race, color religion, sex, sexual orientation, gender identity, marital status, order of protection status, status as a survivor of domestic violence or human trafficking, national origin or ancestry, citizenship status, age, physical or mental disability unrelated to ability, military status, or unfavorable discharge from military service. Further, Vendor will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any underutilization, as is required by the Illinois Department of Human Rights Rules and Regulations for Government Contracts.
3. **Non-Segregated Facilities.** Vendor certifies that it provides facilities at its place of business without segregation except where separate facilities for a person of the opposite sex are required. Vendor also certifies that it will, to the greatest extent possible, not assign employees to work at any location where facilities are so segregated and that it will insert into its subcontracts the provisions of this paragraph for work performed under this contract and obtain the same certification from subcontractors.
4. **Government Exclusion, Debarment, or Suspension.** Vendor certifies that it is not subject to any exclusion, debarment, suspension, or other disciplinary action by any government agency including but not limited to the U.S. Government, State of Illinois, Illinois Human Rights Commission, Illinois Department of Labor, or any other federal or state agency or political subdivision. Additionally, if at any time Vendor is subject to such exclusion, suspension, or debarment during the contract period, Vendor certifies that it will immediately disclose this information to the City's EOC Officer.

City of Rockford
EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

5. **Subcontracting.** Vendor certifies that, if awarded a public contract with the City of Rockford, it will include verbatim or by reference the provisions of the City’s General Conditions *Equal Employment Opportunity* paragraph 19 in every subcontract awarded under which any portion of the contract obligations are undertaken or assumed. For federally funded construction contracts, the conditions described in paragraph (8) of the “Equal Opportunity Clause for Federally Assisted Construction Contracts” and paragraph (2) of the “Standard Federal Equal Employment Opportunity Construction Contract Specifications” must also be included in every subcontract. Vendor acknowledges that it is responsible for the compliance of all of its subcontractors with this provision. Vendor also certifies it will not utilize any subcontractor excluded, debarred, suspended, or otherwise disciplined by any government agency including but not limited to the U.S. Government, State of Illinois, Illinois Human Rights Commission, Illinois Department of Labor, or any other federal or state agency or political subdivision, and that it will notify the City’s EOC Officer if any subcontractor fails to comply with such provision.
6. **MWBE Procurement Policy.** Vendor understands that it is the policy of the City of Rockford to encourage and promote the award of subcontracts to ready, willing, and able Minority and Women Business Enterprises (MWBEs) certified with the City. The City strongly encourages bidders, when preparing bids or proposals, to contact certified MWBEs regarding potential subcontracting opportunities. Vendor certifies that it has worked in good faith to comply with this policy by contacting MWBE businesses for subcontracting opportunities when possible (list can be found at <https://rockfordil.gov/279/purchasing/>). For federally funded construction projects (only), Vendor further certifies it has demonstrated good faith efforts to meet the women and minority subcontracting goals set forth in the “Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity.”

Signature of Vendor

Date

**City of Rockford
SUBCONTRACTOR UTILIZATION FORM**

**THIS FORM MUST BE COMPLETED EVEN IF YOU DO NOT PLAN TO USE
SUBCONTRACTORS
(COMPLETE SECTIONS I, II, AND V IF YOU DO NOT PLAN TO USE SUBCONTRACTORS).**

All Vendors seeking to do business with the City of Rockford must provide information about all subcontractors that will be used. **A Subcontractor is any person or business that supplies any of the work, transportation or labor services, supplies, equipment, or materials under a contract with Vendor. Failure to complete this form will result in disqualification of Vendor's bid or proposal.** Questions regarding EEOs should be addressed to City of Rockford Equal Opportunity Compliance (EOC) Officer, at (779) 348-7264.

Section I—Vendor/Prime Contractor Information

Is this an update to a previously submitted Subcontractor Utilization Form? Yes No

Vendor Name:			
Project Name:		Bid or RFP Number:	
Total Proposal/Bid Amount (over the full term of the contract):			
Vendor Contact Name:		Contact Phone: Contact Email:	
Vendor Certification Status:	Minority Business Enterprise	Women Business Enterprise	None

Section II--Subcontractor Utilization

Will subcontractors be used? Yes (complete rest of form) No (proceed to Section V)

Section III—Subcontractor Selection

Please list information for ALL subcontractors Vendor *believes it will* use. Vendor may make changes or additions to its list of subcontractors by submitting an updated form to the City's EOC Officer after award, if needed.

Subcontractor Name	MBE or WBE? (Y/N)	Amount	% of Total Proposal/Bid	Scope of Work

If more than six subcontractors will be used, please complete the [Subcontractor Utilization Form--Supplement](#).

**City of Rockford
SUBCONTRACTOR UTILIZATION FORM**

If Vendor plans to use subcontractors, but has not yet identified some or all of the subcontractors to be used, please explain why:

Section IV—MWBE Subcontractors Contacted

It is the policy of the City of Rockford to encourage and promote the award of subcontracts to qualified and available Minority and Women Business Enterprises (MWBEs) certified with the City. The City strongly encourages bidders, when preparing bids or proposals, to contact certified MWBEs regarding potential subcontracting opportunities (a list of MWBEs can be found at <https://rockfordil.gov/279/purchasing/>). Please list the MWBEs Vendor has contacted regarding subcontractor opportunities for this proposal/bid (MWBE subcontractors selected and listed in Section III do not need to be listed again here):

MWBE Business Name	Method of Contact (e.g. phone, email)	Why not used?

Section V—Signature

The undersigned certifies that the information provided herein is truthful, accurate, and complete. Further, Vendor acknowledges that if it is awarded the contract, this information must be kept up to date by Vendor. According to the City’s General Conditions Paragraph 16, **any changes in subcontractor utilization must be immediately made in writing** by submitting a new form to the City’s Equal Opportunity Compliance Officer (contact information provided at the top of this form). A complete and accurate list of subcontractors will be required prior to beginning work on the project, if awarded.

Signature **Date**

Name **Title**

City of Rockford
VENDOR WORKFORCE DATA FORM

The City must collect information in an effort to monitor Vendor’s compliance with the Illinois Human Rights Act, Illinois Department of Human Rights Rules and Regulations, and City of Rockford Equal Employment Opportunity Ordinance. **Failure to complete this form will result in disqualification of Vendor’s bid or proposal.** Questions regarding EEOs should be addressed to: City of Rockford Equal Opportunity Compliance (EOC) Officer, at (779) 348-7264.

Part I: Vendor Information

Vendor Name:	Bid or RFP Number:
Project Name:	IDHR Number ¹ :
	Expiration:
Date:	Estimated Duration of Work:
Vendor’s EEO Contact Name:	Contact Email:
Title:	Contact Phone:

Part II: Vendor Workforce Data

Please provide the *number* of individuals employed by Vendor in each category below (report all employees, not just those who will work under the contract). Definitions of the EEO Job Categories are included with this form.

W - White B - Black H – Hispanic/Latino A - Asian AI - American Indian, Alaskan or Hawaiian Native
Tw—Two or more race/ethnicity T – Total

JOB CATEGORY	MALE							FEMALE							TOTAL
	W	B	H	A	AI	Tw	T	W	B	H	A	AI	Tw	T	
Officials and Managers															
Professional Workers															
Technicians															
Sales Workers															
Administrative Support Workers															
Craft Workers															
Operatives															
Laborers and Helpers															
Service Workers															
TOTAL															

¹ Bidders must have an Illinois Department of Human Rights Eligibility Number if 1) bidder employs 15 or more persons, AND 2) if the bid or proposal will total more than \$100,000.

City of Rockford
SUBCONTRACTOR WORKFORCE DATA FORM

This form is *required* if Vendor will be using one or more subcontractors to complete work or perform services for the City. A Workforce Data Form must be completed for *each* subcontractor. Questions regarding EEOs should be addressed to City of Rockford Equal Opportunity Compliance (EOC) Officer, at (779) 348-7264.

Part I: Identification

Subcontractor Name:	Bid Number:
Project Name:	IDHR Number: Expiration:
Date:	Estimated Duration of Work:
Subcontractor's EEO Contact Name:	Contact Email: Contact Phone:

Part II: Subcontractor Workforce Data

Please provide the *number* of individuals employed by subcontractor in each category below (report all employees, not just those who will work under the contract). Definitions of the EEO Job Categories are included on the next page of this form.

W - White B - Black H – Hispanic/Latino A - Asian AI - American Indian, Alaskan or Hawaiian Native
Tw—Two or more race/ethnicity T – Total

JOB CATEGORY	MALE							FEMALE							TOTAL
	W	B	H	A	AI	Tw	T	W	B	H	A	AI	Tw	T	
Officials and Managers															
Professional Workers															
Technicians															
Sales Workers															
Administrative Support Workers															
Craft Workers															
Operatives															
Laborers and Helpers															
Service Workers															
TOTAL															

Job Category Descriptions

Officials and Managers: Jobs occupied by administrative and managerial personnel who set broad policies, exercise overall responsibility for execution of these policies, and direct individual departments or special phases of a firm's operations. Includes: officials, executives, middle management, plant managers, department managers, superintendents, salaried supervisors who are members of management, and purchasing agents and buyers.

Professionals: Jobs requiring bachelor or graduate degree and/or professional certification or comparable experience. Includes: accountants and auditors, architects, chemists, computer programmers, designers, editors, engineers, lawyers, scientists, registered professional nurses, personnel and labor relations specialists, physicians, and surveyors.

Technicians: Jobs requiring a combination of basic scientific knowledge and manual skill which is often obtained through 2 years of post-high school education or through equivalent on-the-job training. Includes: drafters, surveying and mapping technicians, engineering aides, junior engineers, mathematical aides, emergency medical technicians, and licensed practical nurses.

Sales Workers: Jobs engaging wholly or primarily in direct selling. Includes: advertising agents and sales workers, insurance agents and brokers, real estate agents and brokers, stock and bond sales workers, securities, commodities, and financial services sales agents, demonstrators, sales workers and sales clerks, grocery clerks, and cashiers/checkers.

Administrative Support Workers: Jobs involving non-managerial tasks providing administrative and support assistance, primarily in office settings. Includes: office support, bookkeepers, accounting and auditing clerks, dispatchers, data entry workers, collectors (bills and accounts), messengers and office helpers, shipping and receiving clerks, typists and secretaries, telephone operators, and legal assistants.

Craft Workers: Jobs requiring higher skill in areas including: construction (building trades craft workers and their formal apprentices); natural resource extraction workers; installation, maintenance and part replacement of equipment, machines and tools; and some production occupations that are distinguished by the high degree of skill and precision. Includes: boilermakers; brick & stone masons; carpenters; electricians; painters; glaziers; plumbers, pipefitters & steam fitters; roofers; elevator installers; earth drillers; oil & gas rotary drill operators; blasters & explosive workers; mechanics; electric & electronic equipment repairers; millwrights; and tool & die makers.

Operatives: Jobs involving operation of machines, factory-related processing equipment, or equipment to facilitate the movement of people or materials. These occupations require intermediate skill level and usually do not require more than several months of training. Includes: machine operators; electrical & electronic equipment assemblers; semiconductor processors; testers; graders & sorters; bridge & lock tenders; truck, bus or taxi drivers; industrial truck & tractor (forklift) operators; conveyor operations; and hand packers & packagers.

Laborers and Helpers: Jobs requiring limited skills and brief training to perform tasks that require little or no independent judgment. Includes: production & construction worker helpers; construction laborers; refuse & recyclable materials collectors; landscapers, grounds maintenance workers, and laborers performing lifting, digging, mixing, loading and pulling operations.

Service Workers: Jobs in food service, personal service, cleaning service, and protective service occupations. Skill may be acquired through formal training, job-related training or direct experience. Includes: food service workers; medical assistants and other healthcare support occupations; transportation attendants; cleaners; janitors; porters; transit and railroad; police and fire fighters; guards; private detectives and investigators.



Local Public Agency	County	Street Name/Road Name	Section Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

All contractors are required to complete the following certification

- For this contract proposal or for all bidding groups in this deliver and install proposal.
- For the following deliver and install bidding groups in this material proposal.

Illinois Department of Transportation policy, adopted in accordance with the provisions of the Illinois Highway Code, requires this contract to be awarded to the lowest responsive and responsible bidder. The award decision is subject to approval by the Department. In addition to all other responsibility factors, this contract or deliver and install proposal requires all bidders and all bidder's subcontractors to disclose participation in apprenticeship or training programs that are (1) approved by and registered with the United States Department of Labor's Bureau of Apprenticeship and Training, and (2) applicable to the work of the above indicated proposals or groups. Therefore, all bidders are required to complete the following certification:

1. Except as provided in paragraph 4 below, the undersigned bidder certifies that it is a participant, either as an individual or as part of a group program, in an approved apprenticeship or training program applicable to each type of work or craft that the bidder will perform with its own employees.
2. The undersigned bidder further certifies, for work to be performed by subcontract, that each of its subcontractors either (A) is, at the time of such bid, participating in an approved, applicable apprenticeship or training program; or (B) will, prior to commencement of performance of work pursuant to this contract, establish participation in an approved apprenticeship or training program applicable to the work of the subcontract.
3. The undersigned bidder, by inclusion in the list in the space below, certifies the official name of each program sponsor holding the Certificate of Registration for all of the types of work or crafts in which the bidder is a participant and that will be performed with the bidder's employees. Types of work or craft that will be subcontracted shall be included and listed as subcontract work. The list shall also indicate any type of work or craft job category for which there is no applicable apprenticeship or training program available.

4. Except for any work identified above, if any bidder or subcontractor shall perform all or part of the work of the contract or deliver and install proposal solely by individual owners, partners or members and not by employees to whom the payment of prevailing rates of wages would be required, check the following box, and identify the owner/operator workforces and positions of ownership.

Bidder

Title

Signature & Date

Address	City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



Local Public Agency Proposal Bid Bond

Local Public Agency County Section Number

WE, _____ as PRINCIPAL, and _____ as SURETY, are held jointly, severally and firmly bound unto the above Local Public Agency (hereafter referred to as "LPA") in the penal sum of 5% of the total bid price, or for the amount specified in the proposal documents in effect on the date of invitation for bids, whichever is the lesser sum. We bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly pay to the LPA this sum under the conditions of this instrument.

WHEREAS THE CONDITION OF THE FOREGOING OBLIGATION IS SUCH that, the said PRINCIPAL is submitting a written proposal to the LPA acting through its awarding authority for the construction of the work designated as the above section.

THEREFORE if the proposal is accepted and a contract awarded to the PRINCIPAL by the LPA for the above designated section and the PRINCIPAL shall within fifteen (15) days after award enter into a formal contract, furnish surety guaranteeing the faithful performance of the work, and furnish evidence of the required insurance coverage, all as provided in the "Standard Specifications for Road and Bridge Construction" and applicable Supplemental Specifications, then this obligation shall become void; otherwise it shall remain in full force and effect.

IN THE EVENT the LPA determines the PRINCIPAL has failed to enter into a formal contract in compliance with any requirements set forth in the preceding paragraph, then the LPA acting through its awarding authority shall immediately be entitled to recover the full penal sum set out above, together with all court costs, all attorney fees, and any other expense of recovery.

IN TESTIMONY WHEREOF, the said PRINCIPAL and the said SURETY have caused this instrument to be signed by their respective officers this _____ of _____ Day Month and Year

Principal

Company Name Signature & Date By: Title

Company Name Signature & Date By: Title

(If Principal is a joint venture of two or more contractors, the company names, and authorized signatures of each contractor must be affixed.)

Surety

Name of Surety

Signature of Attorney-in-Fact Date By:

STATE OF COUNTY OF

I _____, a Notary Public in and for said county do hereby certify that

(Insert names of individuals signing on behalf of PRINCIPAL & SURETY)

who are each personally known to me to be the same persons whose names are subscribed to the foregoing instrument on behalf of PRINCIPAL and SURETY, appeared before me this day in person and acknowledged respectively, that they signed and delivered said instruments as their free and voluntary act for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____ Month and Year

(SEAL, if required by the LPA)

Notary Public Signature & Date

Date commission expires _____

Local Public Agency

County

Section Number

ELECTRONIC BID BOND

Electronic bid bond is allowed (box must be checked by LPA if electronic bid bond is allowed)

The Principal may submit an electronic bid bond, in lieu of completing the above section of the Proposal Bid Bond Form. By providing an electronic bid bond ID code and signing below, the Principal is ensuring the identified electronic bid bond has been executed and the Principal and Surety are firmly bound unto the LPA under the conditions of the bid bond as shown above. (If PRINCIPAL is a joint venture of two or more contractors, an electronic bid bond ID code, company/Bidder name title and date must be affixed for each contractor in the venture.)

Electronic Bid Bond ID Code

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Company/Bidder Name

Signature & Date

Title

City of Rockford
ILLINOIS PREVAILING WAGE ACT NOTICE AND ACKNOWLEDGEMENT

Failure to sign this acknowledgement will result in disqualification of Vendor's bid or proposal.

Prevailing Wage. The work included in this bid/request for proposal calls for the construction of a "public work," within the meaning of the Illinois Prevailing Wage Act, 820 ILCS 130/.01 *et seq.* ("the Act"). The Act requires contractors and subcontractors to pay laborers, workers, and mechanics performing services on public works projects no less than the "prevailing rate of wages" (hourly cash wages plus fringe benefits) in the county where the work is performed. Prevailing wage rates are determined by the Illinois Department of Labor and up-to-date rates are posted on the Department's website at <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Rates.aspx>. This Act does apply to owner/operators (e.g. a business where the owner is an employee doing work on the job). If awarded this contract, Vendor must comply with all requirements of the Act, including but not limited to all wage, notice, recordkeeping, and filing of certified payroll requirements. *It is your responsibility, as a bidder, to understand the amount this law requires you to pay workers (including yourself as an owner, if applicable) while working on this City of Rockford project— and to bid accordingly.*

Certified Payroll. The Illinois Prevailing Wage Act requires any contractor and each subcontractor who participates in public works to file with the Illinois Department of Labor (IDOL) certified payroll for those calendar months during which work on a public works project has occurred. The Act requires certified payroll to be filed with IDOL no later than the 15th day of each calendar month for the immediately preceding month through the Illinois Prevailing Wage Portal—an electronic database IDOL has established for collecting and retaining certified payroll. The Portal may be accessed using this link: <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Prevailing-Wage-Portal.aspx>. *The City reserves the right to withhold payment to Vendor until Vendor displays compliance with this provision of the Act.*

By signing below, Vendor acknowledges the applicability of the Prevailing Wage Act to the work that will be performed for the City of Rockford and, if the contract is awarded to Vendor, agrees to comply with the Act.

Vendor Name: _____

Bid/RFP Number: _____

Bid/RFP Title: _____

Name of Authorized Representative: _____

Title of Authorized Representative: _____

Signature of Authorized Representative

Date

City of Rockford
LCPTRACKER VENDOR INFORMATION FORM

The City uses an online system called LCPtracker to collect the certified payrolls Vendor must file with the City in compliance with the Prevailing Wage Act (820 ILCS 130/5 (a)(2)). The City will use the information provided in this form to set up a project in the LCPtracker system, where Vendor will be required to file certified payroll (due the 15th of the month for the preceding month).

- 1. City's Bid Number or PO Number:**
- 2. City's Project Name:** _____
- 3. Company Name (Vendor):**
- 4. Vendor's Address:** _____
- 5. Vendor's City and State:** _____
- 6. Vendor's Zip Code:**
- 7. Vendor's 10 – Digit Phone Number:** _____
- 8. Federal Tax ID Number:**
- 9. Ethnicity of Vendor:** _____
- 10. Principal's Name:**
- 11. Principal's Title:**
- 12. Contact's Nameⁱ:**
- 13. Contact's Email Address:**
- 14. Prime Approver's Nameⁱⁱ:**
- 15. Prime Approver's Email Address:**
- 16. Union Status:** ____ Union ____ Non-Union
- 17. Owner Operator?** ____ Yes ____ No
- 18. City-Certified MBE or WBE?** Yes No
- 19. Start Date of Project:** _____
- 20. Bid or PO Amount:** _____
- 21. If you will employ apprentices for City work, please attach pay scale for them.**

For questions about EEOs, contact Contract and Grant Compliance Officer, by email at (779) 348-7264.

ⁱ The Contact is the person who will manage Vendor's LCPtracker online account and who will use that account to *enter and certify payroll* information in the online system.

ⁱⁱ The Prime Approver is the person who will be responsible for *approving payroll* entered by Vendor and all subcontractors utilizing his or her LCPtracker prime approver online account. The Contact and Prime Approver may be the same person (who will utilize two different accounts to perform each function).

Acknowledgement of Stormwater Management Ordinance and IEPA General Construction Permit (ILR10) Requirements

By indicating below, we acknowledge receipt of the Stormwater Management Ordinance and IEPA's General Construction Permit, if applicable. Both documents can be found on the City of Rockford website at:

<https://rockfordil.gov/274/stormwater-environmental-team/>

The IEPA General Construction permit can also be located at:

<https://www2.illinois.gov/epa/topics/forms/water-permits/storm-water/Pages/general-permits.aspx>

I have been provided access to the City of Rockford Stormwater Management Ordinance and the Illinois EPAs General Construction Permit and agree to comply with the terms outlined therein.

Person, Firm or Corporation

Authorized Signature

Acknowledgement of Addenda

By indicating below, we acknowledge receipt of the addenda listed.

Addendum _____	Date _____
Addendum _____	Date _____
Addendum _____	Date _____
Addendum _____	Date _____
Addendum _____	Date _____

Person, Firm or Corporation

Authorized Signature

The City of Rockford may respond to requests for information or pro-actively provide more information by posting addenda to bid packages on the City website. The City and its personnel are not responsible for notifying potential vendors of any addenda to bid packages posted to the [City's website](#). The City may, but is not required to, provide notification of new addenda via one or more of the same methods by which the original bid request was posted. Vendors who fail to account for information or requests included in these addenda may have their bids disqualified. THEREFORE, IT IS EXTREMELY IMPORTANT THAT POTENTIAL VENDORS MONITOR THE [CITY WEBSITE](#) FOR ANY ADDENDA TO THE ORIGINAL BID PACKAGES.

**CITY OF ROCKFORD, ILLINOIS
PROPOSAL NO. _____**

BIDDER'S AFFIDAVIT

STATE OF _____

COUNTY OF _____

I, _____ (Name of party signing affidavit)

_____, (Title) being duly sworn
do depose and say:

That material to be furnished for the above designated proposal number shall be supplies from bins, stockpiles or stock materials that conform to the specification set forth herein.

(Signature and title)

Sworn to be before me this _____ day of _____, 2020.

(Notary Public)

My commission expires _____.

(SEAL)



Bureau of Construction
2300 South Dirksen Parkway/Room 322
Springfield, IL 62764

Instructions: Complete this form by either typing or using black ink. "Authorization to Bid" will not be issued unless both sides of this form are completed in detail. Use additional forms as needed to list all work.

Part I. Work Under Contract

List below all work you have under contract as either a prime contractor or a subcontractor. It is required to include all pending low bids not yet awarded or rejected. In a joint venture, list only that portion of the work which is the responsibility of your company. The uncompleted dollar value is to be based upon the most recent engineer's or owners estimate, and must include work subcontracted to others. If no work is contracted, show NONE.

	1	2	3	4	Awards Pending	Accumulated Totals
Contract Number						
Contract With						
Estimated Completion Date						
Total Contract Price						
Uncompleted Dollar Value if Firm is the Prime Contractor						
Uncompleted Dollar Value if Firm is the Subcontractor						
Total Value of All Work						

Part II. Awards Pending and Uncompleted Work to be done with your own forces.

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Portland Cement Concrete Paving						
HMA Plant Mix						
HMA Paving						
Clean & Seal Cracks/Joints						
Aggregate Bases, Surfaces						
Highway, R.R., Waterway Struc.						
Drainage						
Electrical						
Cover and Seal Coats						
Concrete Construction						
Landscaping						
Fencing						
Guardrail						
Painting						
Signing						
Cold Milling, Planning, Rotomilling						
Demolition						
Pavement Markings (Paint)						
Other Construction (List)						
Totals						

Disclosure of this information is REQUIRED to accomplish the statutory purpose as outlined in the "Illinois Procurement Code." Failure to comply will result in non-issuance of an "Authorization To Bid." This form has been approved by the State Forms Management Center.

Part III. Work Subcontracted to Others.

For each contract described in Part I, list all the work you have subcontracted to others.

	1	2	3	4	Awards Pending
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Total Uncompleted					

Notary

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Officer or Director

Title

Signature

Date

Company

Address

City

State

Zip Code

Subscribed and sworn to before me

this _____ day of _____, _____

(Signature of Notary Public)

My commission expires _____

(Notary Seal)

Add pages for additional contracts



Affidavit of Availability

For the Letting of

Bureau of Construction
2300 South Dirksen Parkway/Room 322
Springfield, IL 62764

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For each contract described in Part I, list all the work you have subcontracted to others.

	2	3	4	Awards Pending	1
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
Amount Uncompleted					
Subcontractor					
Type of Work					
Subcontract Price					
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Officer or Director

Title

Signature

Date

Company

Address

City

State

Zip Code

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this _____ day of _____, _____

(Signature of Notary Public)

My commission expires _____

(Notary Seal)

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1	Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)		
	2	Business name/disregarded entity name, if different from above.		
	3a	Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____ Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____	
	3b	If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions _____ <input type="checkbox"/>		
	5	Address (number, street, and apt. or suite no.). See instructions.	Requester's name and address (optional)	
	6	City, state, and ZIP code		
	7	List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Social security number									
or									
Employer identification number									

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person	Date
------------------	--------------------------	------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

must obtain your correct taxpayer identification number (TIN), which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid).
- Form 1099-DIV (dividends, including those from stocks or mutual funds).
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds).
- Form 1099-NEC (nonemployee compensation).
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers).
- Form 1099-S (proceeds from real estate transactions).
- Form 1099-K (merchant card and third-party network transactions).
- Form 1098 (home mortgage interest), 1098-E (student loan interest), and 1098-T (tuition).
- Form 1099-C (canceled debt).
- Form 1099-A (acquisition or abandonment of secured property).

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

Caution: If you don't return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued);
2. Certify that you are not subject to backup withholding; or
3. Claim exemption from backup withholding if you are a U.S. exempt payee; and
4. Certify to your non-foreign status for purposes of withholding under chapter 3 or 4 of the Code (if applicable); and
5. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting is correct. See *What Is FATCA Reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding. Payments made to foreign persons, including certain distributions, allocations of income, or transfers of sales proceeds, may be subject to withholding under chapter 3 or chapter 4 of the Code (sections 1441–1474). Under those rules, if a Form W-9 or other certification of non-foreign status has not been received, a withholding agent, transferee, or partnership (payor) generally applies presumption rules that may require the payor to withhold applicable tax from the recipient, owner, transferor, or partner (payee). See Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*.

The following persons must provide Form W-9 to the payor for purposes of establishing its non-foreign status.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the disregarded entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the grantor trust.
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust and not the beneficiaries of the trust.

See Pub. 515 for more information on providing a Form W-9 or a certification of non-foreign status to avoid withholding.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person (under Regulations section 1.1441-1(b)(2)(iv) or other applicable section for chapter 3 or 4 purposes), do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515). If you are a qualified foreign pension fund under Regulations section 1.897(l)-1(d), or a partnership that is wholly owned by qualified foreign pension funds, that is treated as a non-foreign person for purposes of section 1445 withholding, do not use Form W-9. Instead, use Form W-8EXP (or other certification of non-foreign status).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a saving clause. Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if their stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first Protocol) and is relying on this exception to claim an exemption from tax on their scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include, but are not limited to, interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third-party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester;
2. You do not certify your TIN when required (see the instructions for Part II for details);
3. The IRS tells the requester that you furnished an incorrect TIN;
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only); or
5. You do not certify to the requester that you are not subject to backup withholding, as described in item 4 under "*By signing the filled-out form*" above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier.

What Is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all U.S. account holders that are specified U.S. persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you are no longer tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

- **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note for ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040 you filed with your application.

- **Sole proprietor.** Enter your individual name as shown on your Form 1040 on line 1. Enter your business, trade, or “doing business as” (DBA) name on line 2.

- **Partnership, C corporation, S corporation, or LLC, other than a disregarded entity.** Enter the entity’s name as shown on the entity’s tax return on line 1 and any business, trade, or DBA name on line 2.

- **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. Enter any business, trade, or DBA name on line 2.

- **Disregarded entity.** In general, a business entity that has a single owner, including an LLC, and is not a corporation, is disregarded as an entity separate from its owner (a disregarded entity). See Regulations section 301.7701-2(c)(2). A disregarded entity should check the appropriate box for the tax classification of its owner. Enter the owner’s name on line 1. The name of the owner entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For

example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner’s name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity’s name on line 2. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, enter it on line 2.

Line 3a

Check the appropriate box on line 3a for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3a.

IF the entity/individual on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation.
• Individual or • Sole proprietorship	Individual/sole proprietor.
• LLC classified as a partnership for U.S. federal tax purposes or • LLC that has filed Form 8832 or 2553 electing to be taxed as a corporation	Limited liability company and enter the appropriate tax classification: P = Partnership, C = C corporation, or S = S corporation.
• Partnership	Partnership.
• Trust/estate	Trust/estate.

Line 3b

Check this box if you are a partnership (including an LLC classified as a partnership for U.S. federal tax purposes), trust, or estate that has any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate, in which you have an ownership interest. You must check the box on line 3b if you receive a Form W-8 (or documentary evidence) from any partner, owner, or beneficiary establishing foreign status or if you receive a Form W-9 from any partner, owner, or beneficiary that has checked the box on line 3b.

Note: A partnership that provides a Form W-9 and checks box 3b may be required to complete Schedules K-2 and K-3 (Form 1065). For more information, see the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

If you are required to complete line 3b but fail to do so, you may not receive the information necessary to file a correct information return with the IRS or furnish a correct payee statement to your partners or beneficiaries. See, for example, sections 6698, 6722, and 6724 for penalties that may apply.

Line 4 Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third-party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys’ fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space on line 4.

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2).

- 2—The United States or any of its agencies or instrumentalities.
- 3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.
- 5—A corporation.
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission.
- 8—A real estate investment trust.
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940.
- 10—A common trust fund operated by a bank under section 584(a).
- 11—A financial institution as defined under section 581.
- 12—A middleman known in the investment community as a nominee or custodian.
- 13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
• Interest and dividend payments	All exempt payees except for 7.
• Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
• Barter exchange transactions and patronage dividends	Exempt payees 1 through 4.
• Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5. ²
• Payments made in settlement of payment card or third-party network transactions	Exempt payees 1 through 4.

¹ See Form 1099-MISC, Miscellaneous Information, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/EIN. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
6. Sole proprietorship or disregarded entity owned by an individual	The owner ³
7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))**	The grantor*

For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B))**	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

* **Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

** For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.IdentityTheft.gov and Pub. 5027.

Go to www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.

Section 3
Bid/RFP
Specifications



**Illinois Department
of Transportation**

Special Provisions

The following Special Provisions supplement the “Standard Specifications for Road and Bridge Construction”, Adopted **January 1, 2024**, the latest edition of the “Manual on Uniform Traffic Control Devices for Streets and Highways”, and the “Manual of Test Procedures of Materials” in effect on the date of invitation of bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included here in which apply to and govern the construction of **Rails to Trails Rock River Shoreline Repair**, and in case of conflict with any part, or parts, of said Specifications, the said Special Provisions shall take precedence and shall govern.

SECTION 1 – GENERAL PROVISIONS

1.1 DESCRIPTION OF WORK.

The City of Rockford requests bids from qualified companies to provide all labor, materials, equipment, and supplies necessary for **Rails to Trails Rock River Shoreline Repair** as described in these specifications. The work consists of restoration of approximately 270 lineal feet of collapsed shoreline, including bank excavation to provide a shallower bank slope, placement of engineered fill, installation of rip rap along the toe of the shoreline, installation of rip rap from the toe of the shoreline to the 10-year water elevation, removal and relocation of approximately 337 square yards of the existing multiuse path, removal and replacement of approximately 1083 square yards of existing multiuse path, installation of 1026 square feet of segmental block retaining wall, installation of 237 lineal feet of split rail fencing, and associated erosion and sediment control and site restoration. The project is located on the east bank of the Rock River, south of Fordham dam, immediately south of the Rails to Trails multi-use path bridge crossing the Rock River. The site will be accessed off of Walnut Street through Rockford Park District property via a temporary construction access easement. The site is adjacent to ComEd property and is accessed through the ComEd property via a public multi-use path which is leased for public use. No construction access directly from the Rock River or in-river work is anticipated.

The term "Standard Specification" whenever used in this document shall be construed to mean the "Standard Specifications for Road and Bridge Construction" and "Supplemental Specifications and Recurring Special Provisions", dated January 1, 2022; the "Standard Specifications for Traffic Control Items"; the latest edition of the "Illinois Manual on Uniform Traffic Control Devices for Streets and Highways" in effect; all issued by the State of Illinois, Department of Transportation, and the City of Rockford, Illinois Engineering Standards which apply to and govern the construction of Rails to Trails Rock River Shoreline Repair, City of Rockford, Winnebago County. In case of conflict any or parts of said Specifications, the said General and Special Provisions herein shall take precedence and shall govern.

1.2 CONTRACT SUBLETTING-COOPERATION AMONG SUBCONTRACTORS.

The following is in addition to Section 108.01 of the IDOT Standard Specifications and shall read as follows:

"Total contract costs" shall equal the sum of the pay items listed in the contract. Prior to the approval of any subcontracts by the Owner, the Contractor shall designate those Pay Items that are to be subcontracted. Subcontracted pay items shall include all labor, materials and equipment to complete the pay item, as required by the contract, including purchase and delivery of materials to the job site. The determination of Contractor's own organization work shall be those pay items that are constructed at the job site with the Contractor's labor and equipment. Labor shall include all personnel working for the Contractor. The cost of that portion of "total contract cost" which is subcontracted shall be determined by multiplying the unit cost as designated in the Pay Item, times the actual units provided, as physically constructed at the job site, and finally verified by the Owner.

No division of individual Pay Items between the Contractor and Subcontractor(s) shall be permitted. Any violation of this paragraph may result in disqualification of the Contractor from future bids.

It shall be the responsibility of the Contractor to ensure full cooperation among the Subcontractors doing work on the project.

All Subcontractors to be utilized by the Contractor shall provide Ownership with a Project Specific Certificate of Insurance naming City of Rockford as additional insured prior to commencement of work by said Subcontractor.

1.3 CONTROL OF WORK.

No work shall be done by the Contractor until a pre-construction meeting has been held and until a 48 hour notice has been provided to the City's Project Manager.

1.4 CONSTRUCTION INSPECTION

Any work performed without the presence of an Owner's designated representative to inspect said construction shall not be accepted for payment as directed by the Owner. Contractor shall notify Ownership a minimum of 24 hours in advance of the start of construction or the continuation of construction following a pause in work.

City representatives shall only be available between 7:30 am and 3:30 pm on weekdays. Inspectors will not be available on Saturdays, Sundays and official City of Rockford holidays. Except for work required to maintain warning lights, barricades and other safety/health-related systems, no work shall be performed on Saturdays, Sundays, legal holidays, or between 3:30 p.m. and 7:30 a.m. on other days without specific permission of the Owner. Additionally, no work will be allowed in certain areas of the project on days as specified by the City of Rockford.

Owner will provide services as needed for construction observation/inspection between the hours of 7:30 a.m. and 3:30 p.m., Monday through Friday, except for official City of Rockford holidays. Should the Contractor work outside these hours whether by his choice or in response to an emergency situation, Contractor shall pay for excess observation time at a rate of \$70.00 per hour per inspector for the number of construction observation/inspection hours expended by the Owner's designated representatives. The applicability of this excess engineering cost shall be determined on the basis of the representative's work hours expended **in each individual day** and shall not be predicated upon Contractor's work hours on preceding days or the Contractor's proposed schedule for completing the Project. Moneys due the Owner for excess engineering shall be deducted from the project's final application for payment.

1.5 EXISTING UTILITIES AND DRAINAGE STRUCTURES LOCATIONS.

Ownership does not guarantee the completeness or accuracy of the information shown on any plans regarding gas and water mains, sewers, inlets, buffalo boxes and power lines, poles or any other existing utilities or drainage structures. The Contractor shall make their own investigation to verify or determine the existence, nature and location of all utilities on the site that may interfere with construction before commencing work. The Contractor shall

report to the Owner any omissions or differences in location from that shown on any project plans. Care should be taken while working near these utilities to prevent their damage.

1.6 FAILURE TO COMPLETE WORK ON TIME.

The Schedule of Deductions for Each Day of Overrun in Contract Time shall be according to Section 108.09 of the IDOT Standard Specifications.

1.7 MAINTENANCE OF DRIVEWAYS.

Contractor shall provide vehicular access to residential or commercial/industrial driveways that shall be maintained to the property line except when necessary construction precludes such access for reasonable periods of time.

1.8 EROSION CONTROL AND NPDES COMPLIANCE.

The Contractor shall provide all materials, labor, equipment and all other incidentals to provide proper erosion control as indicated in this General Provision to this Contract.

This work shall conform to the applicable portions of Section 280 of the Standard Specifications and the attached details and all requirements set forth in the General NPDES Permit No. ILR10. The project area as shown on the engineering plans is less than 1 acre of disturbance and therefore a NOI is not anticipated for the project. The contractor will be responsible for submitting a NOI if the project disturbance exceeds the threshold of the General NPDES Permit No. ILR10. The management practices, controls and other provisions contained in the erosion and sediment control plan must be at least as protective as the requirements contained in the Illinois Urban Manual.

Any deviation of installation practices from the standard details shall be submitted to the Engineer for approval prior to placement.

The Contractor shall name a person at the preconstruction meeting who shall be on the jobsite during construction and who shall be responsible for ensuring the erosion control work is completed in a timely manner.

Any disturbed areas shall be kept to a practical minimum and shall be temporarily seeded, mulched, sodded or paved within 7 calendar days; except where Construction activity will resume on a portion of the site within 14 days from when activities ceased, (e.g. the total time period that construction activity is temporarily ceased is less than 14 days) then stabilization measures do not have to be initiated on that portion of the site by the 14th day after construction activity temporarily ceased. Best management practices will be in place downslope of the disturbed areas until final stabilization has occurred.

Any excess construction materials on site must be properly disposed of. All excess concrete material must be disposed of in an approved concrete washout container. **NO CONCRETE IS TO BE WASHED INTO THE PARKWAY OR ROCK RIVER.** The type, size, location and design of the concrete washout structures may vary but each must be approved prior to use.

Concrete washout structures used on this project are considered incidental to the contract and will not be considered for additional payment.

When excess topsoil and excavated material is removed from the site, the Contractor shall take special precautions to avoid tracking or spilling dirt onto the adjacent roadways. If excavated material is spilled outside of the job site, the Contractor shall remove the debris and clean the pavements to the satisfaction of the Engineer and properly dispose of the material.

1.9 SCHEDULING OF WORK.

Contractor shall abide by the City of Rockford Construction Noise Ordinance (Sec. 17-6) for all work with the following exception. In certain areas (some commercial and/or industrial areas), the Contractor may be required to work outside of these hours. Ownership may waive specific requirements of the City of Rockford Construction Noise Ordinance on an individual case basis.

Contractor acknowledges that alterations to the construction sequencing and schedule may be required for coordination with any third-party utilities. Contractor shall be responsible for any necessary coordination with utility companies. Any delay to the contract caused directly or indirectly by third party utilities shall not be cause for adjustment to the contract sum.

The Contractor is responsible for preparing the initial schedule in the form of an activity on arrow diagram which shall include activity description and duration, two copies shall be submitted to the Engineer at the preconstruction meeting. The construction time, as determined by the schedule shall not exceed the specified contract time. The schedule shall be updated the first of each month, when there is a delay in completion of any critical activity, or when the contract is modified causing additions, deletion or revision of activities required.

1.10 EXAMINATION OF THE PROJECT SITE.

Bidders should carefully examine the project site, to eliminate misconceptions, verify dimensions, site access, elevations, working conditions, transportation and storage facilities. Bidders should give due consideration to same in preparing their proposals as no exceptions will be considered after awarding the contract; nor will the Contractor be entitled to any extra compensation for their failure to verify conditions at the site.

1.11 SITE ACCESS AND SECURITY.

The Contractor shall at all times during construction provide a secure site within the construction zone to the satisfaction of the City of Rockford and the Engineer. In complying with this provision, the Contractor shall recognize that the construction zone is used on a daily basis for business purposes. As such, it is essential that access to all businesses be maintained at all times.

1.12 PROTECTION OF PERSONS AND PROPERTY.

The Contractor shall assume and bear all risk of damage to the work, and all risk of any accident(s), from whatsoever cause arising, until the work herein provided for shall be fully completed and accepted by the City.

Any damage to property outside of what has been asked in these specifications shall be restored to its original state or as directed by the Engineer, at the Contractor's expense.

1.13 WORK IN RIGHT OF WAY.

All work in the public right of way shall be done in accordance with Chapter 26 of the City of Rockford Code of Ordinances.

1.14 PERMITS AND NOTICES.

The City of Rockford will issue permits for work on City property. When applicable, the Contractor is responsible for procuring permits from the Illinois Department of Transportation when working within the State Right of Way. City of Rockford permitting fees are waived for City projects.

The work within and adjacent to the Rock River is authorized under IDNR-OWR Statewide Permit No. 9 and USACOE Nationwide Permit 13. Copies of the permit requirements will be provided to the Contractor at the pre-construction conference.

1.15 CONCRETE FOUNDATIONS

All drilled foundations listed under Class SI concrete in Table 1 of Article 1020.04 shall use Drilled Shaft (DS) concrete mix in lieu of Class SI concrete meeting the requirements of Section 1020 of the Standard Specifications.

1.16 SAWCUTTING.

Work shall consist of sawing existing pavements to such a depth that when the pavement is removed, a clean neat edge will result with no spalling of the remaining pavement. Saw cutting shall be performed at all locations where pavement is removed and will be replaced. This work item shall be considered included in the contract and no further compensation will be allowed.

1.17 CLEARING AND GRUBBING.

Work covered under Section 201.(a) shall be considered included in the cost of this contract and no further compensation will be allowed.

SECTION 2 – SPECIAL PROVISIONS

2.1 CONSTRUCTION LAYOUT

Description. This work shall be performed in accordance with the Standard Specifications and as directed by the Engineer. This work shall be performed per the Special Provision for Construction Layout Stakes outlined in the Special Provisions.

Method of Measurement. This work shall be measured for payment in LUMP SUM.

Basis of Payment. This work shall be paid for at the contract unit price per lump sum for CONSTRUCTION LAYOUT which price shall include all materials, labor and equipment to complete the items listed in the Special Provisions for Construction Layout Stakes.

2.2 MOBILIZATION

Description. This work shall be performed in accordance with Section 671 of the Standard Specifications and shall consist of preparatory work and operations necessary for the movement of personnel, equipment, supplies and other incidentals to the project site. The contractor shall provide all materials, equipment and labor required to mobilize personnel, equipment, materials, supplies and other incidentals to the project site.

Method of Measurement. This work shall be measured for payment in LUMP SUM. Upon execution of the contract, 90% of the pay item will be paid in the contractor's first pay request.

Basis of Payment. This work shall be paid for at the contract unit price per lump sum for MOBILIZATION, which price shall include all work associated with completing this work.

2.3 PARKWAY RESTORATION

Description. This work shall conform to Section 250 of the Standard Specifications and consist of repairing disturbed areas.

Construction. The Contractor shall seed all disturbed areas within the project limits.
Seeding
Class 1A shall be used.

Fertilizer shall be applied to all disturbed areas and incorporated into the seedbed prior to seeding or placement of sod at the rate specified in Sections 250 and 252 of the Standard Specifications.

Erosion control blankets must be installed at all disturbed areas and meet with the requirements of Article 1081.10 of the standard Specifications.

Guarantee. All seeded areas shall be maintained for at least 30 days after application. Seeding that is required by the Owner after October 10 must meet the following guarantee the following spring. Scattered bare spots no larger than 0.25 square feet (6" X 6") will be allowed up to a maximum of 5% of any seeded area including 30 day maintenance and mowing.

Method of Measurement. This work will be measured for payment as one single Lump Sum.

Contractor acknowledges that the nature of this project requires varying scopes of work at many locations throughout a large area. As such, no additional payment will be made on this lump sum item for any location additions or deletions or changes in scope of work at any particular location.

Basis of Payment. This work will be paid for at the contract unit price per LUM SUM.

2.4 TRAFFIC CONTROL AND PROTECTION, SPECIAL

Description. This work shall consist of furnishing, installing, maintaining, relocating, and removing work zone traffic control and protection in accordance with Section 701 of the Standard Specifications and the standard details shown in the plans.

Equipment. This includes all signs, signals, temporary pavement markings, other required traffic control markings, barricades, warning lights, and other devices which are to be used to regulate, warn or guide traffic during construction of this improvement in addition to Article 701.03 of the Standard Specifications.

General. All work shall be in conformance with the current edition of the Illinois Department of Transportation's Manual on Uniform Traffic Control Devices for Street and Highways.

Add the following to Section 701:

The Contractor will be required to furnish all traffic control devices necessary for the convenience and protection of vehicular and pedestrian traffic. Whenever the operation of the Contractor endangers or interferes with vehicular traffic or pedestrians, as determined by the Engineer, the Contractor shall furnish any additional traffic control devices necessary to direct and protect his workmen at no extra cost to satisfy the requirements of the Engineer. The Contractor will be required to furnish the necessary flaggers as specified in the Plans

or required by the Engineer on a continuous basis whenever construction operations are in progress.

Traffic control devices may not be delivered to the site more than 5 days prior to installation of the devices, and must be removed from the site within 5 days after the required installation is complete.

The Contractor will be responsible for the proper location, installation and arrangement of all traffic control devices furnished by him. Whenever operations indicate that relocation of a proposed or existing traffic control device is advisable, as determined by the Engineer, the Contractor shall remove, relocate and reinstall the device in question.

All advance warning signs for lane closure, intermediate information signs and standard signs shall be installed in accordance with Illinois Highway Standard 702001-02. Cones will not be allowed as a traffic control device.

Daily or periodic lane closures shall be erected no earlier than 9:00 AM and shall be removed no later than 3:30 PM regardless of the day of the week. In the event that a lane closure will remain for more than one calendar day, the Contractor shall notify the Engineer at least 6 hours in advance. Emergency lane closures shall be erected and removed at the explicit direction of the Engineer.

All advance warning signs and traffic control devices shall be removed or covered by the Contractor when such signs and devices are not in effect or at the direction of the Engineer.

The basic layout for traffic control devices will be in accordance with the Construction Access Plan and Traffic Control Details as indicated in the Plans and Specifications

The Contractor will be responsible for the maintenance of all traffic control devices installed by him as designated in the Plans and Specifications or as required by the Engineer. The Contractor will provide surveillance of all barricades, barrels, warning signs and lights which he has installed on a 24-hour a day basis for each day of this contract. In the event of severe weather conditions, the Contractor shall be required to furnish any additional personnel required to maintain all traffic control devices as required by the Engineer. Surveillance shall mean checking control devices periodically, but not less than once every 12 hours.

The Contractor shall provide the City of Rockford with the name, address and telephone number of two (2) persons who will be responsible for maintaining the traffic control devices and who will be available to the City on an immediate basis 24 hours a day. If, for any reason, one or both of the persons become unavailable, the Contractor shall furnish the same information for other individuals who will be available.

The Contractor will be required to remove all traffic control devices which were furnished, installed or maintained by him under this contract and such devices shall remain the property of the Contractor upon said removal. All traffic control devices shall remain in place until specific authorization for removal is received from the Engineer.

During any construction, a minimum of one twelve (12) foot traffic lane in each direction shall be maintained. Any deviation from this requirement shall be approved by the Engineer, with detour signing provided by the Contractor at the request of the Engineer. No extra compensation will be allowed for detour signing.

Contractor acknowledges that the nature of this project requires varying scopes of work at many locations throughout a large area. As such, no additional payment will be made on this lump sum item for any location additions or deletions or changes in scope of work at any particular location.

Signs:

The "WORKERS" (W21-1a(0)-48) signs shall be replaced with symbol "Right or Left Lane Closed Ahead" (W4-2R or L (0)- 48) signs.

"BUMP" (W8-1(O)48) signs shall be installed as directed by the Engineer.

When covering existing signs, no tape shall be used on the reflective portion of the sign.

Any plates or direct applied sheeting used to alter signs shall have the same sheeting as the base sign.

No more than one kind of alteration shall be used to alter a sign.

Any post stubs without a sign in place and visible shall have a reflector placed on each post.

Flaggers:

Flaggers shall comply with all requirements and signaling methods contained in the Department's "Traffic Control Field Manual" current at the time of letting. The flagger equipment listed for flaggers employed by the Illinois Department of Transportation shall apply to all flaggers

In addition to the flaggers shown on applicable standards, on major sideroads, flaggers shall be required on all legs of the intersection.

When the mainline flagger is within 200 feet of an intersection, the sideroad flagger shall be required.

When the road is closed to through traffic and it is necessary to provide access for local traffic, all flaggers as shown on the applicable standards will be required. No reduction in the number of flaggers shall be allowed.

Pavement Marking:

All temporary pavement markings that will be operational during the winter months (December through March) shall be paint.

Short term pavement markings on a milled surface shall be paint.

Traffic Control for Road Closure: This work shall be done according to the Road Closure Standard and Section 701 of the Standard Specifications.

Signing and devices required to close the road, according to the Traffic Control for Road Closure detail and contained herein, shall be the responsibility of the Contractor. Detour signing required to detour traffic to alternate routes shall be the responsibility of the Contractor. The day the detour signing begins, the detour will be in effect when the Contractor has notified the Resident Engineer or personnel on the project. No detour shall be erected on Friday, Saturday or Sunday. The road shall not be closed until the detour signing is completely installed, verified, and ready to accept traffic.

The "ROAD CLOSED" sign on the Type III barricades shall be unobstructed and visible to traffic at all times. No equipment, debris, or other materials shall be stored within 20 feet of the first set of Type III barricades, unless approved by the Engineer.

The Contractor shall not drive around the outside of the Type III barricades, but shall relocate the barricades temporarily for access. When it is necessary for the barricades to be moved for access, the Contractor shall move the devices into the left lane and/or left shoulder area behind barricades that are to remain in place. At no time shall the barricades be turned parallel to traffic flow for access purposes.

If a path becomes evident around the outside of the barricades, the Contractor shall be required to place additional Type III barricades to prevent driving around the existing barricades. Additional barricades shall be included in the cost of applicable Traffic Control Standards.

Method of Measurement. This work will be measured for payment on a lump sum basis.

Basis of Payment. This work will be paid for at the contract LUMP SUM price for TRAFFIC CONTROL AND PROTECTION.

2.5 ADJUST EXISTING 8" DIP PIPE OUTFALL, SPECIAL

Description: This work shall consist of adjusting the existing 8" DIP pipe outfall as shown on the engineering plans or as directed by the Engineer. The ductile iron pipe shall be cut to protrude no more than 1' from the final grade of the embankment.

Method of Measurement. This work shall be measured for payment in EACH.

Basis of Payment. This work will be paid for at the contract unit price in each for ADJUST EXISTING 8" DIP OUTFALL, SPECIAL. This priced shall include all labor equipment, materials, and incidentals necessary to complete the work as specified.

2.6 TREE REMOVAL (6 TO 15 UNITS DIAMETER)

Description. This work shall be performed in accordance with applicable portions of Section 201 of the Standard Specifications, and as directed by the Engineer. This work shall be performed within limits shown on the plans.

Method of Measurement. This work shall be measured for payment in UNIT.

Basis of Payment. This work shall be paid for at the contract unit price per UNIT for TREE REMOVAL (6 TO 15 UNITS DIAMETER), which price shall include all of items listed in the Standard Specifications.

2.7 PAVEMENT REMOVAL

Description. This work shall conform to all applicable portions of Section 440 of the Standard Specifications except as modified herein.

Damage to existing HMA Path outside of the marked areas shown on the engineering plans is to be avoided and minimized to the extent possible. Repair of these areas may be submitted to the Engineer for approval and may be measured separately for payment as part of this pay item.

Method of Measurement. This work shall be measured for payment in SQUARE YARD OF PAVEMENT REMOVAL.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD of PAVEMENT REMOVAL.

Saw cutting is included in the cost of this pay item and will not be measured separately for payment. Removal of subgrade material is included in the cost of pay item and will not be measured separately for payment.

Areas of removed path that are not specified to be replaced with new path shall require topsoil replacement; seeding; or other landscaping to restore the surrounding grass and grades, and shall be paid for as described under the Parkway Restoration pay item.

2.8 STONE OR BROKEN CONCRETE DUMPED RIPRAP, CLASS A7

Description. This work shall be performed in accordance with Section 281 of the Standard Specifications except as modified herein. This work shall consist of installing a protective course of stone at the toe of the embankment, as shown on the engineering plans.

Method of Measurement. This work shall be measured for payment in SQUARE YARDS of STONE RIPRAP OR BROKEN CONCRETE RIPRAP, CLASS A7.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD of STONE RIPRAP OR BROKEN CONCRETE RIPRAP, CLASS A7.

Excavation is included in the cost of this pay item and will not be measured separately for payment. Removal and replacement of subgrade material is included in the cost of item and will not be measured separately for payment.

This price shall include all labor, equipment, materials, disposal, and incidentals necessary to complete the work as specified.

2.9 STONE OR BROKEN CONCRETE DUMPED RIPRAP, CLASS A5

Description. This work shall be performed in accordance with Section 281 of the Standard Specifications except as modified herein. This work shall consist of excavation, furnishing and placing a protective course of bedding material and stone.

Areas to be provided with stone or broken concrete dumped riprap, Class A5, are from the toe of the slope to the 10-year water elevation, as shown on the plans.

Method of Measurement. This work shall be measured for payment in SQUARE YARDS of STONE RIPRAP OR BROKEN CONCRETE RIPRAP, CLASS A5.

Basis of Payment. This work will be paid for at the contract unit price per SQUARE YARD of STONE RIPRAP OR BROKEN CONCRETE RIPRAP, CLASS A5.

Excavation, bedding material, and all associated work is included in the cost of this pay item and will not be measured separately for payment. Removal and replacement of subgrade material is included in the cost of item and will not be measured separately for payment.

This price shall include all labor, equipment, materials, disposal, and incidentals necessary to complete the work as specified.

2.10 SEEDING, MESIC PRAIRIE SEEDING

Description. This work shall be performed in accordance with applicable portions of Section 250 of the Standard Specification except as modified herein. Seeding shall be performed in conformance within the times outlined in Article 250.07 of the Standard Specifications.

Areas to be seeded with Native Vegetation seed include above the 10-year water level to the top of bank.

The Contractor shall coordinate with the Engineer on restoration of the disturbed areas. Blending of the disturbed areas with the adjacent terrain based on this coordination is considered part of this contract and shall be paid at the contract unit price for the necessary items, which prices shall include all labor, material and equipment necessary to perform the work.

The Contractor shall submit to the owner for approval a complete list of all materials to be used during this portion of the work prior to delivery of any materials to the site. Include complete data on source, amount and quality. This submittal shall in no way be construed

as permitting substitution for specific items described on the plans or in these specifications unless approved in writing by the owner. Any substitutions made to the original seed lists shall be approved in writing by the City's consultant.

Seed of all species native to Illinois shall be from within a 250-mile radius of the project site unless approved in writing by the City's consultant.

All seed shall be preferentially installed by broadcasting into a lightly scarified soil surface. All methods shall be approved by the owner. Scarification must be completed within 5 days and with no rain events occurring between scarification and application of seed to ensure that soils are not compacted during seeding. Final topsoil grading must be completed prior to seeding.

If soil is too wet or grade is too steep to install seed as described in above, a mechanical broadcast seeder, such as a cyclone, shall be used. Hand broadcasting of seed may also be employed.

Within 24 hours of seeding, specified erosion control blanket of the type specified shall be installed per manufacturer's specifications and as shown on the plans.

All native seed shall be approved by the City prior to installation.

After completion of native seeding, the contractor shall schedule with owner a provisional acceptance inspection of the work.

The work shall be considered 90% complete after all seed has been installed and the contractor has completed all required clean up, removal, and repair.

Final acceptance. The work shall be considered 100% complete after the contractor has met or exceeded the performance standards given in the Maintenance, Monitoring & Reporting of Native Plantings pay item.

Remedial action. If seeded areas fail to meet the terms of the guarantee, the contractor will develop a remedial action plan that takes into consideration the site goals and specific deficiencies. The contractor will submit the remedial action plan to the owner for approval then implement the remedial action plan and submit a report that describes the remedial action taken. Contractor will not be required to perform additional remedial seeding/planting for a minimum of two growing seasons

Basis of Payment. This work will be paid for at the contract unit price per ACRE for MESIC PRAIRIE SEED MIXTURE which price shall include all labor, material, and equipment as specified above.

2.11 MAINTENANCE, MONITORING & REPORTING OF NATIVE PLANTINGS

Description. This work shall consist of a three-year management, monitoring and reporting of the native plantings. It includes schedule and performance standards. For purposes of this specification, year one of the monitoring will be considered the first full growing season after seeding.

Submittals. The following submittals are required as part of this pay item:

- Material: Complete list of all materials to be used.
- Equipment: List of equipment intended to be used.
- Licenses: Current copy of the State of Illinois commercial pesticide applicator's license.
- Permits: Controlled burn permit.
- Annual Monitoring Report summarizing management activities/performance standards, assessments, etc.

Materials and Products.

- Glyphosate or equivalent use in areas without standing water
- Glyphosate or equivalent in a form approved for aquatic applications in areas with standing water or saturated soils
- Garlon 4 or equivalent use for woody basal applications
- Garlon 3 or equivalent use for foliar applications

Execution of Work.

Herbicide Application: Herbicide non-native species and preserve native species per the plan.

Mowing and Spot Mowing: Mow native areas to a height of 6-12 inches before non-native target species go to seed, which may be required multiple times during growing seasons 1 and 2.

Prescribed Burning: A prescribed burn shall be conducted in the fall (November-December) of the third growing season or spring (mid-March-April) of the fourth growing season. Prescribed burns must be performed by a qualified and certified contractor.

Inspection and Acceptance. Contractor shall schedule an inspection with the owner or representative to review completed work. Management and monitoring is completed after the contractor meets all performance standards. Performance standards shall be documented for three growing seasons as outlined in these specifications.

Monitoring:

- Monitoring of all plant communities shall be conducted biannually for three full growing seasons. Site visits should be conducted between May and September.
- Monitoring visits should be performed by a person able to identify plant species and determine needed management.

- Vegetation monitoring shall be conducted via a “meander search” method to generally assess performance standards. Note that floristic quality calculations (mean C and FQI) are not required.
- Photographs of the site will document annual conditions.

Reporting:

- An annual letter report shall be prepared and submitted to the owner or representative at the end of each growing season and not later than December 31.
- The report shall identify management tasks completed for the year and recommend tasks for following year(s).
- The report shall address performance standards for the year.
- Site photographs shall be included in the report.

Performance Standards:

Native Seeding:

- Seeded areas will meet or exceed 80% total (aerial) plant cover with at least 60% relative cover by seeded species in each plant community zone. Non-native and/or invasive native species collectively will not be greater than 30% relative cover in each plant community zone. Invasive/non-native shrub and tree resprouts will not exceed 5% of any plant community zone. Reseeding is not held to an additional three year performance standard.

Method of Measurement. This work will be measured for payment as one single LUMP SUM.

Basis of Payment. This work shall be paid for at the contract unit price per lump sum for MAINTENANCE, MONITORING AND REPORTING OF NATIVE PLANTINGS which price shall include all materials, labor and equipment to complete the items listed in the Special Provisions. Contractor shall submit annual request for payment of this item. Payments shall be paid out at 30% after year 1, 30% at year 2, and the remainder at year 3 upon the receipt of each annual report and a request for payment. Final payment will be subject to documentation that the performance standards for native seeding are met.

2.12 **EROSION CONTROL BLANKET, SC150BN**

Description. This work shall be performed in accordance with applicable portions of Section 251 of the Standard Specifications, and as directed by the Engineer. Erosion control blanket type NAG SC150BN or approved equivalent shall be used in the locations shown on the plans. The netting must be fabricated with natural fiber such as coir or jute. Photodegradable plastic netting will not be acceptable. Erosion control blankets must be secured using wooden or biodegradable stakes. Steel staples will not be acceptable.

Method of Measurement. This work shall be measured for payment in SQUARE YARDS.

Basis of Payment. This work shall be paid for at the contract unit price per SQUARE YARD for EROSION CONTROL BLANKET, SC150-BN which price shall include all of items, materials, labor and equipment listed in Section 251 of the Standard Specifications.

2.13 TOPSOIL FURNISH AND PLACE, 4”

Description. This work shall be performed in accordance with Section 211 of the Standard Specifications except as modified herein and consists of topsoil furnish and placement along the embankment from the 10-year water level to the top of embankment or base of segmental block wall. Topsoil must be a minimum of 4” thick in all restored areas.

Method of Measurement. This work shall be measured for payment in CUBIC YARDS.

Basis of Payment. This work will be paid for at the contract unit price for CUBIC YARDS for TOPSOIL FURNISH AND PLACE, 4”, which price shall include all work as specified above.

2.14 NON-SPECIAL WASTE DISPOSAL

Description. This work shall include the transportation and disposal of soil and other materials from an excavation determined to be non-special waste. This work shall be associated with materials excavated behind the existing rock and brick wall. This work shall be performed in accordance with applicable portions of Section 669 of the Standard Specifications, and as directed by the Engineer.

Soil boring testing results and IEPA Form LPC-663 for the project site are included in the Appendix to these documents.

Method of Measurement. This work shall be measured for payment in CUBIC YARDS.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for NON-SPECIAL WASTE DISPOSAL. This price shall include all labor, equipment, materials, disposal, and incidentals necessary to complete the work as specified.

2.15 SPECIAL WASTE DISPOSAL

Description. This work shall include the transportation and disposal of soil and other materials from an excavation determined to be special waste (hazardous or contaminated). This work shall be associated with materials excavated behind the existing rock and brick wall. This work shall be performed in accordance with applicable portions of Section 669 of the Standard Specifications, and as directed by the Engineer.

Method of Measurement. This work shall be measured for payment in CUBIC YARDS.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for SPECIAL WASTE DISPOSAL. This price shall include all labor, equipment, materials, disposal, and incidentals necessary to complete the work as specified.

2.16 SPECIAL WASTE PLANS AND REPORTS

Description. This work shall consist of preparing and submitting special waste plans and reports for the identification, handling, and disposal of hazardous and non-hazardous waste materials encountered during construction activities. The plans and reports shall comply with all applicable federal, state, and local regulations, including those specified by applicable portions of Section 669 of the Standard Specifications, and as directed by the Engineer

General. Items associated under this pay item shall be the following:

- (a) Waste identification: Conduct a thorough assessment to identify the types and quantities of waste materials present at the project site. This includes sampling and laboratory analysis as necessary. Each sampling and analysis assessment shall not be paid under this pay item, where each instance shall be paid under SOIL DISPOSAL ANALYSIS.
- (b) Waste Handling: Develop procedures for the safe handling, storage, and transportation of waste materials to prevent contamination and ensure onsite personnel safety.
- (c) Waste Disposal: Outline methods for the proper disposal of waste materials in accordance with regulatory requirements. This includes identifying approved disposal facilities and obtaining necessary permits.
- (d) Documentation: Prepare detailed reports documenting waste identification, handling, and disposal processes. Include all relevant data, analysis results, and compliance certifications.
- (e) Coordination: Coordinate with regulatory agencies, waste disposal facilities, and other stakeholders to ensure compliance with all requirements.

Method of Measurement. This work shall be measured for payment in LUMP SUM which shall include all activities related to the preparation and submission of the plans and reports, as determined by the Engineer.

Basis of Payment. This work will be paid for at the contract unit price per lump sum for SPECIAL WASTE PLANS AND REPORTS. This price shall include all labor, equipment, materials, and incidentals necessary to complete the work as specified.

2.17 SOIL DISPOSAL ANALYSIS

Description. This work shall include waste material sampling and analysis for landfill disposal acceptance. This work shall be performed in accordance with applicable portions of Section 669 of the Standard Specifications, and as directed by the Engineer.

Method of Measurement. This work shall be measured for payment in EACH.

Basis of Payment. This work will be paid for at the contract unit price per each for SOIL DISPOSAL ANALYSIS. This price shall include all labor, equipment, materials, and incidentals necessary to complete the work as specified.

2.18 RELOCATE EXISTING LIGHTING UNIT (SPECIAL)

Description. This work shall consist of relocating the existing lighting units to the location shown in the plans or as directed by the Engineer in accordance with Sections 842, 844 and 836 of the Standard Specifications except as modified herein.

The work shall consist of the removal of the existing lighting unit and all associated hardware and appurtenances. All equipment remains the property of the City of Rockford and shall be reinstalled prior to project acceptance. The contractor shall coordinate with the City Yards for storage of the existing lighting units. Lighting equipment which is lost or damaged for any reason prior to storage with the City Yards shall be replaced with new equipment meeting the requirements of these specifications, to the satisfaction of the Engineer.

The work shall consist of removal of the existing foundations for the four relocated poles and construction of new foundations as indicated on the plans or as directed by the Engineer. The foundation removal work shall be performed in accordance with Section 842 of the Standard Specifications except as modified herein. The contractor is responsible for matching the new foundations to the anchor rod assembly of the existing lighting units.

Due to the nature of the project, the relocation of existing lighting units may not be able to be completed on the same day without interruption of operation of the light pole.

The contractor shall determine the existing wiring size and type. The work shall include all electrical work associated with disconnection and reconnection of the lighting units, including conduit installation, splicing, and electrical service disconnection/reconnection. All associated electrical work shall be completed by a licensed contractor and meet applicable codes.

Prior to the start of project, the contractor shall provide a construction schedule to the Engineer which addresses the timing of the relocation of existing lighting units.

At the Contractor's request, the City will provide available electrical plans.

Submittals: Shop drawings.

Method of Measurement. Measurement for this work will be per EACH.

Basis of Payment. This work will be paid for at the contract unit price per each for RELOCATE EXISTING LIGHTING UNIT (SPECIAL), which shall include all labor, material and equipment necessary to complete the work as specified. Foundation will not be paid for separately. Replacement of lighting equipment due to loss or damage is included in the cost of this item and will not be paid for separately.

2.19 INCIDENTAL HOT-MIX ASPHALT SURFACING, IL-9.5, MIX "D", N50, 3"

Description. This work shall be constructed in accordance with Section 408 of the Standard Specifications.

Construction. Mix designs and verification of IDOT certification must be submitted to the City for approval prior to Notice to Proceed on contract.

Method of Measurement. Measurement for this work will be on a per TON basis.

Basis of Payment. This work will be paid for at the contract unit price per ton for INCIDENTAL HOT-MIX ASPHALT SURFACING, MIX "D", N50, 1.5".

2.20 AGGREGATE BASE COURSE, TYPE B 6"

Description. This work shall conform to all applicable portions of Section 351 of the Standard Specifications. This work consists of providing an aggregate base course for the relocated multi-use path, as shown on the engineering plans.

Method of Measurement. Measurement for this work will be per SQUARE YARD.

Basis of Payment. This work will be paid for at the contract unit price per square yard for AGGREGATE BASE COURSE, TYPE B 6".

2.21 FLOATING SILT CURTAIN

Description. This work shall consist of furnishing, installing, all necessary maintenance as determined by the Engineer, and removal a floating turbidity curtain to deter silt suspension and the movement of silt particles during construction. The work shall be in accordance with the plan detail and the requirements included in the Illinois Urban Manual for a Type III curtain.

The Floating Silt Curtain shall be inspected regularly and repaired if any deficiencies are noted.

The floating silt curtain shall be installed to fully enclose the area where sediment may enter the water as depicted in the details included in the plans.

General. Accumulated sediment shall be removed per manufacturers' directions but not less than when the capacity for sediment storage has been reduced by half. Sediment that has been removed shall be placed and stabilized or removed such that it will not reenter the water body. Repairs or replacement of devices shall be made immediately. Follow manufacturer's recommendations for fabric and material repair.

Method of Measurement. This work shall be measured for payment in FOOT of FLOATING SILT CURTAIN installed measured along the water face side of the barrier.

Basis of Payment. This work shall be paid for at the contract unit price per lineal foot for FLOATING SILT CURTAIN which price shall include all materials, labor, equipment,

maintenance, disposal, and appurtenances necessary to complete this work as detailed in the plans and specified herein.

2.22 PERIMETER EROSION BARRIER

Description. This work shall conform to all applicable portions of Section 280 of the Standard Specifications.

Method of Measurement. Measurement for this work will be per FOOT.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for PERIMETER EROSION BARRIER which price shall include all materials, labor, equipment, maintenance, disposal, and appurtenances necessary to complete this work as detailed in the plans and specified herein.

2.23 SEGMENTAL CONCRETE BLOCK WALL

Description. This work shall be in accordance to SECTION 522, except as modified herein, and consists of furnishing the design computations, shop plans, materials, equipment and labor to construct a Segmental Concrete Block Wall with a maximum height of 4 feet as measured from the top of block elevation to the finished grade line at the wall face. Wall design and construction shall include a fence post anchoring system, such as "Sleeve-It" or approved equal, to allow the Split Rail Fence to be installed adjacent to the Segmental Concrete Block Wall.

Method of Measurement. This work shall be measured for payment in SQUARE FEET of wall face from the top of block line to the theoretical top of the leveling pad for the length of the wall in a vertical plane as shown on the plans.

Basis of Payment. This work shall be paid for at the contract unit price per SQUARE FEET for SEGMENTAL CONCRETE BLOCK WALL, which price shall include all equipment, fence post anchoring system, labor and materials required to complete the plans and as described herein. The fence post anchoring system is included in the cost of this item and shall not be paid for separately.

2.24 SPLIT RAIL FENCE

Description. This work includes the installation of a new split rail wood fence including rails and posts, in accordance with the detail provided and at the locations shown on the plans or as directed by the Engineer. All hardware shall be hot dipped galvanized.

Materials: The posts and rails shall comply with the requirements of Section 1007 of the Standard Specifications for Red Cedar, treated Southern Pine, or treated Douglas Fir.

Construction Requirements. Post foundations shall be installed in the fence post anchoring system, provided as part of the Segmental Concrete Block Wall pay item.

Method of Measurement. Measurement for this work will be per FOOT of Split Rail Fence as along the top of the fence from center to center of end posts.

Basis of Payment. This work will be paid for at the contract unit price per FOOT for SPLIT RAIL FENCE, which price shall include all equipment, labor and materials required to complete the work as shown on the plans and as described herein.

2.25 TEMPORARY CONSTRUCTION FENCE

Description. This work shall consist of erecting a temporary chain link fence, gates and accessories.

The work shall be performed in accordance with applicable portions of Section 664 of the Standard Specifications, except as herein modified. The fence shall be a minimum of 6 feet in height and shall be attached or mounted securely, to prevent the fence from being moved or knocked over.

Locations shall be as shown on the plans and/ or as directed by the Resident Engineer. The Resident Engineer may adjust Temporary Construction Fence locations as needed. When no work is performed the gates shall be pad locked. Additional keys shall be provided to the Resident Engineer. Temporary fencing shall be maintained, repaired and/or replaced (if required by the Engineer) without additional cost to the City of Rockford during the entire construction period. The Engineer's approval for temporary fence removal must be obtained by the Contractor. No additional compensation will be provided for replacing damaged fence.

Method of Measurement. Measurement for this Temporary Construction Fence will be in FEET, measured along the top of the fence from center to center of end posts, including length occupied by gates.

Basis of Payment. This work will be paid for at the contract unit price per lineal foot for TEMPORARY CONSTRUCTION FENCE. Fencing of the staging area is not included in this item and is considered part of SITE ACCESS AND SECURITY. This price shall include all labor, equipment, materials, furnishing, installing, maintaining, and incidentals necessary for placement, mount/attach, move, and remove the fence, gates, and associated hardware.

2.26 EXCAVATION

Description. This work shall conform to Section 202 and Section 440 of the Standard Specifications and consists of the complete excavation and removal of all material necessary to construct the embankment, construct the pathway pavement to subgrade and to do any necessary regrading of parkways.

This work shall include but not be limited to the following: removal of debris, temporary fencing, rocks, concrete, sharp objects, brush, bushes, tree limbs, woody debris, and trees less than 6-inches in diameter. Collect and properly dispose of all debris and remove materials in accordance with local regulations. Recycle materials where possible. No debris or excavated material may enter the Rock River.

Rocks or concrete removed from the embankment may be reused on site, per Engineer's approval and in accordance with Section 202.

Tree removal for trees greater than 6-inches in diameter will be paid for separately as Tree Removal (6 to 15 Units Diameter).

Method of Measurement. Measurement for this work will be per CUBIC YARD.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for EXCAVATION.

Removal and disposal of unsuitable material will be paid for at the contract unit price per cubic yard for Non-Special Waste Disposal or Special Waste Disposal.

Subbase granular material will be paid for at the contract unit price per cubic yard for Porous Granular Embankment.

2.27 **ENGINEERED FILL**

Description. This work shall be in accordance with applicable portions of Section 207 of the Standard Specifications except as herein modified.

Materials. Materials used as Engineered Fill to provide the slope grade shown on the engineering plans should consist of cohesive (clay type) material, classified as 'CL' in accordance with ASTM D-2487 'Classification of Soils for Engineering Purposes'. Additionally, the clay soils should have a minimum plasticity index (PI) greater than 12 and a fine sand and silt content of less than 65 percent. Engineered Fill should be placed in lifts no greater than 8 inches loose measure and compacted to a minimum of 95 percent of the maximum dry density as defined by ASTM D698 (Standard Proctor).

Benching. Prior to placing Engineered Fill materials, the area should be stripped of all topsoil and surface vegetation. Unstable soils eroded or sloughed off down the slope should be removed to the depth encountered. When placing fill on slopes steeper than 3:1, the slope should be prepared by cutting in steps by benching into the existing slope prior to Engineered Fill placement as shown on the plans in the IDOT Typical Detail BD-51 'Benching Detail for Embankment Widening'.

Method of Measurement. This work will be measured for payment per CUBIC YARD.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for ENGINEERED FILL. This price shall include all labor, equipment, materials, and incidentals necessary to complete the work as specified. Removal of unstable soils associated with placing ENGINEERED FILL is included in the cost of this pay item and will not be paid for separately.

Excavation and clearing of areas specified for ENGINEERED FILL will not be included for payment under this pay item and shall be paid for as described under EXCAVATION pay item. Restoration of the embankment area will not be included in this pay item and shall be

paid for as described under the TOPSOIL FURNISH AND PLACE, EROSION CONTROL BLANKET, SEEDING, NATIVE VEGETATION, and SITE RESTORATION pay items.

- 2.28 **NON-SPECIAL WASTE DISPOSAL**
- 2.29 **SPECIAL WASTE DISPOSAL**
- 2.30 **SPECIAL WASTE PLANS AND REPORTS**
- 2.31 **SOIL DISPOSAL ANALYSIS**

Description. This work shall be performed in accordance with Section 669 of the Standard Specifications for Road and Bridge Construction.

Method of Measurement. Non-Special Waste Disposal and Special Waste Disposal will be measured for payment in place and the volume computed in cubic yards.

Basis of Payment. This work will be paid for at the contract unit price per cubic yard for NON-SPECIAL WASTE DISPOSAL and SPECIAL WASTE DISPOSAL, at the contract unit price per lump sum for SPECIAL WASTE PLANS AND REPORTS, and at the contract unit price per each for SOIL DISPOSAL ANALYSIS



www.msetinc.com

MIDLAND STANDARD ENGINEERING & TESTING, INC.

410 Nolen Drive, South Elgin, Illinois 60177

(847) 844-1895 f(847) 844-3875

July 18, 2025

Mr. Logan Gilbertsen, P.E.

HR Green

323 Alana Drive

New Lenox, Illinois 60451

lgilbertsen@hrgreen.com

Re: Soil Exploration and Analysis
Rock River Bank Stabilization (HRG 2502471)
Rockford, Illinois
MSET File No. 25507

Dear Mr. Gilbertsen:

Midland Standard Engineering & Testing, Inc. has completed the field exploration and analysis for the above referenced project.

INTRODUCTION

Purpose and Scope

The purpose of this exploration and analysis was to determine the various components of the soil, the engineering characteristics of the subgrade materials, and to provide criteria for use by the design engineers.

The scope of this exploration included a geological reconnaissance of the site, a review of available soil information, subsurface exploration, soil testing, and an engineering analysis and evaluation of the materials encountered.

General

The exploration and analysis of the foundation and subsurface conditions reported herein are considered in sufficient detail and scope to form a reasonable basis for design. This report has been prepared for the exclusive use and specific application to the proposed project.

The recommendations submitted are based on the available soil information, standard residential construction, and available site location information. Any revision in the plans for the proposed structures from those enumerated in this report should be brought to the attention of the Soils Engineer to determine if changes in the recommendations are required. Any deviations from the noted subsurface conditions that are encountered during construction should also be brought to the attention of the Soil Engineer.

The Soils Engineer warrants that the findings, recommendations, specifications, or professional advice contained herein have been promulgated after being prepared in accordance with generally accepted professional engineering practice in the fields of foundation engineering, soil mechanics, and engineering geology. No other warranties are implied or expressed.

PROJECT LOCATION AND DESCRIPTION

Project Location and Description

The project site is located on the east side of the Rock River between Chestnut Street and Morgan Street within the city limits of Rockford, Illinois. The east bank of the river is approximately 20 feet high and significant erosion and ground loss has been observed. Plans to stabilize the bank include installing a sheet pile retaining wall.

FIELD EXPLORATION

General

Our exploration program consisted of making one (1) soil borings, labeled B-1 to a depth of fifty (50) feet below the ground surface. An MSET field crew staked the boring locations at the site. Ground surface elevations were measured using a Trimble® Catalyst GNSS receiver.

Drilling Equipment

The soil borings were drilled using a track mounted Geoprobe® 7822DT drill rig equipped with a rotary head. Hollow stem augers were used to advance the boreholes.

Sampling and Standard Penetration Test Procedures – Soil Borings

Representative samples were obtained using split-spoon sampling procedures in accordance with A.S.T.M. Procedure D-1586.

During the split-spoon sampling procedures, a standard penetration test was performed in accordance with current A.S.T.M. D-1586 Procedures. At sampling intervals, advancement of the boring was stopped and all loose material removed from the borehole. The sampler was then lowered into the hole and seated in undisturbed soil by pushing or tapping, taking suitable precautions that the rods were reasonably tight. The sampling spoon was then advanced by driving with an automatic drop hammer. During the sampling procedure, the standard penetration value (N) of the soil was determined. The standard penetration value (N) is defined as the number of blows of a one hundred-forty pound (140 lb) hammer required to advance the spoon sampler one foot (12") into the soil.

The results of the standard penetration tests indicate the relative density and comparative consistency of the soils and thereby provide a basis for estimating the relative strength and compressibility of the soil profile components. The results of standard penetration tests can be found on the boring logs, which are attached.

Strength Tests

A calibrated hand penetrometer was used to aid in determining the strength and consistency of cohesive soil samples (Q_p) in the field. Split-spoon samples were subjected to unconfined compressive strength testing (Q_u) by the RIMAC Method. Consideration must be given to the manner in which the values of the unconfined compressive strength were obtained. Split-spoon sampling techniques provide a representative, but somewhat disturbed soil sample.

Water Level Measurements

Water level observations were made during and after the boring operations and are noted on the boring logs presented herewith. In relatively pervious soils, such a sandy soils, the indicated elevations are considered reliable groundwater levels. In relatively impervious soils, the accurate determination of the groundwater elevation may not be possible, even after several days of observation. Seasonal variations, temperature and recent rainfall conditions may influence the levels of the groundwater table and volumes of water will depend on the permeability of the soils.

LABORATORY TESTING

Scope

A supplemental laboratory-testing program was conducted to ascertain additional pertinent engineering characteristics of the foundation materials necessary in analyzing the behavior of the proposed construction. The soils laboratory work was performed in accordance with applicable ASTM standards. The laboratory testing program included visual classification; moisture content determination for each sample obtained and unconfined compression testing for applicable samples. The results of the testing or provided on the attached boring logs.

SUBSURFACE CONDITIONS

Soil Profile

The soil profile encountered at the boring locations encountered 11 inches of black Sandy TOPSOIL at the surface. Underlying the surficial topsoil layer, the general soil profile encountered:

- **FILL (Elevation 712-707)** – Comprised of brown and dark grey GRAVEL with Sand (GP) to a depth of 5-1/2 feet below ground surface (bgs). The gravelly FILL materials are slightly dense to medium dense with standard penetration values (N) of 9 to 23 blows per foot and moisture contents of 3 to 6 percent.
- **Brown SAND (f-c) with Gravel, SP (Elevation 707-689)** – Encountered below the upper FILL layer extending to a depth of 25-1/2 feet bgs. The granular layer is medium dense with N values of 11 to 17 percent and moisture contents of 2 to 3 percent. Groundwater was noted in this layer at a depth of 21.5 feet. Elevated moisture contents between 8 to 16 percent were noted at and below the water table.
- **Dark Grey to Yellowish-Brown Silty SAND, SM (Elevation 689-680)** – Encountered below the upper sand layer extending to a depth of 32 feet. The silty sand layer is medium dense with N values of 11 to 12 blows per foot and moisture contents of 17 to 19 percent.

- **Grey SILT with Sand, ML (Elevation 680-670)** – Medium dense in consistency with N values of 15 to 27 blows per foot and moisture contents of 18 to 20 percent. Encountered below the silty sand soils to a depth of 42 feet bgs.
- **Grey Sandy Lean CLAY, CL (Elevation 670-End of Boring at 662)** – Boring terminated at a depth of 50 feet bgs in clay soils. The clay layer is very stiff in consistency with unconfined compressive strengths (Qu) of 2.5 to 3.8 tons per square foot and moisture contents of 12 to 15 percent.

Details of the soils encountered at each boring location are presented on the attached 'Boring Logs'.

Groundwater Conditions

Groundwater measurements were made during and immediately after the drilling operations were complete. Groundwater was encountered during drilling at a depth of 21.5 feet, elevation 690.8. After drilling was complete, the borehole was dry with cave in measured at 6.0 feet. Details of the groundwater conditions at each boring location are presented on the attached 'Boring Logs'.

DISCUSSION AND RECOMMENDATIONS

Retaining Wall

Anticipated improvements include constructing a sheet pile retaining wall to stabilize the existing riverbank. To design the sheet pile wall, reference the attached 'Table of Soil Strength Parameters'. The wall design should include groundwater pressure and the effects of surcharge loads and live loads on the ground surface behind the wall. The resisting passive earth pressure has a factor of safety of 2 applied to the coefficient due to the large movement required to fully develop the passive force.

CLEAN CONSTRUCTION DEMOLITION DEBRIS (CCDD)

General

Excavations along the riverbank are anticipated to generate spoils to be removed off site. Anticipated quantities of removal are unknown at this time.

Potentially Impacted Property Assessment

The property is comprised of relatively flat vacant land with bike paths running through the site that tie into a pedestrian bridge crossing the River River and a railroad underpass to the north. Historical imaging dating back to the 1980's do not suggest any other prior use of the property. The surrounding area is comprised of a residential, commercial and industrial properties.

An EDR Radius Map search performed at the target property identified the following:

- 1 location on the Federal NPL (Superfund) list within 1 mile of the target property at an equal or higher elevation.
- 1 location on the Superfund Enterprise Management System (SEMS) within ½ mile of the target site.

-
- 6 RCRA facilities undergoing corrective action within 1 mile of the target site.
 - 1 solid waste facility within ½ mile at an equal or higher elevation of the target site.
 - 44 leaking underground storage tank (LUST) incident reports within ½ mile.

Based on the relatively industrial nature of the surrounding properties, it is recommended that the site be identified as a Potentially Impacted Property.

Soil Sampling and Analytical Laboratory Analysis

Split samples were collected in the upper twenty (20) feet at the boring location. The soils encountered at the site consist of predominantly granular soils. Samples were screened with a photo-ionization detector (PID) with reading measured between 0.5 to 1.5 ppm. A discrete sample was selected at a depth of 6 feet and was tested for VOC, SVOC, Pesticides/PCB's, RCRA Metals and pH.

Analytical testing of the samples was performed by First Environmental Laboratories and results were provided in the attached report dated 7/16/2025. Soils encountered meet the Maximum Allowable Concentrations of Chemical Constituents in Uncontaminated Soils Used as Fill Material at Regulated Fill Operations (35 Ill. Adm. Code 1100, Subpart F) and meet the requirements for disposal as CCDD. See the attached IEPA LPC-663 certification. **Please note acceptance of the soil is at the discretion of the CCDD facility.**

Closure

This report is based on the information available at this time. If you have any questions regarding this report, please feel free to call.

Sincerely,
MIDLAND STANDARD ENGINEERING & TESTING, INC.



Michael H. Prigge, P.E.
Project Engineer

Appendix A: Boring Location Map
Boring Coordinates
Boring Logs (B-1)
Table of Soil Strength Parameters
General Notes

Appendix B: IEPA LPC-663 Certification
First Environmental Laboratories Report dated 7/16/2025
EDR Radius Map Report

Boring Location Map

Rock River Bank Stabilization
Rockford, Illinois
MSET File No. 25507

Legend

Soil Boring (50')

W89.0964°

B-1

King Bridge Co. Bridge

Historic Haight Village Villa

Duplex

S 1st St

Ford St

S 2nd St

Division St

Bertuso Maryann

Rockf

Seminary St

Olivo Taco Rockford

Community Church of God

Mobil

600 ft



SUMMARY OF BORING LOCATION AND GROUND SURFACE ELEVATION
ROCK RIVER EROSION REPAIR

Location	Easting	Northing	Latitude	Longitude	Elevation
B-1	2586927.77	2040367.60	42.26349	-89.09402	712.29

PROJECT: **Rock River Erosion Repair** SITE LOCATION: **Rockford, Illinois**
 BORING LOCATION: **Proposed Sheet Pile Wall** CLIENT: **HR Green**

DEPTH (feet)	SOIL TYPE	Material Description	Elevation	SAMPLE			TESTS			REMARKS
				TYPE/ INTERVAL	NO.	N-VALUE Blows per ft.	Wc%	Dry Unit Weight, pcf	Unconfined Compressive Strength, tsf	
0		11" Black Sandy TOPSOIL	712.3							
		POSSIBLE FILL - Brown and Dark Grey GRAVEL with Sand, GP, medium dense to slightly dense	711.4	SS	1	23	3			
				SS	2	9	6			
5		Brown SAND (f-c) with Gravel, SP, medium dense	706.8	SS	3	11	2			
				SS	4	14	2			
				SS	5	15	2			
				SS	6	17	2			
				SS	7	12	3			
				SS	8	11	8			
				SS	9	6	16			
				SS	10	15	14			
		Dark Grey Silty SAND, SM, wet, slightly dense	686.8	SS	11	12	17			
		Yellowish-Brown Silty SAND, SM, wet, medium dense	684.3	SS	12	11	19			
		Grey SILT with Sand, ML, medium dense	680.3	SS	13	15	20			

<p>DURING DRILLING:  21.5'</p> <p>IMMEDIATELY AFTER DRILLING:  Dry</p> <p>DELAYED READING AFTER CAVE IN DEPTH  6.0'</p>		<p>BORING STARTED: <u>7/8/25</u></p> <p>BORING COMPLETED: <u>7/8/25</u></p> <p>LOGGED BY: <u>MM</u></p> <p>BORING METHOD: <u>HSA</u></p>
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PROJECT: **Rock River Erosion Repair** SITE LOCATION: **Rockford, Illinois**
 BORING LOCATION: **Proposed Sheet Pile Wall** CLIENT: **HR Green**

DEPTH (feet)	SOIL TYPE	Material Description	Elevation	SAMPLE			TESTS			REMARKS
				TYPE/ INTERVAL	NO.	N-VALUE Blows per ft.	Wc%	Dry Unit Weight, pcf	Unconfined Compressive Strength, tsf	
40		Grey SILT with Sand, ML, medium dense		SS	14	27	18			
45		Grey Sandy Lean CLAY, CL, very stiff	670.3	SS	15	36	12	122	3.80	
50		End of Boring at 50'	662.3	SS	16	36	15		2.5 Qp	

<p>DURING DRILLING:  21.5'</p> <p>IMMEDIATELY AFTER DRILLING:  Dry</p> <p>DELAYED READING AFTER CAVE IN DEPTH  6.0'</p>	 MSET	<p>BORING STARTED: <u>7/8/25</u></p> <p>BORING COMPLETED: <u>7/8/25</u></p> <p>LOGGED BY: <u>MM</u></p> <p>BORING METHOD: <u>HSA</u></p>
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GENERAL NOTES

PARTICLE SIZE DESCRIPTION & TERMINOLOGY

Coarse Grained or Granular Soils have more than 50% of their dry weight retained on a #200 sieve; they are described as: boulders, cobbles, gravel or sand. Fine Grained soils have less than 50% of their dry weight retained on a #200 sieve; they are described as: clays or clayey silts if they are cohesive and silts if they are non-cohesive. In addition to gradation, granular soils are defined on the basis of their relative in-place density and the fine grained soils on the basis of their strength or consistency and their plasticity.

Major Component of Sample	Size Range	Descriptive Term of Components Also Present in Sample	Approximate Quantity (Percent)
Boulders			
Cobbles	8 inches to 3 inches (200 mm to 75mm)	Trace	1 - 9
Gravel	3 inches to #4 sieve (75mm to 4.75mm)	Little	10 - 19
Sand	#4 to #200 sieve (4.75mm to 0.075mm)	Some	20 - 34
Silt	Passing #200 sieve (0.075mm to 0.002mm)	And	35 - 50
Clay	Smaller than 0.002mm		

RELATIVE DENSITY AND CONSISTENCY CLASSIFICATION

GRANULAR SOILS

DENSITY CLASSIFICATION	APPROXIMATE RANGE OF N *
Very Loose	0 - 3
Slightly Dense	4 - 9
Medium Dense	10 - 29
Dense	30 - 49
Very Dense	50 - 80
Extremely Dense	80 +

COHESIVE SOILS

CONSISTENCY	UNCONFINED COMPRESSIVE STRENGTH, Q_u - TSF	APPROXIMATE RANGE OF N *
Very Soft	0.25	0 - 2
Soft	0.25 - 0.49	3 - 4
Firm	0.50 - 0.99	5 - 8
Stiff	1.00 - 1.99	9 - 15
Very Stiff	2.00 - 3.99	16 - 30
Hard	4.00 - 8.00	31 - 50
Very Hard	8.00 +	Over 50

* STANDARD PENETRATION TEST (ASTM D1586) - A 2.0" outside-diameter, split barrel sampler is driven into undisturbed soil by means of a 140 pound weight falling freely through a vertical distance of 30 inches. The sampler is normally driven 3 successive 6 inch increments. The total number of blows required for the final 12 inches of penetration is the Standard Penetration Resistance (N).



Illinois Environmental Protection Agency

2520 West Iles Avenue • P.O. Box 19276 • Springfield • Illinois • 62794-9276 • (217) 782-3397

Uncontaminated Soil Certification by Licensed Professional Engineer or Licensed Professional Geologist for Use of Uncontaminated Soil as Fill in a CCDD or Uncontaminated Soil Fill Operation LPC-663

Revised in accordance with 35 Ill. Adm. Code 1100, as amended by PCB R2012-009 (eff. Aug. 27, 2012)

This certification form is to be used by professional engineers and professional geologists to certify, pursuant to 35 Ill. Adm. Code 1100.205(a)(1)(B), that soil (i) is uncontaminated soil and (ii) is within a pH range of 6.26 to 9.0. If you have questions about this form, please telephone the Bureau of Land Permit Section at 217/524-3300.

This form may be completed online, saved locally, printed and signed, and submitted to prospective clean construction or demolition debris (CCDD) fill operations or uncontaminated soil fill operations.

I. Source Location Information

(Describe the location of the source of the uncontaminated soil)

Project Name: Rock River Bank Stabilization Office Phone Number, if available: N/A

Physical Site Location (address, including number and street):

E. Side of Rock River, approx. 1000 ft South of Fordham Dam

City: Rockford State: IL Zip Code: 61104

County: Winnebago Township: Rockford

Lat/Long of approximate center of site in decimal degrees (DD.ddddd) to five decimal places (e.g., 40.67890, -90.12345):

Latitude: 42.26349 Longitude: - 89.09402

(Decimal Degrees) (-Decimal Degrees)

Identify how the lat/long data were determined:

GPS Map Interpolation Photo Interpolation Survey Other

IEPA Site Number(s), if assigned: BOL: _____ BOW: _____ BOA: _____

Approximate Start Date (mm/dd/yyyy): _____ Approximate End Date (mm/dd/yyyy): _____

Estimated Volume of debris (cu. Yd.): _____

II. Owner/Operator Information for Source Site

Site Owner

Name: HR Green

Street Address: 323 Alana Drive

PO Box: _____

City: New Lenox State: IL

Zip Code: 60451 Phone: 815-759-8370

Contact: Logan Gilbertsen, P.E.

Email, if available: lgilbertsen@hrgreen.com

Site Operator

Name: _____

Street Address: _____

PO Box: _____

City: _____ State: _____

Zip Code: _____ Phone: _____

Contact: _____

Email, if available: _____

This Agency is authorized to require this information under Section 4 and Title X of the Environmental Protection Act (415 ILCS 5/4, 5/39). Failure to disclose this information may result in: a civil penalty of not to exceed \$50,000 for the violation and an additional civil penalty of not to exceed \$10,000 for each day during which the violation continues (415 ILCS 5/42). This form has been approved by the Forms Management Center.

Uncontaminated Soil Certification

III. Basis for Certification and Attachments

For each item listed below, reference the attachments to this form that provide the required information.

a. A Description of the soil sample points and how they were determined to be sufficient in number and appropriately located 35 Ill. Adm. Code 1100.610(a):

Soil samples were collected at the boring location within the depth of the planned excavation. Samples were screened with a PID and a discrete sample was selected for analytical testing.

b. Analytical soil testing results to show that soil chemical constituents comply with the maximum allowable concentrations established pursuant to 35 Ill. Adm. Code Part 1100, Subpart F and that the soil pH is within the range of 6.25 to 9.0, including the documentation of chain of custody control, a copy of the lab analysis; the accreditation status of the laboratory performing the analysis; and certification by an authorized agent of the laboratory that the analysis has been performed in accordance with the Agency's rules for the accreditation of environmental and the scope of the accreditation [35 Ill. Adm. Code 1100.201 (g), 1100.205(a), 1100.610]:

See First Environmental Laboratories report dated 7/16/2025.


IV. Certification Statement, Signature and Seal of Licensed Professional Engineer or Licensed Professional Geologist

I, Michael H. Prigge (name of licensed professional engineer or geologist) certify under penalty of law that the information submitted, including but not limited to, all attachments and other information, is to the best of my knowledge and belief, true, accurate and complete. In accordance with the Environmental Protection Act [415 ILCS 5/22.51 or 22.51a] and 35 Ill. Adm. Code 1100.205(a), I certify that the soil from this site is uncontaminated soil. I also certify that the soil pH is within the range of 6.25 to 9.0. In addition, I certify that the soil has not been removed from the site as part of a cleanup or removal of contaminants. All necessary documentation is attached.

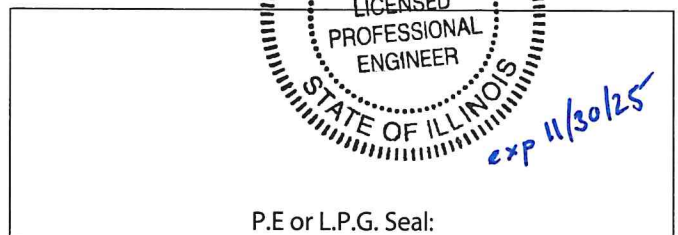
Any person who knowingly makes a false, fictitious, or fraudulent material statement, orally or in writing, to the Illinois EPA commits a Class 4 felony. A second or subsequent offense after conviction is a Class 3 felony. (415 ILCS 5/44(h))

Company Name: Midland Standard Engineering & Testing, Inc.
Street Address: 410 Nolen Drive
City: South Elgin State: IL Zip Code: 60177
Phone: 847-844-1895

Michael H. Prigge
Printed Name:


Licensed Professional Engineer or
Licensed Professional Geologist Signature:

7/18/2025
Date:





July 16, 2025

Mr. Michael Prigge
MIDLAND STANDARD ENG. & TESTING, INC.
410 Nolen Drive
South Elgin, IL 60177

Project ID: PO# 25507, Rock River Erosion Repair
First Environmental File ID: 25-6028
Date Received: July 09, 2025

Dear Mr. Michael Prigge:

The above referenced project was analyzed as directed on the enclosed chain of custody record.

All Quality Control criteria as outlined in the methods and current IL ELAP/NELAP have been met unless otherwise noted. QA/QC documentation and raw data will remain on file for future reference. Our accreditation number is 100292 and our current certificate is number:

1002922025-14: effective 01/16/25 through 02/28/2026.

I thank you for the opportunity to be of service to you and look forward to working with you again in the future. Should you have any questions regarding any of the enclosed analytical data or need additional information, please contact me at (630) 778-1200.

Sincerely,

Joy Geraci
Project Manager



Case Narrative

MIDLAND STANDARD ENG. & TESTING, INC.

Lab File ID: **25-6028**

Project ID: **PO# 25507, Rock River Erosion Repair**

Date Received: **July 09, 2025**

All quality control criteria, as outlined in the methods, have been met except as noted below or on the following analytical report.

The results in this report apply to the samples in the following table:

Laboratory Sample ID	Client Sample Identifier	Date/Time Collected
25-6028-001	SS-3, 6-7.5' B-1	7/8/2025 10:25

Sample Batch Comments:

Sample acceptance criteria were met.



Case Narrative

MIDLAND STANDARD ENG. & TESTING, INC.

Lab File ID: **25-6028**

Project ID: **PO# 25507, Rock River Erosion Repair**

Date Received: **July 09, 2025**

All quality control criteria, as outlined in the methods, have been met except as noted below or on the following analytical report.

The following is a definition of flags that may be used in this report:

Flag	Description	Flag	Description
A	Method holding time is 15 minutes from collection. Lab analysis was performed as soon as possible.		
B	Analyte was found in the method blank.	L	LCS recovery outside control limits.
<	Analyte not detected at or above the reporting limit.	M	MS recovery outside control limits; LCS acceptable.
C	Sample received in an improper container for this test.	P	Chemical preservation pH adjusted in lab.
D	Surrogates diluted out; recovery not available.	Q	Result was determined by a GC/MS database search.
E	Estimated result; concentration exceeds calibration range.	S	Analysis was subcontracted to another laboratory.
G	Surrogate recovery outside control limits.	T	Result is less than three times the MDL value.
H	Analysis or extraction holding time exceeded.	W	Reporting limit elevated due to sample matrix.
I	ICVS % rec outside 95-105% but within 90-110%		
J	Estimated result; concentration is less than routine RL but greater than MDL.	N	Analyte is not part of our NELAC accreditation or accreditation may not be available for this parameter.
RL	Routine Reporting Limit (Lowest amount that can be detected when routine weights/volumes are used without dilution.)	ND	Analyte was not detected using a library search routine; No calibration standard was analyzed.



Analytical Report

Client: MIDLAND STANDARD ENG. & TESTING, INC.
Project ID: PO# 25507, Rock River Erosion Repair
Sample ID: SS-3, 6-7.5' B-1
Sample No: 25-6028-001

Date Collected: 07/08/25
Time Collected: 10:25
Date Received: 07/09/25
Date Reported: 07/16/25

Results are reported on a dry weight basis.

Analyte	Result	R.L.	Units	Flags
Solids, Total		Method: 2540G 2011		
Analysis Date: 07/10/25				
Total Solids	97.62		%	
Volatile Organic Compounds		Method: 5035A/8260B		
Analysis Date: 07/11/25				
Acetone	< 200	200	ug/kg	
Benzene	< 5.0	5.0	ug/kg	
Bromodichloromethane	< 5.0	5.0	ug/kg	
Bromoform	< 5.0	5.0	ug/kg	
Bromomethane	< 10.0	10.0	ug/kg	
2-Butanone (MEK)	< 100	100	ug/kg	
Carbon disulfide	< 5.0	5.0	ug/kg	
Carbon tetrachloride	< 5.0	5.0	ug/kg	
Chlorobenzene	< 5.0	5.0	ug/kg	
Chlorodibromomethane	< 5.0	5.0	ug/kg	
Chloroethane	< 10.0	10.0	ug/kg	
Chloroform	< 5.0	5.0	ug/kg	
Chloromethane	< 10.0	10.0	ug/kg	
1,1-Dichloroethane	< 5.0	5.0	ug/kg	
1,2-Dichloroethane	< 5.0	5.0	ug/kg	
1,1-Dichloroethene	< 5.0	5.0	ug/kg	
cis-1,2-Dichloroethene	< 5.0	5.0	ug/kg	
trans-1,2-Dichloroethene	< 5.0	5.0	ug/kg	
1,2-Dichloropropane	< 5.0	5.0	ug/kg	
cis-1,3-Dichloropropene	< 4.0	4.0	ug/kg	
trans-1,3-Dichloropropene	< 4.0	4.0	ug/kg	
Ethylbenzene	< 5.0	5.0	ug/kg	
2-Hexanone	< 10.0	10.0	ug/kg	
Methyl-tert-butylether (MTBE)	< 5.0	5.0	ug/kg	
4-Methyl-2-pentanone (MIBK)	< 10.0	10.0	ug/kg	
Methylene chloride	< 20.0	20.0	ug/kg	
Styrene	< 5.0	5.0	ug/kg	
1,1,2,2-Tetrachloroethane	< 5.0	5.0	ug/kg	
Tetrachloroethene	< 5.0	5.0	ug/kg	
Toluene	< 5.0	5.0	ug/kg	
1,1,1-Trichloroethane	< 5.0	5.0	ug/kg	
1,1,2-Trichloroethane	< 5.0	5.0	ug/kg	
Trichloroethene	< 5.0	5.0	ug/kg	



Analytical Report

Client: MIDLAND STANDARD ENG. & TESTING, INC.
Project ID: PO# 25507, Rock River Erosion Repair
Sample ID: SS-3, 6-7.5' B-1
Sample No: 25-6028-001

Date Collected: 07/08/25
Time Collected: 10:25
Date Received: 07/09/25
Date Reported: 07/16/25

Results are reported on a dry weight basis.

Analyte	Result	R.L.	Units	Flags
Volatile Organic Compounds		Method: 5035A/8260B		
Analysis Date: 07/11/25				
Vinyl acetate	< 10.0	10.0	ug/kg	
Vinyl chloride	< 10.0	10.0	ug/kg	
Xylene, Total	< 5.0	5.0	ug/kg	
Semi-Volatile Compounds		Method: 8270C		Preparation Method 3540C
Analysis Date: 07/11/25				
Preparation Date: 07/10/25				
Acenaphthene	< 330	330	ug/kg	
Acenaphthylene	< 330	330	ug/kg	
Anthracene	< 330	330	ug/kg	
Benzdine	< 330	330	ug/kg	
Benzo(a)anthracene	< 330	330	ug/kg	
Benzo(a)pyrene	< 90	90	ug/kg	
Benzo(b)fluoranthene	< 330	330	ug/kg	
Benzo(k)fluoranthene	< 330	330	ug/kg	
Benzo(ghi)perylene	< 330	330	ug/kg	
Benzoic acid	< 330	330	ug/kg	
Benzyl alcohol	< 330	330	ug/kg	
bis(2-Chloroethoxy)methane	< 330	330	ug/kg	
bis(2-Chloroethyl)ether	< 330	330	ug/kg	
bis(2-Chloroisopropyl)ether	< 330	330	ug/kg	
bis(2-Ethylhexyl)phthalate	< 330	330	ug/kg	
4-Bromophenyl phenyl ether	< 330	330	ug/kg	
Butyl benzyl phthalate	< 330	330	ug/kg	
Carbazole	< 330	330	ug/kg	
4-Chloroaniline	< 330	330	ug/kg	
4-Chloro-3-methylphenol	< 330	330	ug/kg	
2-Chloronaphthalene	< 330	330	ug/kg	
2-Chlorophenol	< 330	330	ug/kg	
4-Chlorophenyl phenyl ether	< 330	330	ug/kg	
Chrysene	< 330	330	ug/kg	
Dibenzo(a,h)anthracene	< 90	90	ug/kg	
Dibenzofuran	< 330	330	ug/kg	
1,2-Dichlorobenzene	< 330	330	ug/kg	
1,3-Dichlorobenzene	< 330	330	ug/kg	
1,4-Dichlorobenzene	< 330	330	ug/kg	
3,3'-Dichlorobenzidine	< 660	660	ug/kg	
2,4-Dichlorophenol	< 330	330	ug/kg	



Analytical Report

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Project ID: PO# 25507, Rock River Erosion Repair
Sample ID: SS-3, 6-7.5' B-1
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Date Collected: 07/08/25
Time Collected: 10:25
Date Received: 07/09/25
Date Reported: 07/16/25

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Analyte	Result	R.L.	Units	Flags
Semi-Volatile Compounds				
Analysis Date: 07/11/25				
Method: 8270C				
		Preparation Method 3540C		
		Preparation Date: 07/10/25		
Diethyl phthalate	< 330	330	ug/kg	
2,4-Dimethylphenol	< 330	330	ug/kg	
Dimethyl phthalate	< 330	330	ug/kg	
Di-n-butyl phthalate	< 330	330	ug/kg	
4,6-Dinitro-2-methylphenol	< 1,600	1600	ug/kg	
2,4-Dinitrophenol	< 1,600	1600	ug/kg	
2,4-Dinitrotoluene	< 250	250	ug/kg	
2,6-Dinitrotoluene	< 260	260	ug/kg	
Di-n-octylphthalate	< 330	330	ug/kg	
Fluoranthene	< 330	330	ug/kg	
Fluorene	< 330	330	ug/kg	
Hexachlorobenzene	< 330	330	ug/kg	
Hexachlorobutadiene	< 330	330	ug/kg	
Hexachlorocyclopentadiene	< 330	330	ug/kg	
Hexachloroethane	< 330	330	ug/kg	
Indeno(1,2,3-cd)pyrene	< 330	330	ug/kg	
Isophorone	< 330	330	ug/kg	
2-Methylnaphthalene	< 330	330	ug/kg	
2-Methylphenol	< 330	330	ug/kg	
3 & 4-Methylphenol	< 330	330	ug/kg	
Naphthalene	< 330	330	ug/kg	
2-Nitroaniline	< 1,600	1600	ug/kg	
3-Nitroaniline	< 1,600	1600	ug/kg	
4-Nitroaniline	< 1,600	1600	ug/kg	
Nitrobenzene	< 260	260	ug/kg	
2-Nitrophenol	< 1,600	1600	ug/kg	
4-Nitrophenol	< 1,600	1600	ug/kg	
n-Nitrosodi-n-propylamine	< 90	90	ug/kg	
n-Nitrosodimethylamine	< 330	330	ug/kg	
n-Nitrosodiphenylamine	< 330	330	ug/kg	
Pentachlorophenol	< 330	330	ug/kg	
Phenanthrene	< 330	330	ug/kg	
Phenol	< 330	330	ug/kg	
Pyrene	< 330	330	ug/kg	
Pyridine	< 330	330	ug/kg	
1,2,4-Trichlorobenzene	< 330	330	ug/kg	
2,4,5-Trichlorophenol	< 330	330	ug/kg	



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Date Received: 07/09/25
Date Reported: 07/16/25

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Analyte	Result	R.L.	Units	Flags
Semi-Volatile Compounds				
Method: 8270C		Preparation Method 3540C		
Analysis Date: 07/11/25		Preparation Date: 07/10/25		
2,4,6-Trichlorophenol	< 330	330	ug/kg	
Pesticides/PCBs				
Method: 8081A/8082		Preparation Method 3540C		
Analysis Date: 07/16/25		Preparation Date: 07/10/25		
Aldrin	< 8.0	8.0	ug/kg	
Aroclor 1016	< 80.0	80.0	ug/kg	
Aroclor 1221	< 80.0	80.0	ug/kg	
Aroclor 1232	< 80.0	80.0	ug/kg	
Aroclor 1242	< 80.0	80.0	ug/kg	
Aroclor 1248	< 80.0	80.0	ug/kg	
Aroclor 1254	< 160	160	ug/kg	
Aroclor 1260	< 160	160	ug/kg	
alpha-BHC	< 2.0	2.0	ug/kg	
beta-BHC	< 8.0	8.0	ug/kg	
delta-BHC	< 8.0	8.0	ug/kg	
gamma-BHC (Lindane)	< 8.0	8.0	ug/kg	
alpha-Chlordane	< 80.0	80.0	ug/kg	
gamma-Chlordane	< 80.0	80.0	ug/kg	
4,4'-DDD	< 16.0	16.0	ug/kg	
4,4'-DDE	< 16.0	16.0	ug/kg	
4,4'-DDT	< 16.0	16.0	ug/kg	
Dieldrin	< 16.0	16.0	ug/kg	
Endosulfan I	< 8.0	8.0	ug/kg	
Endosulfan II	< 16.0	16.0	ug/kg	
Endosulfan sulfate	< 16.0	16.0	ug/kg	
Endrin	< 16.0	16.0	ug/kg	
Endrin aldehyde	< 16.0	16.0	ug/kg	
Endrin ketone	< 16.0	16.0	ug/kg	
Heptachlor	< 8.0	8.0	ug/kg	
Heptachlor epoxide	< 8.0	8.0	ug/kg	
Methoxychlor	< 80.0	80.0	ug/kg	
Toxaphene	< 160	160	ug/kg	
Total Metals				
Method: 6010C		Preparation Method 3050B		
Analysis Date: 07/15/25		Preparation Date: 07/14/25		
Arsenic	2.0	1.0	mg/kg	
Barium	15.6	0.5	mg/kg	
Cadmium	< 0.5	0.5	mg/kg	



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Analyte	Result	R.L.	Units	Flags
Total Metals		Method: 6010C		
Analysis Date: 07/15/25		Preparation Method 3050B		
		Preparation Date: 07/14/25		
Chromium	4.9	0.5	mg/kg	
Lead	6.2	0.5	mg/kg	
Selenium	< 1.0	1.0	mg/kg	
Silver	< 0.2	0.2	mg/kg	
Total Mercury		Method: 7471B		
Analysis Date: 07/14/25				
Mercury	< 0.05	0.05	mg/kg	
pH @ 25°C, 1:2		Method: 9045D		
Analysis Date: 07/14/25 9:45				
pH @ 25°C, 1:2	8.96		Units	

Division St

Division St
Rockford, IL 61104

Inquiry Number: 8051148.2s
July 18, 2025

The EDR Radius Map™ Report



6 Armstrong Road, 4th floor
Shelton, CT 06484
Toll Free: 800.352.0050
www.edrnet.com

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GEOCHECK ADDENDUM

GeoCheck - Not Requested

Thank you for your business.
Please contact EDR at 1-800-352-0050
with any questions or comments.

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EXECUTIVE SUMMARY

A search of available environmental records was conducted by Environmental Data Resources, Inc (EDR). The report was designed to assist parties seeking to meet the search requirements of EPA's Standards and Practices for All Appropriate Inquiries (40 CFR Part 312), the ASTM Standard Practice for Environmental Site Assessments (E1527 - 21), the ASTM Standard Practice for Environmental Site Assessments for Forestland or Rural Property (E2247 - 16), the ASTM Standard Practice for Limited Environmental Due Diligence: Transaction Screen Process (E1528 - 22) or custom requirements developed for the evaluation of environmental risk associated with a parcel of real estate.

TARGET PROPERTY INFORMATION

ADDRESS

DIVISION ST
ROCKFORD, IL 61104

COORDINATES

Latitude (North): 42.2644010 - 42° 15' 51.84"
Longitude (West): 89.0935010 - 89° 5' 36.60"
Universal Transverse Mercator: Zone 16
UTM X (Meters): 327332.3
UTM Y (Meters): 4681041.0
Elevation: 721 ft. above sea level

USGS TOPOGRAPHIC MAP ASSOCIATED WITH TARGET PROPERTY

Target Property Map: 24264858 ROCKFORD NORTH, IL
Version Date: 2021

South Map: 24262869 ROCKFORD SOUTH, IL
Version Date: 2021

AERIAL PHOTOGRAPHY IN THIS REPORT

Photo Year: 2023
Source: USDA

MAPPED SITES SUMMARY

Target Property Address:
 DIVISION ST
 ROCKFORD, IL 61104

Click on Map ID to see full detail.

MAP ID	SITE NAME	ADDRESS	DATABASE ACRONYMS	RELATIVE ELEVATION	DIST (ft. & mi.) DIRECTION
Reg	SOUTHEAST ROCKFORD G	2613 S 11TH ST	NPL, SEMS, US ENG CONTROLS, US INST CONTROLS, ROD	Same	4082, 0.773, South
1	WINNEBAGO CNTY HELAT	401 DIVISION ST	RCRA NonGen / NLR, FINDS, ECHO	Higher	168, 0.032, SSE
A2	CARE-A-VAN/WINNEBAGO	416 S MADISON ST	IL UST	Higher	227, 0.043, NNE
A3	CARE-A-VAN/WINNEBAGO	416 S MADISON ST	UST FINDER	Higher	227, 0.043, NNE
A4	IL BELL TELEPHONE CO	416 MADISON	UST FINDER	Higher	233, 0.044, NNE
A5	OZINGA CONCRETE	423 SOUTH MADISON ST	US BROWNFIELDS	Higher	274, 0.052, NNE
A6	MEYER MATERIAL CO RO	423 S MADISON ST	IL UST	Higher	274, 0.052, NNE
A7	MEYER MATERIAL CO RO	423 S MADISON ST	UST FINDER	Higher	274, 0.052, NNE
A8	FORMER ROCKFORD WATC	325 SOUTH MADISON ST	US BROWNFIELDS, FINDS	Higher	415, 0.079, NNE
A9	ROCKFORD LINEAR ACTU	325 S MADISON ST	RCRA NonGen / NLR	Higher	415, 0.079, NNE
A10	ROCKFORD LINEAR ACTU	325 S MADISON ST	IL BROWNFIELDS, IL ASBESTOS, IL BOL	Higher	415, 0.079, NNE
A11	ROCKFORD LINEAR ACTU	325 S MADISON ST	RCRA NonGen / NLR, FINDS, ECHO	Higher	415, 0.079, NNE
B12	INQERSOLL	302 SOUTH WATER STRE	IL BROWNFIELDS	Lower	542, 0.103, North
C13	W A WHITNEY	650 RACE STREET	FINDS, E MANIFEST	Lower	743, 0.141, WNW
C14	PIRANHA FABRICATION	650 RACE STREET	RCRA-VSQQ, E MANIFEST	Lower	743, 0.141, WNW
C15	MEGA FABRICATION INC	650 RACE STREET	IL SSU, IL LUST, IL ENG CONTROLS, IL INST CONTROL,...	Lower	743, 0.141, WNW
C16	MEGAFAB	650 RACE ST	IL UST	Lower	743, 0.141, WNW
C17	MEGAFAB	650 RACE ST	UST FINDER	Lower	743, 0.141, WNW
B18	INGERSOLL PRODUCTION	301 S WATER ST	IL BROWNFIELDS, IL AIRS, IL BOL	Lower	767, 0.145, North
B19	INGERSOLL MILLING MA	301 S WATER ST	UST FINDER	Lower	767, 0.145, North
B20	INGERSOLL PRODUCTION	301 SOUTH WATER STRE	US BROWNFIELDS	Lower	767, 0.145, North
B21	INGERSOLL MILLING MA	301 SOUTH WATER ST	WI MANIFEST	Lower	767, 0.145, North
B22	INGERSOLL MILLING MA	301 S WATER ST	IL UST	Lower	767, 0.145, North
B23	INGERSOLL MILLING MA	301 S. WATER STREET	RCRA-VSQQ, US BROWNFIELDS, FINDS, ECHO	Lower	767, 0.145, North
B24	INGERSOLL MILLING MA	301 SOUTH WATER ST.	IL LUST, IL ENG CONTROLS, IL INST CONTROL, IL SRP,...	Lower	767, 0.145, North
B25	INGERSOLL MILLING MA	301 SOUTH WATER ST.	UST FINDER RELEASE	Lower	767, 0.145, North
D26	RACE STREET PARCELS	800 RACE ST	US BROWNFIELDS	Lower	804, 0.152, WNW
E27	TRUSTED GAS, INC.	360 COLLEGE AVENUE	IL UST	Higher	855, 0.162, SE
E28	S & R MOBIL, INC.	360 COLLEGE AVENUE	UST FINDER	Higher	855, 0.162, SE
F29	G C ELECTRONICS	400 SOUTH WYMAN STRE	IL UST	Lower	877, 0.166, NNW
F30	WALLACE MURRAY CORP	400 E WYMAN ST	RCRA NonGen / NLR, IL AIRS, IL BOL	Lower	877, 0.166, NNW
F31	FORMER TAPCO	222 CEDAR STREET	US BROWNFIELDS	Lower	902, 0.171, NW
F32	TAPCO WAREHOUSE	222 CEDAR STREET	IL INST CONTROL, IL SRP, IL BROWNFIELDS, IL BOL	Lower	902, 0.171, NW
G33	DWG LLC	1100 BUCHANAN	IL LUST, RCRA NonGen / NLR, FINDS, ECHO	Lower	913, 0.173, SSW
G34	GENERAL ELECTRIC CO	1100 BUCHANAN ST/B	RCRA NonGen / NLR, FINDS, ECHO	Lower	913, 0.173, SSW
G35	ECLIPSE INC APPLIED	1100 BUCHANAN ST	IL UST	Lower	913, 0.173, SSW
G36	CREATIVE SILKSCREEN	1100 BUCHANAN ST.	UST FINDER RELEASE	Lower	913, 0.173, SSW
F37	ROCKFORD METRO CTR.	330 SOUTH WYMAN	UST FINDER RELEASE	Lower	923, 0.175, NNW
F38	ROCKFORD METRO CTR.	330 SOUTH WYMAN	IL LUST	Lower	923, 0.175, NNW

MAPPED SITES SUMMARY

Target Property Address:
 DIVISION ST
 ROCKFORD, IL 61104

Click on Map ID to see full detail.

MAP ID	SITE NAME	ADDRESS	DATABASE ACRONYMS	RELATIVE ELEVATION	DIST (ft. & mi.) DIRECTION
H39	ROCKFORD BOLT & STEE	126 MILL ST	UST FINDER	Lower	925, 0.175, WSW
H40	ROCKFORD BOLT AND SW	126 MILL ST	RCRA-VSQG, FINDS, ECHO, E MANIFEST	Lower	925, 0.175, WSW
H41	ROCKFORD BOLT & STEE	126 MILL ST	IL UST, IL BOL	Lower	925, 0.175, WSW
I42	MARCELLUS INDUSTRIES	1117 BUCHANAN ST	RCRA NonGen / NLR	Lower	956, 0.181, SSW
I43	BUILDING DEMO	1117 BUCHANAN ST	IL UST	Lower	956, 0.181, SSW
F44	FOUNDER'S LANDING BU	320 S. WYMAN STREET	US BROWNFIELDS, FINDS	Lower	973, 0.184, NNW
45	FORMER TAPCO BUILDIN	502 S MAIN ST	IL UST	Lower	978, 0.185, NW
F46	WOLD E THOMAS	416 S MAIN ST	SEMS-ARCHIVE, CORRACTS, RCRA-TSDF, RCRA NonGen /...	Lower	1006, 0.191, NW
F47	NEWELL COMPANY	416 S MAIN ST	IL UST	Lower	1006, 0.191, NW
J48	LORDEN BLDG	330 W WYMAN ST	IL UST	Lower	1036, 0.196, NNW
D49	TEXPAR ENERGY INC	615 S MAIN ST	RCRA NonGen / NLR, FINDS, ECHO	Lower	1040, 0.197, NW
D50	WHEELER MID-AMERICA	615 SOUTH MAIN ST.	UST FINDER RELEASE	Lower	1040, 0.197, NW
D51	WHEELER MID AMERICA	615 S MAIN ST	UST FINDER	Lower	1040, 0.197, NW
D52	FORMER COMED PROPERT	615 SOUTH MAIN STREE	IL INST CONTROL, IL SRP, IL AIRS, IL BOL	Lower	1040, 0.197, NW
D53	WHEELER MID AMERICA	615 S MAIN ST	IL UST	Lower	1040, 0.197, NW
D54	WHEELER MID-AMERICA	615 SOUTH MAIN ST.	IL LUST	Lower	1040, 0.197, NW
55	ROCKFORD SCHOOL DIST	201 S MADISON ST	RCRA NonGen / NLR	Higher	1101, 0.209, NNE
56	BARRON INDUSTRIES	129 MORGAN ST.	PFAS ECHO	Lower	1102, 0.209, SW
K57	BLACKHAWK COMPOSITES	720 S WYMAN	UST FINDER	Lower	1131, 0.214, West
K58	BLACKHAWK LASER DIV	720 SOUTH WYMAN STRE	PFAS ECHO	Lower	1131, 0.214, West
K59	BLACKHAWK COMPOSITES	720 S WYMAN	IL UST	Lower	1131, 0.214, West
L60	WATER POWER LOFTS	700 SOUTH MAIN STREE	IL ENG CONTROLS, IL INST CONTROL, IL SRP, IL...	Lower	1168, 0.221, WNW
M61	ROCKFORD FOUNDRIES I	212 MILL ST	UST FINDER	Lower	1178, 0.223, West
M62	ROCKFORD FOUNDRIES I	212 MILL ST	IL UST, IL BOL	Lower	1178, 0.223, West
I63	BENNETT PROD INC	121 LOOMIS ST	RCRA NonGen / NLR, FINDS, ECHO	Lower	1186, 0.225, SSW
N64	AMERICAN DRIVEWAY MA	1202 BUCHANAN ST	UST FINDER	Lower	1202, 0.228, SSW
N65	AMERICAN DRIVEWAY MA	1202 BUCHANAN ST	IL UST	Lower	1202, 0.228, SSW
O66	DAVIS MEMORIAL PARK	108 CHESTNUT ST	UST FINDER	Lower	1207, 0.229, NNE
O67	ROCKFORD, CITY OF	108 CHESTNUT ST.	IL LUST, IL BOL	Lower	1207, 0.229, NNE
O68	DAVIS MEMORIAL PARK	108 CHESTNUT ST	IL UST	Lower	1207, 0.229, NNE
O69	DAVIS MEMORIAL PARK	108 CHESTNUT ST	RCRA NonGen / NLR, FINDS, ECHO	Lower	1207, 0.229, NNE
O70	ROCKFORD, CITY OF	108 CHESTNUT ST.	UST FINDER RELEASE	Lower	1207, 0.229, NNE
O71	WINNEBAGO CO PARATRA	110 CHESTNUT ST	UST FINDER	Lower	1208, 0.229, NNE
O72	WINNEBAGO CO PARATRA	110 CHESTNUT ST	IL UST	Lower	1208, 0.229, NNE
73	FORMER WARSHAWSKY'S	431 S. MAIN STREET	IL UST	Lower	1208, 0.229, NW
P74	E. THOMAS WOLD	400-416 SOUTH MAIN S	IL ENG CONTROLS, IL INST CONTROL, IL SRP	Lower	1213, 0.230, NNW
J75	LORDEN BLDG	330 W WYMAN ST	UST FINDER	Lower	1217, 0.230, NNW
L76	FORMER LORDEN/ECLIPS	715 SOUTH MAIN STREE	US BROWNFIELDS, FINDS	Lower	1226, 0.232, WNW
P77	AMEROCK PARCELS	400 - 416 SOUTH MAIN	US BROWNFIELDS	Lower	1227, 0.232, NNW

MAPPED SITES SUMMARY

Target Property Address:
DIVISION ST
ROCKFORD, IL 61104

Click on Map ID to see full detail.

MAP ID	SITE NAME	ADDRESS	DATABASE ACRONYMS	RELATIVE ELEVATION	DIST (ft. & mi.) DIRECTION
L78	FORMER LORDEN/ECLIPS	725 SOUTH MAIN STEET	IL UST	Lower	1243, 0.235, West
L79	FIELD FASTENER SUPPL	639 S MAIN ST	IL UST, IL BOL	Lower	1260, 0.239, WNW
K80	MOORE METAL FINISHIN	800 S. MAIN ST.	RCRA NonGen / NLR, FINDS, ECHO	Lower	1311, 0.248, West
81	IL EPA ROCKFORD	WALNUT ST AND S 2ND	RCRA NonGen / NLR	Higher	1317, 0.249, ENE
Q82	MORRISUI, JOHN	703 GROVE ST.	IL LUST	Higher	1342, 0.254, ESE
Q83	MORRISUI, JOHN	703 GROVE ST.	UST FINDER RELEASE	Higher	1342, 0.254, ESE
R84	RIGGS PROPERTY	810 S. MAIN STREET	US BROWNFIELDS	Lower	1383, 0.262, West
R85	CHICAGO CENTRAL & PA	815 S MAIN ST	IL UST, IL SRP	Lower	1413, 0.268, West
R86	FORMER DEPOT	815 SOUTH MAIN STREE	US BROWNFIELDS, FINDS	Lower	1413, 0.268, West
R87	FORMER COTTA TRANSMI	818 S. MAIN STREET	US BROWNFIELDS	Lower	1427, 0.270, West
S88	ILLINOIS DEPT. OF TR	307 KISHWAUKEE ST.	UST FINDER RELEASE	Higher	1435, 0.272, East
S89	ILLINOIS DEPT. OF TR	307 KISHWAUKEE ST.	IL LUST	Higher	1435, 0.272, East
T90	FORMER 1ST CONGREGAT	607 WALNUT STREET	US BROWNFIELDS	Higher	1448, 0.274, ENE
S91	STATE OF ILLINOIS PR	323 KISHWAUKEE ST.	IL LUST	Higher	1459, 0.276, East
U92	ROCKFORD NEWSPAPERS	99 EAST STATE ST.	UST FINDER RELEASE	Lower	1472, 0.279, NNE
U93	ROCKFORD NEWSPAPERS	99 EAST STATE ST.	IL LUST	Lower	1472, 0.279, NNE
V94	AMCORE BANK NA REPO	408 GREEN ST	IL LUST, IL UST, IL BOL	Higher	1502, 0.284, NW
V95	AMCORE BANK	408 GREEN ST.	UST FINDER RELEASE	Higher	1502, 0.284, NW
96	FABRI, DAVE	203 MORGAN ST.	IL LUST, IL BOL	Lower	1515, 0.287, WSW
W97	MOTT BROTHERS COMPAN	908 & 910 SOUTH MAIN	IL LUST, IL SPILLS	Lower	1538, 0.291, WSW
W98	MOTT BROTHERS CO.	908 SOUTH MAIN	UST FINDER RELEASE	Lower	1538, 0.291, WSW
W99	CITY PARKING LOT	908 S. MAIN STREET	US BROWNFIELDS, FINDS	Lower	1538, 0.291, WSW
V100	AMCORE BANK	418 GREEN STREET	IL INST CONTROL, IL SRP, IL BROWNFIELDS, IL BOL	Higher	1549, 0.293, NW
T101	ASPLING, MR. & MRS.	208 KISHWAUKEE ST.	IL LUST	Higher	1564, 0.296, East
V102	ROCKFORD, CITY OF	320 SOUTH CHURCH ST.	UST FINDER RELEASE	Higher	1581, 0.299, NNW
V103	ROCKFORD, CITY OF	320 SOUTH CHURCH ST.	IL LUST	Higher	1581, 0.299, NNW
V104	GSA	327 SOUTH CHURCH	IL LUST	Higher	1663, 0.315, NNW
V105	USGSA	327 SOUTH CHURCH STR	UST FINDER RELEASE	Higher	1663, 0.315, NNW
V106	GSA	327 SOUTH CHURCH	UST FINDER RELEASE	Higher	1663, 0.315, NNW
X107	CHURCH & CHESTNUT, L	310 SOUTH CHURCH STR	UST FINDER RELEASE	Higher	1665, 0.315, NNW
X108	CHURCH & CHESTNUT, L	310 SOUTH CHURCH STR	IL LUST	Higher	1665, 0.315, NNW
109	SOUTH MAIN RAIL YARD	700 - 800 SOUTH MAIN	IL BROWNFIELDS	Lower	1673, 0.317, WNW
Y110	STATE OF ILLINOIS PR	520 KISHWAUKEE ST.	IL LUST	Higher	1700, 0.322, ESE
Y111	STATE OF ILLINOIS PR	520 KISHWAUKEE ST.	UST FINDER RELEASE	Higher	1700, 0.322, ESE
Z112	MAGGIO, MARY	1123 ROCK ST.	UST FINDER RELEASE	Lower	1736, 0.329, SW
Z113	MAGGIO, MARY	1123 ROCK ST.	IL LUST, IL BOL	Lower	1736, 0.329, SW
AA114	FORMER LLOYD'S HEARI	128 KISHWAUKEE STREE	US BROWNFIELDS	Higher	1736, 0.329, ENE
AA115	ROCKFORD, CITY OF	128 KISHWAUKEE STREE	IL LUST, IL SPILLS, IL ASBESTOS	Higher	1736, 0.329, ENE
X116	VACANT	301 SOUTH CHURCH STR	IL LUST, IL UST	Higher	1738, 0.329, NNW

MAPPED SITES SUMMARY

Target Property Address:
 DIVISION ST
 ROCKFORD, IL 61104

Click on Map ID to see full detail.

MAP ID	SITE NAME	ADDRESS	DATABASE ACRONYMS	RELATIVE ELEVATION	DIST (ft. & mi.) DIRECTION
X117	HOLDEN GRAPHIC SERVI	301 S CHURCH ST	RCRA-TSDF, RCRA NonGen / NLR, RAATS	Higher	1738, 0.329, NNW
X118	ROCKFORD, CITY OF	301 SOUTH CHURCH STR	UST FINDER RELEASE	Higher	1738, 0.329, NNW
AA119	ROCKFORD, CITY OF	425 EAST STATE STREE	IL LUST, MLTS	Higher	1748, 0.331, ENE
AA120	ROCKFORD, CITY OF	425 EAST STATE ST.	UST FINDER RELEASE	Higher	1748, 0.331, ENE
AB121	BARBER COLMAN - RIVE	110 LOOMIS STREET	US BROWNFIELDS	Lower	1797, 0.340, SSW
AC122	STATE OF ILLINOIS PR	605 KISHWAUKEE ST.	IL LUST	Higher	1814, 0.344, ESE
AC123	STATE OF ILLINOIS PR	605 KISHWAUKEE ST.	UST FINDER RELEASE	Higher	1814, 0.344, ESE
124	COR WELLNESS CENTER	120 N 3RD STREET	US BROWNFIELDS, FINDS	Higher	1869, 0.354, ENE
AD125	ROCKFORD CENTRAL TIL	406 SOUTH COURT ST.	IL LUST	Higher	1906, 0.361, NW
AD126	ROCKFORD CENTRAL TIL	406 SOUTH COURT ST.	UST FINDER RELEASE	Higher	1906, 0.361, NW
AD127	ROCKFORD CENTRAL TIL	406 SOUTH COURT	UST FINDER RELEASE	Higher	1906, 0.361, NW
AD128	ROCKFORD CENTRAL TIL	406 SOUTH COURT	IL LUST	Higher	1906, 0.361, NW
129	ROCKFORD CITY OF	804 2ND AVE	IL LUST, IL SPILLS, IL ASBESTOS, IL BOL	Higher	1949, 0.369, East
130	WINNEBAGO COUNTY TRU	1128 S. MAIN STREET	US BROWNFIELDS, FINDS	Higher	1963, 0.372, SW
AC131	OCTOPUS CAR WASH	602 KISHWAUKEE	IL LUST	Higher	1976, 0.374, ESE
AC132	OCTOPUS CAR WASH	602 KISHWAUKEE	UST FINDER RELEASE	Higher	1976, 0.374, ESE
AB133	BARBER COLMAN - FORM	150 LOOMIS STREET	US BROWNFIELDS	Lower	2025, 0.384, SSW
AB134	BARBER COLEMAN LLC /	150 LOOMIS STREET	IL LUST	Lower	2025, 0.384, SSW
135	NELSON KNITTING	909 S MAIN STREET	SEMS, PRP, PFAS ECHO	Higher	2066, 0.391, West
136	FORMER INN TOWN MOTE	733 EAST STATE STREE	US BROWNFIELDS	Higher	2101, 0.398, ENE
AE137	CRANKSHAFT GRINDING	202 NORTH MADISON	IL LUST	Higher	2105, 0.399, NNE
AE138	CRANKSHAFT GRINDING	202 NORTH MADISON	UST FINDER RELEASE	Higher	2105, 0.399, NNE
AD139	METZ BAKING CO	526 GREEN ST	IL LUST, FINDS, IL AIRS	Higher	2144, 0.406, NW
AD140	COLONIAL BAKERY.	526 GREEN ST.	UST FINDER RELEASE	Higher	2144, 0.406, NW
141	BARBER COLMAN - INVE	101 LANE STREET	US BROWNFIELDS	Lower	2158, 0.409, SSW
AF142	COLMAN YARDS REDEVEL	1200 ROCK STREET	US BROWNFIELDS	Lower	2194, 0.416, SW
AG143	AMCORE BANK, N.A. OF	828 1ST AVE.	UST FINDER RELEASE	Higher	2198, 0.416, ENE
AG144	AMCORE BANK NA ROCKF	828 1ST AVE	IL LUST, IL UST	Higher	2198, 0.416, ENE
AH145	ROCKFORD DEVELOPMENT	1234 SOUTH MAIN STRE	UST FINDER RELEASE	Higher	2239, 0.424, SW
AH146	ROCKFORD DEVELOPMENT	1234 SOUTH MAIN STRE	IL LUST	Higher	2239, 0.424, SW
AF147	BARBER COLMAN PARCEL	1300 ROCK STREET	RCRA-TSDF, RCRA-VSQG, FINDS, ECHO, IL AIRS	Lower	2268, 0.430, SW
AF148	INVENSYS COLMAN META	1300 ROCK ST-B	SEMS-ARCHIVE, CORRACTS, RCRA-TSDF, RCRA NonGen /...	Lower	2268, 0.430, SW
AF149	BARBER COLMAN	1300 ROCK STREET	US BROWNFIELDS	Lower	2268, 0.430, SW
AF150	BARBER COLMAN PARCEL	1300 ROCK ST	US BROWNFIELDS	Lower	2268, 0.430, SW
AF151	BARBER COLMAN PARCEL	1300 ROCK STREET	US BROWNFIELDS	Lower	2268, 0.430, SW
AF152	BARBER-COLEMAN	1300 ROCK STREET	IL INST CONTROL, IL SRP, IL BROWNFIELDS, IL...	Lower	2268, 0.430, SW
AF153	BARBER COLMAN PARCEL	1300 ROCK STREET	US BROWNFIELDS	Lower	2268, 0.430, SW
154	FORDAM DAM AREA		IL SWF/LF	Higher	2271, 0.430, SE
AI155	BEHR IRON & METAL	1034 SEMINARY STREET	IL LUST, IL BOL	Lower	2279, 0.432, South

MAPPED SITES SUMMARY

Target Property Address:
DIVISION ST
ROCKFORD, IL 61104

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MAP ID	SITE NAME	ADDRESS	DATABASE ACRONYMS	RELATIVE ELEVATION	DIST (ft. & mi.) DIRECTION
AI156	PEORIA ROCKFORD BUS	1034 SEMINARY ST.	UST FINDER RELEASE	Lower	2279, 0.432, South
AJ157	CITY OF ROCKFORD PUB	400 WEST STATE ST.	UST FINDER RELEASE	Higher	2307, 0.437, NNW
AJ158	WINNEBAGO COUNTY	400 W. STATE STREET	IL LUST, IL UST	Higher	2307, 0.437, NNW
AJ159	PUBLIC SAFETY BUILDI	420 WEST STATE	IL LUST, IL UST	Higher	2308, 0.437, NNW
AJ160	PUBLIC SAFETY BLDG.	420 WEST STATE ST.	UST FINDER RELEASE	Higher	2308, 0.437, NNW
AK161	ROCKVIEW MARINA	220 NORTH WATER ST.	UST FINDER RELEASE	Lower	2310, 0.438, NNE
AK162	ROCKVIEW MARINA	220 NORTH WATER ST.	IL LUST	Lower	2310, 0.438, NNE
AH163	PARIS AUTO SHOP	1231 SOUTH MAIN ST.	UST FINDER RELEASE	Higher	2345, 0.444, SW
AH164	PARIS AUTO SHOP INC	1231 S MAIN ST	IL LUST, IL SPILLS, RCRA NonGen / NLR, FINDS, ECHO	Higher	2345, 0.444, SW
AL165	FORMER TREKK MEDIA /	134 N MAIN ST	US BROWNFIELDS	Lower	2398, 0.454, North
AM166	ROCKFORD GAS, LIGHT	201 N. WYMAN	EDR MGP	Lower	2438, 0.462, North
AH167	SOUTH MAIN SUNOCO	1303 SOUTH MAIN ST.	IL LUST	Higher	2450, 0.464, SW
AH168	SOUTH MAIN SUNOCO	1303 SOUTH MAIN ST.	UST FINDER RELEASE	Higher	2450, 0.464, SW
AM169	COMMONWEALTH EDISON	215 NORTH WYMAN STRE	IL INST CONTROL, IL SRP	Lower	2489, 0.471, North
AN170	AMCORE TRUST #2570	716 MARKET ST	UST FINDER, UST FINDER RELEASE	Higher	2490, 0.472, ENE
AN171	AMCORE TRUST #2570	716 MARKET ST.	IL LUST	Higher	2490, 0.472, ENE
AO172	1ST NATIONAL BANK &	520 ELM ST.	IL LUST	Higher	2494, 0.472, NNW
AO173	1ST NATIONAL BANK &	520 ELM ST.	UST FINDER RELEASE	Higher	2494, 0.472, NNW
AP174	MARATHON OIL CO UNIT	925 FIRST AVE AND 6T	IL LUST, RCRA NonGen / NLR, FINDS, ECHO	Higher	2505, 0.474, East
AP175	EAST ROCKFORD AUTO C	925 1ST AVE.	UST FINDER RELEASE	Higher	2505, 0.474, East
AP176	EAST ROCKFORD AUTO C	925 1ST AVE.	IL LUST, IL BOL	Higher	2505, 0.474, East
AP177	MARATHON OIL #5130	925 FIRST AVE.	UST FINDER RELEASE	Higher	2505, 0.474, East
178	DAILY AUTO UPHOLSTER	915 EAST STATE STREE	US BROWNFIELDS	Higher	2535, 0.480, ENE
AQ179	IC WEBB & ASSOC. INC	301 NORTH MADISON	UST FINDER RELEASE	Higher	2556, 0.484, NNE
AQ180	IC WEBB & ASSOC. INC	301 NORTH MADISON	IL LUST	Higher	2556, 0.484, NNE
181	ROCKFORD, CITY OF	524 KENT STREET	IL LUST, IL SPILLS, IL BOL	Higher	2558, 0.484, West
AL182	BLISS BUILDING REDEV	317 MULBERRY STREET	US BROWNFIELDS	Higher	2584, 0.489, North
AO183	LARRY POWDER'S AUTOM	823 ELM STREET	IL SRP, IL BOL	Higher	2623, 0.497, NNW
184	FORMER COMCAST BUILD	102 W. JEFFERSON	US BROWNFIELDS, FINDS	Lower	2629, 0.498, North
185	MIDWEST INDUSTRIAL S	802 CEDAR ST	CORRACTS, RCRA-TSDF, RCRA NonGen / NLR, FINDS,...	Lower	3044, 0.577, NW
186	SHERWIN WILLIAMS CO	1215 NELSON BLVD	SEMS-ARCHIVE, CORRACTS, RCRA-VSQG, TRIS, SSTS,...	Lower	3542, 0.671, SSW
187	ROCKFORD GAS, LIGHT	1099 CEDAR STREET	EDR MGP	Higher	3648, 0.691, WNW
188	RUST-OLEUM CORP-ROCK	615 BUCKBEE ST-A	CORRACTS, RCRA-TSDF, RCRA NonGen / NLR, TRIS,...	Higher	3979, 0.754, SSE
189	DALY DRUM SERVICE, I	702 TAY STREET	SEMS-ARCHIVE, IL SSU, RCRA NonGen / NLR, PRP,...	Lower	4192, 0.794, WNW
AR190	J AND M PLATING INC	1711 SEMINARY ST	CORRACTS, RCRA-TSDF, RCRA NonGen / NLR, 2020 COR...	Higher	4937, 0.935, South
AR191	J & M PLATING	1711 SEMINARY ROAD	IL SSU, IL LUST, IL INST CONTROL, IL SRP, IL...	Higher	4937, 0.935, South

EXECUTIVE SUMMARY

TARGET PROPERTY SEARCH RESULTS

The target property was not listed in any of the databases searched by EDR.

DATABASES WITH NO MAPPED SITES

No mapped sites were found in EDR's search of available ("reasonably ascertainable ") government records either on the target property or within the search radius around the target property for the following databases:

STANDARD ENVIRONMENTAL RECORDS

Lists of Federal NPL (Superfund) sites

Proposed NPL..... Proposed National Priority List Sites
NPL LIENS..... Federal Superfund Liens

Lists of Federal Delisted NPL sites

Delisted NPL..... National Priority List Deletions

Lists of Federal sites subject to CERCLA removals and CERCLA orders

FEDERAL FACILITY..... Federal Facility Site Information listing

Lists of Federal RCRA generators

RCRA-LQG..... RCRA - Large Quantity Generators
RCRA-SQG..... RCRA - Small Quantity Generators

Federal institutional controls / engineering controls registries

LUCIS..... Land Use Control Information System

Federal ERNS list

ERNS..... Emergency Response Notification System

Lists of state and tribal landfills and solid waste disposal facilities

IL CCDD..... Clean Construction or Demolition Debris
IL LF SPECIAL WASTE..... Special Waste Site List
IL NIPC..... Solid Waste Landfill Inventory

Lists of state and tribal leaking storage tanks

INDIAN LUST..... Leaking Underground Storage Tanks on Indian Land
IL LUST TRUST..... Underground Storage Tank Fund Payment Priority List

Lists of state and tribal registered storage tanks

FEMA UST..... Underground Storage Tank Listing

EXECUTIVE SUMMARY

IL AST..... Above Ground Storage Tanks
INDIAN UST..... Underground Storage Tanks on Indian Land

Lists of state and tribal voluntary cleanup sites

INDIAN VCP..... Voluntary Cleanup Priority Listing

ADDITIONAL ENVIRONMENTAL RECORDS

Local Lists of Landfill / Solid Waste Disposal Sites

INDIAN ODI..... Report on the Status of Open Dumps on Indian Lands
ODI..... Open Dump Inventory
DEBRIS REGION 9..... Torres Martinez Reservation Illegal Dump Site Locations
IHS OPEN DUMPS..... Open Dumps on Indian Land

Local Lists of Hazardous waste / Contaminated Sites

US HIST CDL..... Delisted National Clandestine Laboratory Register
IL CDL..... Meth Drug Lab Site Listing
US CDL..... National Clandestine Laboratory Register

Local Land Records

LIENS 2..... CERCLA Lien Information

Records of Emergency Release Reports

HMIRS..... Hazardous Materials Information Reporting System
IL SPILLS 90..... SPILLS 90 data from FirstSearch

Other Ascertainable Records

FUDS..... Formerly Used Defense Sites
DOD..... Department of Defense Sites
SCRD DRYCLEANERS..... State Coalition for Remediation of Drycleaners Listing
US FIN ASSUR..... Financial Assurance Information
EPA WATCH LIST..... EPA WATCH LIST
TSCA..... Toxic Substances Control Act
RMP..... Risk Management Plans
PADS..... PCB Activity Database System
FTTS..... FIFRA/ TSCA Tracking System - FIFRA (Federal Insecticide, Fungicide, & Rodenticide Act)/TSCA (Toxic Substances Control Act)
COAL ASH DOE..... Steam-Electric Plant Operation Data
COAL ASH EPA..... Coal Combustion Residues Surface Impoundments List
PCB TRANSFORMER..... PCB Transformer Registration Database
RADINFO..... Radiation Information Database
HIST FTTS..... FIFRA/TSCA Tracking System Administrative Case Listing
DOT OPS..... Incident and Accident Data
INDIAN RESERV..... Indian Reservations
FUSRAP..... Formerly Utilized Sites Remedial Action Program
UMTRA..... Uranium Mill Tailings Sites
LEAD SMELTERS..... Lead Smelter Sites
US MINES..... Mines Master Index File

EXECUTIVE SUMMARY

ABANDONED MINES.....	Abandoned Mines
MINES MRDS.....	Mineral Resources Data System
UXO.....	Unexploded Ordnance Sites
DOCKET HWC.....	Hazardous Waste Compliance Docket Listing
FUELS PROGRAM.....	EPA Fuels Program Registered Listing
PFAS NPL.....	Superfund Sites with PFAS Detections Information
PFAS FEDERAL SITES.....	Federal Sites PFAS Information
PFAS TRIS.....	List of PFAS Added to the TRI
PFAS TSCA.....	PFAS Manufacture and Imports Information
PFAS RCRA MANIFEST.....	PFAS Transfers Identified In the RCRA Database Listing
PFAS ATSDR.....	PFAS Contamination Site Location Listing
PFAS WQP.....	Ambient Environmental Sampling for PFAS
PFAS PROJECT.....	NORTHEASTERN UNIVERSITY PFAS PROJECT
PFAS NPDES.....	Clean Water Act Discharge Monitoring Information
PFAS ECHO FIRE TRAIN.....	Facilities in Industries that May Be Handling PFAS Listing
PFAS PT 139 AIRPORT.....	All Certified Part 139 Airports PFAS Information Listing
AQUEOUS FOAM NRC.....	Aqueous Foam Related Incidents Listing
BIOSOLIDS.....	ICIS-NPDES Biosolids Facility Data
IL PFAS.....	PFAS Sampling Listing
IL COAL ASH.....	Coal Ash Site Listing
IL DRYCLEANERS.....	Illinois Licensed Drycleaners
IL Financial Assurance.....	Financial Assurance Information Listing
IL HWAR.....	Hazard Waste Annual Report
IL IMPDMNT.....	Surface Impoundment Inventory
IL NPDES.....	A Listing of Active Permits
IL PIMW.....	Potentially Infectious Medical Waste

EDR HIGH RISK HISTORICAL RECORDS

EDR Exclusive Records

EDR Hist Auto.....	EDR Exclusive Historical Auto Stations
EDR Hist Cleaner.....	EDR Exclusive Historical Cleaners

EDR RECOVERED GOVERNMENT ARCHIVES

Exclusive Recovered Govt. Archives

IL RGA HWS.....	Recovered Government Archive State Hazardous Waste Facilities List
IL RGA LF.....	Recovered Government Archive Solid Waste Facilities List
IL RGA LUST.....	Recovered Government Archive Leaking Underground Storage Tank

SURROUNDING SITES: SEARCH RESULTS

Surrounding sites were identified in the following databases.

Elevations have been determined from the USGS Digital Elevation Model and should be evaluated on a relative (not an absolute) basis. Relative elevation information between sites of close proximity should be field verified. Sites with an elevation equal to or higher than the target property have been differentiated below from sites with an elevation lower than the target property. Page numbers and map identification numbers refer to the EDR Radius Map report where detailed data on individual sites can be reviewed.

Sites listed in ***bold italics*** are in multiple databases.

Unmappable (orphan) sites are not considered in the foregoing analysis.

EXECUTIVE SUMMARY

STANDARD ENVIRONMENTAL RECORDS

Lists of Federal NPL (Superfund) sites

NPL: Also known as Superfund, the National Priority List database is a subset of CERCLIS and identifies over 1,200 sites for priority cleanup under the Superfund program. The source of this database is the U.S. EPA.

A review of the NPL list, as provided by EDR, and dated 06/26/2025 has revealed that there is 1 NPL site within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
SOUTHEAST ROCKFORD G Cerclis ID:: 500955 EPA Id: ILD981000417	2613 S 11TH ST	S 1/2 - 1 (0.773 mi.)	0	8

Lists of Federal sites subject to CERCLA removals and CERCLA orders

SEMS: SEMS (Superfund Enterprise Management System) tracks hazardous waste sites, potentially hazardous waste sites, and remedial activities performed in support of EPA's Superfund Program across the United States. The list was formerly know as CERCLIS, renamed to SEMS by the EPA in 2015. The list contains data on potentially hazardous waste sites that have been reported to the USEPA by states, municipalities, private companies and private persons, pursuant to Section 103 of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). This dataset also contains sites which are either proposed to or on the National Priorities List (NPL) and the sites which are in the screening and assessment phase for possible inclusion on the NPL.

A review of the SEMS list, as provided by EDR, and dated 06/26/2025 has revealed that there is 1 SEMS site within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
NELSON KNITTING	909 S MAIN STREET	W 1/4 - 1/2 (0.391 mi.)	135	667

Lists of Federal CERCLA sites with NFRAP

SEMS-ARCHIVE: SEMS-ARCHIVE (Superfund Enterprise Management System Archive) tracks sites that have no further interest under the Federal Superfund Program based on available information. The list was formerly known as the CERCLIS-NFRAP, renamed to SEMS ARCHIVE by the EPA in 2015. EPA may perform a minimal level of assessment work at a site while it is archived if site conditions change and/or new information becomes available. Archived sites have been removed and archived from the inventory of SEMS sites. Archived status indicates that, to the best of EPA's knowledge, assessment at a site has been completed and that EPA has determined no further steps will be taken to list the site on the National Priorities List (NPL), unless information indicates this decision was not appropriate or other considerations require a recommendation for listing at a later time. The decision does not necessarily mean that there is no hazard associated with a given site; it only means that, based upon available information, the location is not judged to be potential NPL site.

A review of the SEMS-ARCHIVE list, as provided by EDR, and dated 06/26/2025 has revealed that there

EXECUTIVE SUMMARY

are 2 SEMS-ARCHIVE sites within approximately 0.5 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
WOLD E THOMAS Site ID: 0506717 EPA Id: ILD000806190	416 S MAIN ST	NW 1/8 - 1/4 (0.191 mi.)	F46	279
INVENSYS COLMAN META Site ID: 0506692 EPA Id: ILD005145958	1300 ROCK ST-B	SW 1/4 - 1/2 (0.430 mi.)	AF148	771

Lists of Federal RCRA facilities undergoing Corrective Action

CORRACTS: CORRACTS is a list of handlers with RCRA Corrective Action Activity. This report shows which nationally-defined corrective action core events have occurred for every handler that has had corrective action activity.

A review of the CORRACTS list, as provided by EDR, and dated 06/02/2025 has revealed that there are 6 CORRACTS sites within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
RUST-OLEUM CORP-ROCK J AND M PLATING INC EPA ID:: ILD990783995	615 BUCKBEE ST-A 1711 SEMINARY ST	SSE 1/2 - 1 (0.754 mi.) S 1/2 - 1 (0.935 mi.)	188 AR190	1035 1156

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
WOLD E THOMAS EPA ID:: ILD000806190	416 S MAIN ST	NW 1/8 - 1/4 (0.191 mi.)	F46	279
INVENSYS COLMAN META EPA ID:: ILD005145958	1300 ROCK ST-B	SW 1/4 - 1/2 (0.430 mi.)	AF148	771
MIDWEST INDUSTRIAL S EPA ID:: ILD102374642	802 CEDAR ST	NW 1/2 - 1 (0.577 mi.)	185	896
SHERWIN WILLIAMS CO EPA ID:: ILD001980135	1215 NELSON BLVD	SSW 1/2 - 1 (0.671 mi.)	186	947

Lists of Federal RCRA TSD facilities

RCRA-TSDF: RCRAInfo is EPA's comprehensive information system, providing access to data supporting the Resource Conservation and Recovery Act (RCRA) of 1976 and the Hazardous and Solid Waste Amendments (HSWA) of 1984. The database includes selective information on sites which generate, transport, store, treat and/or dispose of hazardous waste as defined by the Resource Conservation and Recovery Act (RCRA). Transporters are individuals or entities that move hazardous waste from the generator offsite to a facility that can recycle, treat, store, or dispose of the waste. TSDFs treat, store, or dispose of the waste.

A review of the RCRA-TSDF list, as provided by EDR, and dated 06/02/2025 has revealed that there are 4 RCRA-TSDF sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
HOLDEN GRAPHIC SERVI	301 S CHURCH ST	NNW 1/4 - 1/2 (0.329 mi.)	X117	565

EXECUTIVE SUMMARY

EPA ID:: ILD981197528

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
WOLD E THOMAS EPA ID:: ILD000806190	416 S MAIN ST	NW 1/8 - 1/4 (0.191 mi.)	F46	279
BARBER COLMAN PARCEL EPA ID:: ILD981092141	1300 ROCK STREET	SW 1/4 - 1/2 (0.430 mi.)	AF147	726
INVENSYS COLMAN META EPA ID:: ILD005145958	1300 ROCK ST-B	SW 1/4 - 1/2 (0.430 mi.)	AF148	771

Lists of Federal RCRA generators

RCRA-VSQQ: RCRAInfo is EPA's comprehensive information system, providing access to data supporting the Resource Conservation and Recovery Act (RCRA) of 1976 and the Hazardous and Solid Waste Amendments (HSWA) of 1984. The database includes selective information on sites which generate, transport, store, treat and/or dispose of hazardous waste as defined by the Resource Conservation and Recovery Act (RCRA). Very small quantity generators (VSQGs) generate less than 100 kg of hazardous waste, or less than 1 kg of acutely hazardous waste per month.

A review of the RCRA-VSQQ list, as provided by EDR, and dated 06/02/2025 has revealed that there are 3 RCRA-VSQQ sites within approximately 0.25 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
PIRANHA FABRICATION EPA ID:: ILD005467501	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C14	101
INGERSOLL MILLING MA EPA ID:: ILD981097652	301 S. WATER STREET	N 1/8 - 1/4 (0.145 mi.)	B23	141
ROCKFORD BOLT AND SW EPA ID:: ILD046583696	126 MILL ST	WSW 1/8 - 1/4 (0.175 mi.)	H40	235

Lists of state- and tribal hazardous waste facilities

IL SSU: The State Response Action Program database identifies the status of all sites under the responsibility of the Illinois EPA's State Sites Unit.

A review of the IL SSU list, as provided by EDR, and dated 01/16/2025 has revealed that there are 3 IL SSU sites within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
J & M PLATING SSU Status: Completed Facility Id: 2010300050	1711 SEMINARY ROAD	S 1/2 - 1 (0.935 mi.)	AR191	1274
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MEGA FABRICATION INC	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C15	115

EXECUTIVE SUMMARY

IL EPA Id: 2010305814				
ASPLING, MR. & MRS. Incident Num: 933138 IL EPA Id: 2010305312	208 KISHWAUKEE ST.	E 1/4 - 1/2 (0.296 mi.)	T101	528
ROCKFORD, CITY OF NFA/NFR Letter: 2009-02-10 Incident Num: 912024 IL EPA Id: 2010305684	320 SOUTH CHURCH ST.	NNW 1/4 - 1/2 (0.299 mi.)	V103	529
GSA NFA/NFR Letter: 2008-12-22 Incident Num: 20080914 Incident Num: 20081257 IL EPA Id: 2010306603	327 SOUTH CHURCH	NNW 1/4 - 1/2 (0.315 mi.)	V104	530
CHURCH & CHESTNUT, L NFA/NFR Letter: 2014-04-03 Incident Num: 20131207 IL EPA Id: 2010306736	310 SOUTH CHURCH STR	NNW 1/4 - 1/2 (0.315 mi.)	X108	533
STATE OF ILLINOIS PR NFA/NFR Letter: 2009-01-30 Incident Num: 903602 IL EPA Id: 2010305570	520 KISHWAUKEE ST.	ESE 1/4 - 1/2 (0.322 mi.)	Y110	534
ROCKFORD, CITY OF NFA/NFR Letter: 2022-02-17 Incident Num: 20210765 IL EPA Id: 2010306871	128 KISHWAUKEE STREE	ENE 1/4 - 1/2 (0.329 mi.)	AA115	562
VACANT Incident Num: 20100571 IL EPA Id: 2010300082	301 SOUTH CHURCH STR	NNW 1/4 - 1/2 (0.329 mi.)	X116	564
ROCKFORD, CITY OF NFA/NFR Letter: 1993-02-08 Incident Num: 922919 IL EPA Id: 2010301144	425 EAST STATE STREE	ENE 1/4 - 1/2 (0.331 mi.)	AA119	582
STATE OF ILLINOIS PR NFA/NFR Letter: 2009-01-30 Incident Num: 903532 IL EPA Id: 2010305571	605 KISHWAUKEE ST.	ESE 1/4 - 1/2 (0.344 mi.)	AC122	601
ROCKFORD CENTRAL TIL NFA/NFR Letter: 2000-01-06 Incident Num: 982070 IL EPA Id: 2010306224	406 SOUTH COURT ST.	NW 1/4 - 1/2 (0.361 mi.)	AD125	622
ROCKFORD CENTRAL TIL NFA/NFR Letter: 2000-01-06 Incident Num: 981969 IL EPA Id: 2010306224	406 SOUTH COURT	NW 1/4 - 1/2 (0.361 mi.)	AD128	625
ROCKFORD CITY OF Incident Num: 20190508 IL EPA Id: 2010306842	804 2ND AVE	E 1/4 - 1/2 (0.369 mi.)	129	625
OCTOPUS CAR WASH NFA/NFR Letter: 1996-07-09 Incident Num: 902614	602 KISHWAUKEE	ESE 1/4 - 1/2 (0.374 mi.)	AC131	651

EXECUTIVE SUMMARY

IL EPA Id: 2010300105 CRANKSHAFT GRINDING NFA/NFR Letter: 1994-08-08 Incident Num: 891820 IL EPA Id: 2010305325	202 NORTH MADISON	NNE 1/4 - 1/2 (0.399 mi.)	AE137	671
METZ BAKING CO NFA/NFR Letter: 2000-04-12 Incident Num: 980023 IL EPA Id: 2010305337	526 GREEN ST	NW 1/4 - 1/2 (0.406 mi.)	AD139	672
AMCORE BANK NA ROCKF NFA/NFR Letter: 1993-07-09 Incident Num: 921337 IL EPA Id: 2010305792	828 1ST AVE	ENE 1/4 - 1/2 (0.416 mi.)	AG144	723
ROCKFORD DEVELOPMENT NFA/NFR Letter: 2003-05-01 Incident Num: 20021728 IL EPA Id: 2010306371	1234 SOUTH MAIN STRE	SW 1/4 - 1/2 (0.424 mi.)	AH146	725
WINNEBAGO COUNTY NFA/NFR Letter: 2010-12-15 Incident Num: 922131 IL EPA Id: 2010305815	400 W. STATE STREET	NNW 1/4 - 1/2 (0.437 mi.)	AJ158	817
PUBLIC SAFETY BUILDI NFA/NFR Letter: 1997-03-18 Incident Num: 952017 IL EPA Id: 2010305186	420 WEST STATE	NNW 1/4 - 1/2 (0.437 mi.)	AJ159	819
PARIS AUTO SHOP INC NFA/NFR Letter: 1991-02-26 Incident Num: 881628 IL EPA Id: 2010305256	1231 S MAIN ST	SW 1/4 - 1/2 (0.444 mi.)	AH164	823
SOUTH MAIN SUNOCO Incident Num: 20031040 IL EPA Id: 2010305948	1303 SOUTH MAIN ST.	SW 1/4 - 1/2 (0.464 mi.)	AH167	851
AMCORE TRUST #2570 NFA/NFR Letter: 2003-01-10 Incident Num: 20021227 IL EPA Id: 2010305217	716 MARKET ST.	ENE 1/4 - 1/2 (0.472 mi.)	AN171	856
1ST NATIONAL BANK & NFA/NFR Letter: 1998-05-20 Incident Num: 971806 IL EPA Id: 2010306162	520 ELM ST.	NNW 1/4 - 1/2 (0.472 mi.)	AO172	856
MARATHON OIL CO UNIT NFA/NFR Letter: 1995-04-11 Incident Num: 930986 IL EPA Id: 2010305771	925 FIRST AVE AND 6T	E 1/4 - 1/2 (0.474 mi.)	AP174	858
EAST ROCKFORD AUTO C Incident Num: 912299 IL EPA Id: 2010305697	925 1ST AVE.	E 1/4 - 1/2 (0.474 mi.)	AP176	862
IC WEBB & ASSOC. INC NFA/NFR Letter: 1997-06-11 Incident Num: 902483	301 NORTH MADISON	NNE 1/4 - 1/2 (0.484 mi.)	AQ180	867

EXECUTIVE SUMMARY

IL EPA Id: 2010300102				
ROCKFORD, CITY OF	524 KENT STREET	W 1/4 - 1/2 (0.484 mi.)	181	867
Incident Num: 20040951				
IL EPA Id: 2010306433				
Lower Elevation	Address	Direction / Distance	Map ID	Page
MEGA FABRICATION INC	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C15	115
Incident Num: 20141379				
IL EPA Id: 2010305104				
INGERSOLL MILLING MA	301 SOUTH WATER ST.	N 1/8 - 1/4 (0.145 mi.)	B24	177
NFA/NFR Letter: 1993-04-09				
NFA/NFR Letter: 2002-12-23				
Incident Num: 923060				
Incident Num: 890853				
Incident Num: 901381				
IL EPA Id: 2010305216				
DWG LLC	1100 BUCHANAN	SSW 1/8 - 1/4 (0.173 mi.)	G33	222
NFA/NFR Letter: 1993-06-21				
Incident Num: 923553				
IL EPA Id: 2010305769				
ROCKFORD METRO CTR.	330 SOUTH WYMAN	NNW 1/8 - 1/4 (0.175 mi.)	F38	234
NFA/NFR Letter: 2008-01-22				
Incident Num: 901003				
IL EPA Id: 2010305648				
WHEELER MID-AMERICA	615 SOUTH MAIN ST.	NW 1/8 - 1/4 (0.197 mi.)	D54	310
NFA/NFR Letter: 1996-03-22				
Incident Num: 942129				
IL EPA Id: 2010305976				
ROCKFORD, CITY OF	108 CHESTNUT ST.	NNE 1/8 - 1/4 (0.229 mi.)	O67	333
NFA/NFR Letter: 1997-02-21				
Incident Num: 920905				
IL EPA Id: 2010305767				
ROCKFORD NEWSPAPERS	99 EAST STATE ST.	NNE 1/4 - 1/2 (0.279 mi.)	U93	494
NFA/NFR Letter: 1999-11-01				
Incident Num: 892150				
IL EPA Id: 2010305078				
FABRI, DAVE	203 MORGAN ST.	WSW 1/4 - 1/2 (0.287 mi.)	96	499
Incident Num: 960759				
IL EPA Id: 2010306077				
MOTT BROTHERS COMPAN	908 & 910 SOUTH MAIN	WSW 1/4 - 1/2 (0.291 mi.)	W97	500
NFA/NFR Letter: 2022-04-25				
Incident Num: 881688				
IL EPA Id: 2010305451				
MAGGIO, MARY	1123 ROCK ST.	SW 1/4 - 1/2 (0.329 mi.)	Z113	536
NFA/NFR Letter: 2017-11-27				
Incident Num: 942446				
IL EPA Id: 2010305984				
BARBER COLEMAN LLC /	150 LOOMIS STREET	SSW 1/4 - 1/2 (0.384 mi.)	AB134	666
Incident Num: 20250077				
IL EPA Id: 2010300079				
BEHR IRON & METAL	1034 SEMINARY STREET	S 1/4 - 1/2 (0.432 mi.)	AI155	814

EXECUTIVE SUMMARY

NFA/NFR Letter: 2019-12-06
 NFA/NFR Letter: 2001-01-29
 Incident Num: 20180800
 Incident Num: 940779
 IL EPA Id: 2010305231
 IL EPA Id: 2010305937

ROCKVIEW MARINA	220 NORTH WATER ST.	NNE 1/4 - 1/2 (0.438 mi.)	AK162	822
NFA/NFR Letter: 2011-02-08				
Incident Num: 930404				
IL EPA Id: 2010305864				

Lists of state and tribal registered storage tanks

IL UST: The Underground Storage Tank database contains registered USTs. USTs are regulated under Subtitle I of the Resource Conservation and Recovery Act (RCRA). The data come from the Illinois State Fire Marshal's STC Facility List.

A review of the IL UST list, as provided by EDR, and dated 04/14/2025 has revealed that there are 21 IL UST sites within approximately 0.25 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
CARE-A-VAN/WINNEBAGO Tank Status: Removed Status: CLOSED Facility Id: 1025242	416 S MADISON ST	NNE 0 - 1/8 (0.043 mi.)	A2	47
MEYER MATERIAL CO RO Tank Status: Removed Status: CLOSED Facility Id: 1000853	423 S MADISON ST	NNE 0 - 1/8 (0.052 mi.)	A6	56
TRUSTED GAS, INC. Tank Status: Currently in use Status: ACTIVE Facility Id: 1032312	360 COLLEGE AVENUE	SE 1/8 - 1/4 (0.162 mi.)	E27	189
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MEGAFAB Tank Status: Removed Status: CLOSED Facility Id: 1020551	650 RACE ST	WNW 1/8 - 1/4 (0.141 mi.)	C16	125
INGERSOLL MILLING MA Tank Status: Removed Status: CLOSED Facility Id: 1025903	301 S WATER ST	N 1/8 - 1/4 (0.145 mi.)	B22	136
G C ELECTRONICS Tank Status: Unknown Status: EXEMPT Facility Id: 1036164	400 SOUTH WYMAN STRE	NNW 1/8 - 1/4 (0.166 mi.)	F29	192
ECLIPSE INC APPLIED Tank Status: Abandoned in place	1100 BUCHANAN ST	SSW 1/8 - 1/4 (0.173 mi.)	G35	231

EXECUTIVE SUMMARY

Tank Status: Removed Status: CLOSED Facility Id: 1029089				
ROCKFORD BOLT & STEE	126 MILL ST	WSW 1/8 - 1/4 (0.175 mi.)	H41	247
Tank Status: Removed Tank Status: Abandoned in place Status: CLOSED Facility Id: 1026232				
BUILDING DEMO	1117 BUCHANAN ST	SSW 1/8 - 1/4 (0.181 mi.)	I43	254
Tank Status: Removed Status: EXEMPT Facility Id: 1045420				
FORMER TAPCO BUILDING	502 S MAIN ST	NW 1/8 - 1/4 (0.185 mi.)	45	279
Tank Status: Removed Status: EXEMPT Facility Id: 1045222				
NEWELL COMPANY	416 S MAIN ST	NW 1/8 - 1/4 (0.191 mi.)	F47	299
Tank Status: Removed Status: CLOSED Facility Id: 1037084				
LORDEN BLDG	330 W WYMAN ST	NNW 1/8 - 1/4 (0.196 mi.)	J48	300
Tank Status: Removed Status: CLOSED Facility Id: 1027482				
WHEELER MID AMERICA	615 S MAIN ST	NW 1/8 - 1/4 (0.197 mi.)	D53	309
Tank Status: Removed Status: CLOSED Facility Id: 1029740				
BLACKHAWK COMPOSITES	720 S WYMAN	W 1/8 - 1/4 (0.214 mi.)	K59	318
Tank Status: Removed Status: CLOSED Facility Id: 1027364				
ROCKFORD FOUNDRIES I	212 MILL ST	W 1/8 - 1/4 (0.223 mi.)	M62	322
Tank Status: Removed Status: CLOSED Facility Id: 1027360				
AMERICAN DRIVEWAY MA	1202 BUCHANAN ST	SSW 1/8 - 1/4 (0.228 mi.)	N65	329
Tank Status: Removed Status: CLOSED Facility Id: 1003321				
DAVIS MEMORIAL PARK	108 CHESTNUT ST	NNE 1/8 - 1/4 (0.229 mi.)	O68	334
Tank Status: Removed Status: CLOSED Facility Id: 1028746				
WINNEBAGO CO PARATRA	110 CHESTNUT ST	NNE 1/8 - 1/4 (0.229 mi.)	O72	344
Tank Status: Removed Status: CLOSED Facility Id: 1020122				
FORMER WARSHAWSKY'S	431 S. MAIN STREET	NW 1/8 - 1/4 (0.229 mi.)	73	345
Tank Status: Removed Status: EXEMPT				

EXECUTIVE SUMMARY

Facility Id: 1046362				
FORMER LORDEN/ECLIPS	725 SOUTH MAIN STEET	W 1/8 - 1/4 (0.235 mi.)	L78	397
Tank Status: Removed				
Status: EXEMPT				
Facility Id: 1046014				
FIELD FASTENER SUPPL	639 S MAIN ST	WNW 1/8 - 1/4 (0.239 mi.)	L79	399
Tank Status: Removed				
Status: EXEMPT				
Facility Id: 1028952				

State and tribal institutional control / engineering control registries

IL ENG CONTROLS: Sites with Engineering Controls.

A review of the IL ENG CONTROLS list, as provided by EDR, and dated 03/21/2025 has revealed that there are 4 IL ENG CONTROLS sites within approximately 0.5 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MEGA FABRICATION INC Illinois Epa Id: 2010305104	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C15	115
INGERSOLL MILLING MA Illinois Epa Id: 2010305216	301 SOUTH WATER ST.	N 1/8 - 1/4 (0.145 mi.)	B24	177
WATER POWER LOFTS Illinois Epa Id: 2010306870	700 SOUTH MAIN STREE	WNW 1/8 - 1/4 (0.221 mi.)	L60	318
E. THOMAS WOLD Illinois Epa Id: 2010300053	400-416 SOUTH MAIN S	NNW 1/8 - 1/4 (0.230 mi.)	P74	346

IL INST CONTROL: Legal or administrative restrictions on land use and/or other activities (e.g., groundwater use restrictions) which effectively limit exposure to contamination may be employed as alternatives to removal or treatment of contamination.

A review of the IL INST CONTROL list, as provided by EDR, and dated 03/21/2025 has revealed that there are 9 IL INST CONTROL sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
AMCORE BANK Illinois EPA Id: 2010306010	418 GREEN STREET	NW 1/4 - 1/2 (0.293 mi.)	V100	526
Lower Elevation	Address	Direction / Distance	Map ID	Page
MEGA FABRICATION INC Illinois EPA Id: 2010305104	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C15	115
INGERSOLL MILLING MA Illinois EPA Id: 2010305216	301 SOUTH WATER ST.	N 1/8 - 1/4 (0.145 mi.)	B24	177
TAPCO WAREHOUSE Illinois EPA Id: 2010306129	222 CEDAR STREET	NW 1/8 - 1/4 (0.171 mi.)	F32	220
FORMER COMED PROPERT	615 SOUTH MAIN STREE	NW 1/8 - 1/4 (0.197 mi.)	D52	306

EXECUTIVE SUMMARY

Illinois EPA Id: 2010306817				
WATER POWER LOFTS	700 SOUTH MAIN STREE	WNW 1/8 - 1/4 (0.221 mi.)	L60	318
Illinois EPA Id: 2010306870				
E. THOMAS WOLD	400-416 SOUTH MAIN S	NNW 1/8 - 1/4 (0.230 mi.)	P74	346
Illinois EPA Id: 2010300053				
BARBER-COLEMAN	1300 ROCK STREET	SW 1/4 - 1/2 (0.430 mi.)	AF152	805
Illinois EPA Id: 2010300054				
COMMONWEALTH EDISON	215 NORTH WYMAN STRE	N 1/4 - 1/2 (0.471 mi.)	AM169	853
Illinois EPA Id: 2010306664				

Lists of state and tribal voluntary cleanup sites

IL SRP: Illinois Environmental Protection Agency, Site Remediation Program Database

A review of the IL SRP list, as provided by EDR, and dated 03/21/2025 has revealed that there are 11 IL SRP sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
AMCORE BANK	418 GREEN STREET	NW 1/4 - 1/2 (0.293 mi.)	V100	526
IL EPA Id: 2010306010				
LARRY POWDER'S AUTOM	823 ELM STREET	NNW 1/4 - 1/2 (0.497 mi.)	AO183	871
IL EPA Id: 2010305389				
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MEGA FABRICATION INC	650 RACE STREET	WNW 1/8 - 1/4 (0.141 mi.)	C15	115
IL EPA Id: 2010305104				
INGERSOLL MILLING MA	301 SOUTH WATER ST.	N 1/8 - 1/4 (0.145 mi.)	B24	177
IL EPA Id: 2010305216				
TAPCO WAREHOUSE	222 CEDAR STREET	NW 1/8 - 1/4 (0.171 mi.)	F32	220
IL EPA Id: 2010306129				
FORMER COMED PROPERT	615 SOUTH MAIN STREE	NW 1/8 - 1/4 (0.197 mi.)	D52	306
IL EPA Id: 2010306817				
WATER POWER LOFTS	700 SOUTH MAIN STREE	WNW 1/8 - 1/4 (0.221 mi.)	L60	318
IL EPA Id: 2010306870				
E. THOMAS WOLD	400-416 SOUTH MAIN S	NNW 1/8 - 1/4 (0.230 mi.)	P74	346
IL EPA Id: 2010300053				
CHICAGO CENTRAL & PA	815 S MAIN ST	W 1/4 - 1/2 (0.268 mi.)	R85	417
IL EPA Id: 2010305125				
BARBER-COLEMAN	1300 ROCK STREET	SW 1/4 - 1/2 (0.430 mi.)	AF152	805
IL EPA Id: 2010300054				
COMMONWEALTH EDISON	215 NORTH WYMAN STRE	N 1/4 - 1/2 (0.471 mi.)	AM169	853
IL EPA Id: 2010306664				

EXECUTIVE SUMMARY

Lists of state and tribal brownfield sites

IL BROWNFIELDS: The Illinois Municipal Brownfields Redevelopment Grant Program (MBRGP) offers grants worth a maximum of \$240,000 each to municipalities to assist in site investigation activities, development of cleanup , objectives and performance of cleanup activities. Brownfields are abandoned or underused industrial and/or commercial properties that are contaminated (or thought to be contaminated) and have an active . potential for redevelopment

A review of the IL BROWNFIELDS list, as provided by EDR, has revealed that there are 7 IL BROWNFIELDS sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
ROCKFORD LINEAR ACTU Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010305172	325 S MADISON ST	NNE 0 - 1/8 (0.079 mi.)	A10	89
AMCORE BANK Database: BROWNFIELDS, Date of Government Version: 02/11/2010 MBRG Site Id: 02702	418 GREEN STREET	NW 1/4 - 1/2 (0.293 mi.)	V100	526

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
INGERSOLL Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010305216	302 SOUTH WATER STRE	N 0 - 1/8 (0.103 mi.)	B12	95
INGERSOLL PRODUCTION Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010305216	301 S WATER ST	N 1/8 - 1/4 (0.145 mi.)	B18	130
TAPCO WAREHOUSE Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010306129	222 CEDAR STREET	NW 1/8 - 1/4 (0.171 mi.)	F32	220
SOUTH MAIN RAIL YARD Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010305125	700 - 800 SOUTH MAIN	WNW 1/4 - 1/2 (0.317 mi.)	109	534
BARBER-COLEMAN Database: BROWNFIELDS, Date of Government Version: 02/11/2010 Database: BROWNFIELDS, Date of Government Version: 01/13/2025 IL EPA ID: 2010300054 MBRG Site Id: 02701	1300 ROCK STREET	SW 1/4 - 1/2 (0.430 mi.)	AF152	805

ADDITIONAL ENVIRONMENTAL RECORDS

Local Brownfield lists

US BROWNFIELDS: The EPA's listing of Brownfields properties from the Cleanups in My Community program, which provides information on Brownfields properties for which information is reported back to EPA, as well as areas served by Brownfields grant programs.

A review of the US BROWNFIELDS list, as provided by EDR, and dated 09/09/2024 has revealed that there

EXECUTIVE SUMMARY

are 30 US BROWNFIELDS sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
OZINGA CONCRETE FORMER ROCKFORD WATC Cleanup Completion Date: 7/7/2016 Cleanup Completion Date: 11/1/2021 Cleanup Completion Date: 6/15/2015	423 SOUTH MADISON ST 325 SOUTH MADISON ST	NNE 0 - 1/8 (0.052 mi.) NNE 0 - 1/8 (0.079 mi.)	A5 A8	50 58
FORMER 1ST CONGREGAT Cleanup Completion Date: 9/16/2020	607 WALNUT STREET	ENE 1/4 - 1/2 (0.274 mi.)	T90	468
FORMER LLOYD'S HEARI Cleanup Completion Date: 3/8/2022	128 KISHWAUKEE STREE	ENE 1/4 - 1/2 (0.329 mi.)	AA114	538
COR WELLNESS CENTER WINNEBAGO COUNTY TRU	120 N 3RD STREET 1128 S. MAIN STREET	ENE 1/4 - 1/2 (0.354 mi.) SW 1/4 - 1/2 (0.372 mi.)	124 130	603 627
FORMER INN TOWN MOTE	733 EAST STATE STREE	ENE 1/4 - 1/2 (0.398 mi.)	136	669
DAILY AUTO UPHOLSTER	915 EAST STATE STREE	ENE 1/4 - 1/2 (0.480 mi.)	178	864
BLISS BUILDING REDEV	317 MULBERRY STREET	N 1/4 - 1/2 (0.489 mi.)	AL182	868
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
INGERSOLL PRODUCTION INGERSOLL MILLING MA Cleanup Completion Date: 1/26/2015 Cleanup Completion Date: 9/10/2014	301 SOUTH WATER STRE 301 S. WATER STREET	N 1/8 - 1/4 (0.145 mi.) N 1/8 - 1/4 (0.145 mi.)	B20 B23	134 141
RACE STREET PARCELS FORMER TAPCO Cleanup Completion Date: 3/1/2016 Cleanup Completion Date: 8/21/2015	800 RACE ST 222 CEDAR STREET	WNW 1/8 - 1/4 (0.152 mi.) NW 1/8 - 1/4 (0.171 mi.)	D26 F31	183 196
FOUNDER'S LANDING BU FORMER LORDENECLIPS Cleanup Completion Date: 11/9/2015	320 S. WYMAN STREET 715 SOUTH MAIN STREE	NNW 1/8 - 1/4 (0.184 mi.) WNW 1/8 - 1/4 (0.232 mi.)	F44 L76	254 349
AMEROCK PARCELS Cleanup Completion Date: 1/9/2020	400 - 416 SOUTH MAIN	NNW 1/8 - 1/4 (0.232 mi.)	P77	373
RIGGS PROPERTY FORMER DEPOT Cleanup Completion Date: 11/9/2015	810 S. MAIN STREET 815 SOUTH MAIN STREE	W 1/4 - 1/2 (0.262 mi.) W 1/4 - 1/2 (0.268 mi.)	R84 R86	409 419
FORMER COTTA TRANSMI CITY PARKING LOT	818 S. MAIN STREET 908 S. MAIN STREET	W 1/4 - 1/2 (0.270 mi.) WSW 1/4 - 1/2 (0.291 mi.)	R87 W99	443 501
BARBER COLMAN - RIVE Cleanup Completion Date: 11/18/2009	110 LOOMIS STREET	SSW 1/4 - 1/2 (0.340 mi.)	AB121	584
BARBER COLMAN - FORM Cleanup Completion Date: 11/18/2009	150 LOOMIS STREET	SSW 1/4 - 1/2 (0.384 mi.)	AB133	653
BARBER COLMAN - INVE COLMAN YARDS REDEVEL Cleanup Completion Date: 11/18/2009	101 LANE STREET 1200 ROCK STREET	SSW 1/4 - 1/2 (0.409 mi.) SW 1/4 - 1/2 (0.416 mi.)	141 AF142	674 699
BARBER COLMAN BARBER COLMAN PARCEL Cleanup Completion Date: 8/31/2005	1300 ROCK STREET 1300 ROCK ST	SW 1/4 - 1/2 (0.430 mi.) SW 1/4 - 1/2 (0.430 mi.)	AF149 AF150	792 794
BARBER COLMAN PARCEL BARBER COLMAN PARCEL FORMER TREKK MEDIA /	1300 ROCK STREET 1300 ROCK STREET 134 N MAIN ST	SW 1/4 - 1/2 (0.430 mi.) SW 1/4 - 1/2 (0.430 mi.) N 1/4 - 1/2 (0.454 mi.)	AF151 AF153 AL165	800 808 827

EXECUTIVE SUMMARY

Cleanup Completion Date: 5/18/2022

FORMER COMCAST BUILD **102 W. JEFFERSON** **N 1/4 - 1/2 (0.498 mi.)** **184** **872**

Other Ascertainable Records

RCRA NonGen / NLR: RCRAInfo is EPA's comprehensive information system, providing access to data supporting the Resource Conservation and Recovery Act (RCRA) of 1976 and the Hazardous and Solid Waste Amendments (HSWA) of 1984. The database includes selective information on sites which generate, transport, store, treat and/or dispose of hazardous waste as defined by the Resource Conservation and Recovery Act (RCRA). Non-Generators do not presently generate hazardous waste.

A review of the RCRA NonGen / NLR list, as provided by EDR, and dated 06/02/2025 has revealed that there are 14 RCRA NonGen / NLR sites within approximately 0.25 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
WINNEBAGO CNTY HELAT EPA ID:: ILD984891549	401 DIVISION ST	SSE 0 - 1/8 (0.032 mi.)	1	44
ROCKFORD LINEAR ACTU EPA ID:: ILD005253588	325 S MADISON ST	NNE 0 - 1/8 (0.079 mi.)	A9	85
ROCKFORD LINEAR ACTU EPA ID:: ILD000805721	325 S MADISON ST	NNE 0 - 1/8 (0.079 mi.)	A11	93
ROCKFORD SCHOOL DIST EPA ID:: ILD981792062	201 S MADISON ST	NNE 1/8 - 1/4 (0.209 mi.)	55	310
IL EPA ROCKFORD EPA ID:: ILD984913020	WALNUT ST AND S 2ND	ENE 1/8 - 1/4 (0.249 mi.)	81	405
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
WALLACE MURRAY CORP EPA ID:: ILD025795956	400 E WYMAN ST	NNW 1/8 - 1/4 (0.166 mi.)	F30	192
DWG LLC EPA ID:: ILD984912709	1100 BUCHANAN	SSW 1/8 - 1/4 (0.173 mi.)	G33	222
GENERAL ELECTRIC CO EPA ID:: ILR000111690	1100 BUCHANAN ST/B	SSW 1/8 - 1/4 (0.173 mi.)	G34	228
MARCELLUS INDUSTRIES EPA ID:: ILR000167171	1117 BUCHANAN ST	SSW 1/8 - 1/4 (0.181 mi.)	I42	249
WOLD E THOMAS EPA ID:: ILD000806190	416 S MAIN ST	NW 1/8 - 1/4 (0.191 mi.)	F46	279
TEXPAR ENERGY INC EPA ID:: ILR000105114	615 S MAIN ST	NW 1/8 - 1/4 (0.197 mi.)	D49	301
BENNETT PROD INC EPA ID:: ILD005217096	121 LOOMIS ST	SSW 1/8 - 1/4 (0.225 mi.)	I63	323
DAVIS MEMORIAL PARK EPA ID:: ILD984902296	108 CHESTNUT ST	NNE 1/8 - 1/4 (0.229 mi.)	O69	340
MOORE METAL FINISHIN EPA ID:: ILR000019398	800 S. MAIN ST.	W 1/8 - 1/4 (0.248 mi.)	K80	400

EXECUTIVE SUMMARY

ROD: Record of Decision. ROD documents mandate a permanent remedy at an NPL (Superfund) site containing technical and health information to aid the cleanup.

A review of the ROD list, as provided by EDR, and dated 04/25/2025 has revealed that there is 1 ROD site within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
SOUTHEAST ROCKFORD G EPA ID:: ILD981000417	2613 S 11TH ST	S 1/2 - 1 (0.773 mi.)	0	8

CONSENT: Major Legal settlements that establish responsibility and standards for cleanup at NPL (superfund) sites. Released periodically by U.S. District Courts after settlement by parties to litigation matters.

A review of the CONSENT list, as provided by EDR, and dated 03/31/2025 has revealed that there is 1 CONSENT site within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
SOUTHEAST ROCKFORD G	2613 S 11TH ST	S 1/2 - 1 (0.773 mi.)	0	8

PFAS ECHO: Regulators and the public have expressed interest in knowing which regulated entities may be using PFAS. EPA has developed a dataset from various sources that show which industries may be handling PFAS. Approximately 120,000 facilities subject to federal environmental programs have operated or currently operate in industry sectors with processes that may involve handling and/or release of PFAS.

A review of the PFAS ECHO list, as provided by EDR, and dated 03/24/2025 has revealed that there are 2 PFAS ECHO sites within approximately 0.25 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
BARRON INDUSTRIES	129 MORGAN ST.	SW 1/8 - 1/4 (0.209 mi.)	56	314
BLACKHAWK LASER DIV	720 SOUTH WYMAN STRE	W 1/8 - 1/4 (0.214 mi.)	K58	316

UST FINDER: EPA developed UST Finder, a web map application containing a comprehensive, state-sourced national map of underground storage tank (UST) and leaking UST (LUST) data. It provides the attributes and locations of active and closed USTs, UST facilities, and LUST sites from states and from Tribal lands and US territories. UST Finder contains information about proximity of UST facilities and LUST sites to: surface and groundwater public drinking water protection areas; estimated number of private domestic wells and number of people living nearby; and flooding and wildfires.

A review of the UST FINDER list, as provided by EDR, and dated 06/08/2023 has revealed that there are 14 UST FINDER sites within approximately 0.25 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
CARE-A-VAN/WINNEBAGO	416 S MADISON ST	NNE 0 - 1/8 (0.043 mi.)	A3	48
IL BELL TELEPHONE CO	416 MADISON	NNE 0 - 1/8 (0.044 mi.)	A4	49
MEYER MATERIAL CO RO	423 S MADISON ST	NNE 0 - 1/8 (0.052 mi.)	A7	57
S & R MOBIL, INC.	360 COLLEGE AVENUE	SE 1/8 - 1/4 (0.162 mi.)	E28	190
<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MEGAFAB	650 RACE ST	WNW 1/8 - 1/4 (0.141 mi.)	C17	128
INGERSOLL MILLING MA	301 S WATER ST	N 1/8 - 1/4 (0.145 mi.)	B19	132

EXECUTIVE SUMMARY

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
ROCKFORD BOLT & STEE	126 MILL ST	WSW 1/8 - 1/4 (0.175 mi.)	H39	234
WHEELER MID AMERICA	615 S MAIN ST	NW 1/8 - 1/4 (0.197 mi.)	D51	305
BLACKHAWK COMPOSITES	720 S WYMAN	W 1/8 - 1/4 (0.214 mi.)	K57	315
ROCKFORD FOUNDRIES I	212 MILL ST	W 1/8 - 1/4 (0.223 mi.)	M61	321
AMERICAN DRIVEWAY MA	1202 BUCHANAN ST	SSW 1/8 - 1/4 (0.228 mi.)	N64	328
DAVIS MEMORIAL PARK	108 CHESTNUT ST	NNE 1/8 - 1/4 (0.229 mi.)	O66	330
WINNEBAGO CO PARATRA	110 CHESTNUT ST	NNE 1/8 - 1/4 (0.229 mi.)	O71	343
LORDEN BLDG	330 W WYMAN ST	NNW 1/8 - 1/4 (0.230 mi.)	J75	348

UST FINDER RELEASE: US EPA's UST Finder data is a national composite of leaking underground storage tanks. This data contains information about, and locations of, leaking underground storage tanks. Data was collected from state sources and standardized into a national profile by EPA's Office of Underground Storage Tanks, Office of Research and Development, and the Association of State and Territorial Solid Waste Management Officials.

A review of the UST FINDER RELEASE list, as provided by EDR, and dated 06/08/2023 has revealed that there are 37 UST FINDER RELEASE sites within approximately 0.5 miles of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
MORRISUI, JOHN	703 GROVE ST.	ESE 1/4 - 1/2 (0.254 mi.)	Q83	408
ILLINOIS DEPT. OF TR	307 KISHWAUKEE ST.	E 1/4 - 1/2 (0.272 mi.)	S88	467
AMCORE BANK	408 GREEN ST.	NW 1/4 - 1/2 (0.284 mi.)	V95	498
ROCKFORD, CITY OF	320 SOUTH CHURCH ST.	NNW 1/4 - 1/2 (0.299 mi.)	V102	529
USGSA	327 SOUTH CHURCH STR	NNW 1/4 - 1/2 (0.315 mi.)	V105	531
GSA	327 SOUTH CHURCH	NNW 1/4 - 1/2 (0.315 mi.)	V106	532
CHURCH & CHESTNUT, L	310 SOUTH CHURCH STR	NNW 1/4 - 1/2 (0.315 mi.)	X107	533
STATE OF ILLINOIS PR	520 KISHWAUKEE ST.	ESE 1/4 - 1/2 (0.322 mi.)	Y111	535
ROCKFORD, CITY OF	301 SOUTH CHURCH STR	NNW 1/4 - 1/2 (0.329 mi.)	X118	581
ROCKFORD, CITY OF	425 EAST STATE ST.	ENE 1/4 - 1/2 (0.331 mi.)	AA120	583
STATE OF ILLINOIS PR	605 KISHWAUKEE ST.	ESE 1/4 - 1/2 (0.344 mi.)	AC123	602
ROCKFORD CENTRAL TIL	406 SOUTH COURT ST.	NW 1/4 - 1/2 (0.361 mi.)	AD126	623
ROCKFORD CENTRAL TIL	406 SOUTH COURT	NW 1/4 - 1/2 (0.361 mi.)	AD127	624
OCTOPUS CAR WASH	602 KISHWAUKEE	ESE 1/4 - 1/2 (0.374 mi.)	AC132	652
CRANKSHAFT GRINDING	202 NORTH MADISON	NNE 1/4 - 1/2 (0.399 mi.)	AE138	672
COLONIAL BAKERY.	526 GREEN ST.	NW 1/4 - 1/2 (0.406 mi.)	AD140	674
AMCORE BANK, N.A. OF	828 1ST AVE.	ENE 1/4 - 1/2 (0.416 mi.)	AG143	723
ROCKFORD DEVELOPMENT	1234 SOUTH MAIN STRE	SW 1/4 - 1/2 (0.424 mi.)	AH145	725
CITY OF ROCKFORD PUB	400 WEST STATE ST.	NNW 1/4 - 1/2 (0.437 mi.)	AJ157	816
PUBLIC SAFETY BLDG.	420 WEST STATE ST.	NNW 1/4 - 1/2 (0.437 mi.)	AJ160	820
PARIS AUTO SHOP	1231 SOUTH MAIN ST.	SW 1/4 - 1/2 (0.444 mi.)	AH163	823
SOUTH MAIN SUNOCO	1303 SOUTH MAIN ST.	SW 1/4 - 1/2 (0.464 mi.)	AH168	852
AMCORE TRUST #2570	716 MARKET ST	ENE 1/4 - 1/2 (0.472 mi.)	AN170	854
1ST NATIONAL BANK &	520 ELM ST.	NNW 1/4 - 1/2 (0.472 mi.)	AO173	857
EAST ROCKFORD AUTO C	925 1ST AVE.	E 1/4 - 1/2 (0.474 mi.)	AP175	861
MARATHON OIL #5130	925 FIRST AVE.	E 1/4 - 1/2 (0.474 mi.)	AP177	863
IC WEBB & ASSOC. INC	301 NORTH MADISON	NNE 1/4 - 1/2 (0.484 mi.)	AQ179	866

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
INGERSOLL MILLING MA	301 SOUTH WATER ST.	N 1/8 - 1/4 (0.145 mi.)	B25	181
CREATIVE SILKSCREEN	1100 BUCHANAN ST.	SSW 1/8 - 1/4 (0.173 mi.)	G36	232
ROCKFORD METRO CTR.	330 SOUTH WYMAN	NNW 1/8 - 1/4 (0.175 mi.)	F37	233
WHEELER MID-AMERICA	615 SOUTH MAIN ST.	NW 1/8 - 1/4 (0.197 mi.)	D50	305
ROCKFORD, CITY OF	108 CHESTNUT ST.	NNE 1/8 - 1/4 (0.229 mi.)	O70	342

EXECUTIVE SUMMARY

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
ROCKFORD NEWSPAPERS	99 EAST STATE ST.	NNE 1/4 - 1/2 (0.279 mi.)	U92	493
MOTT BROTHERS CO.	908 SOUTH MAIN	WSW 1/4 - 1/2 (0.291 mi.)	W98	501
MAGGIO, MARY	1123 ROCK ST.	SW 1/4 - 1/2 (0.329 mi.)	Z112	536
PEORIA ROCKFORD BUS	1034 SEMINARY ST.	S 1/4 - 1/2 (0.432 mi.)	AI156	816
ROCKVIEW MARINA	220 NORTH WATER ST.	NNE 1/4 - 1/2 (0.438 mi.)	AK161	821

E MANIFEST: EPA established a national system for tracking hazardous waste shipments electronically. This system, known as e-Manifest, will modernize the nation's cradle-to-grave hazardous waste tracking process while saving valuable time, resources, and dollars for industry and states.

A review of the E MANIFEST list, as provided by EDR, and dated 02/17/2025 has revealed that there are 4 E MANIFEST sites within approximately 0.25 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
<i>W A WHITNEY</i>	<i>650 RACE STREET</i>	<i>WNW 1/8 - 1/4 (0.141 mi.)</i>	<i>C13</i>	<i>96</i>
<i>PIRANHA FABRICATION</i>	<i>650 RACE STREET</i>	<i>WNW 1/8 - 1/4 (0.141 mi.)</i>	<i>C14</i>	<i>101</i>
<i>ROCKFORD BOLT AND SW</i>	<i>126 MILL ST</i>	<i>WSW 1/8 - 1/4 (0.175 mi.)</i>	<i>H40</i>	<i>235</i>
<i>WOLD E THOMAS</i>	<i>416 S MAIN ST</i>	<i>NW 1/8 - 1/4 (0.191 mi.)</i>	<i>F46</i>	<i>279</i>

WI MANIFEST: Hazardous waste manifest information.

A review of the WI MANIFEST list, as provided by EDR, and dated 05/31/2018 has revealed that there is 1 WI MANIFEST site within approximately 0.25 miles of the target property.

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
INGERSOLL MILLING MA ACT Status: A FID: 999934760 EPA ID: ILD981097652	301 SOUTH WATER ST	N 1/8 - 1/4 (0.145 mi.)	B21	136

EDR HIGH RISK HISTORICAL RECORDS

EDR Exclusive Records

EDR MGP: The EDR Proprietary Manufactured Gas Plant Database includes records of coal gas plants (manufactured gas plants) compiled by EDR's researchers. Manufactured gas sites were used in the United States from the 1800's to 1950's to produce a gas that could be distributed and used as fuel. These plants used whale oil, rosin, coal, or a mixture of coal, oil, and water that also produced a significant amount of waste. Many of the byproducts of the gas production, such as coal tar (oily waste containing volatile and non-volatile chemicals), sludges, oils and other compounds are potentially hazardous to human health and the environment. The byproduct from this process was frequently disposed of directly at the plant site and can remain or spread slowly, serving as a continuous source of soil and groundwater contamination.

A review of the EDR MGP list, as provided by EDR, has revealed that there are 2 EDR MGP sites within approximately 1 mile of the target property.

<u>Equal/Higher Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
ROCKFORD GAS, LIGHT	1099 CEDAR STREET	WNW 1/2 - 1 (0.691 mi.)	187	1035

EXECUTIVE SUMMARY

<u>Lower Elevation</u>	<u>Address</u>	<u>Direction / Distance</u>	<u>Map ID</u>	<u>Page</u>
ROCKFORD GAS, LIGHT	201 N. WYMAN	N 1/4 - 1/2 (0.462 mi.)	AM166	851

EXECUTIVE SUMMARY

Due to poor or inadequate address information, the following sites were not mapped. Count: 2 records.

Site Name

CANADIAN PACIFIC RAILWAY
CANADIAN PACIFIC RAILWAY

Database(s)

IL INST CONTROL, IL SRP
IL INST CONTROL, IL SRP

OVERVIEW MAP - 8051148.2S



Target Property

Sites at elevations higher than or equal to the target property

Sites at elevations lower than the target property

Manufactured Gas Plants

National Priority List Sites

Dept. Defense Sites



Indian Reservations BIA

Power transmission lines

Special Flood Hazard Area (1%)

0.2% Annual Chance Flood Hazard

National Wetland Inventory

State Wetlands



This report includes Interactive Map Layers to display and/or hide map information. The legend includes only those icons for the default map view.

SITE NAME: Division St
 ADDRESS: Division St
 Rockford IL 61104
 LAT/LONG: 42.264401 / 89.093501

CLIENT: Midland Standard Engineering and Testing
 CONTACT: Michael Prigge
 INQUIRY #: 8051148.2s
 DATE: July 18, 2025 10:05 am

DETAIL MAP - 8051148.2S



- Target Property
- Sites at elevations higher than or equal to the target property
- Sites at elevations lower than the target property
- Manufactured Gas Plants
- Sensitive Receptors
- National Priority List Sites
- Dept. Defense Sites



- Indian Reservations BIA
- Power transmission lines
- Special Flood Hazard Area (1%)
- 0.2% Annual Chance Flood Hazard
- National Wetland Inventory
- State Wetlands



This report includes Interactive Map Layers to display and/or hide map information. The legend includes only those icons for the default map view.

<p>SITE NAME: Division St ADDRESS: Division St Rockford IL 61104 LAT/LONG: 42.264401 / 89.093501</p>	<p>CLIENT: Midland Standard Engineering and Testing CONTACT: Michael Prigge INQUIRY #: 8051148.2s DATE: July 18, 2025 10:08 am</p>
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MAP FINDINGS SUMMARY

Database	Search Distance (Miles)	Target Property	< 1/8	1/8 - 1/4	1/4 - 1/2	1/2 - 1	> 1	Total Plotted
STANDARD ENVIRONMENTAL RECORDS								
<i>Lists of Federal NPL (Superfund) sites</i>								
NPL	1.000		0	0	0	1	NR	1
Proposed NPL	1.000		0	0	0	0	NR	0
NPL LIENS	1.000		0	0	0	0	NR	0
<i>Lists of Federal Delisted NPL sites</i>								
Delisted NPL	1.000		0	0	0	0	NR	0
<i>Lists of Federal sites subject to CERCLA removals and CERCLA orders</i>								
FEDERAL FACILITY	0.500		0	0	0	NR	NR	0
SEMS	0.500		0	0	1	NR	NR	1
<i>Lists of Federal CERCLA sites with NFRAP</i>								
SEMS-ARCHIVE	0.500		0	1	1	NR	NR	2
<i>Lists of Federal RCRA facilities undergoing Corrective Action</i>								
CORRACTS	1.000		0	1	1	4	NR	6
<i>Lists of Federal RCRA TSD facilities</i>								
RCRA-TSDF	0.500		0	1	3	NR	NR	4
<i>Lists of Federal RCRA generators</i>								
RCRA-LQG	0.250		0	0	NR	NR	NR	0
RCRA-SQG	0.250		0	0	NR	NR	NR	0
RCRA-VSQG	0.250		0	3	NR	NR	NR	3
<i>Federal institutional controls / engineering controls registries</i>								
LUCIS	0.500		0	0	0	NR	NR	0
US ENG CONTROLS	0.500		0	0	0	NR	NR	0
US INST CONTROLS	0.500		0	0	0	NR	NR	0
<i>Federal ERNS list</i>								
ERNS	0.001		0	NR	NR	NR	NR	0
<i>Lists of state- and tribal hazardous waste facilities</i>								
IL SSU	1.000		0	1	0	2	NR	3
<i>Lists of state and tribal landfills and solid waste disposal facilities</i>								
IL CCDD	0.500		0	0	0	NR	NR	0
IL SWF/LF	0.500		0	0	1	NR	NR	1
IL LF SPECIAL WASTE	0.500		0	0	0	NR	NR	0
IL NIPC	0.500		0	0	0	NR	NR	0

MAP FINDINGS SUMMARY

Database	Search Distance (Miles)	Target Property	< 1/8	1/8 - 1/4	1/4 - 1/2	1/2 - 1	> 1	Total Plotted
<i>Lists of state and tribal leaking storage tanks</i>								
IL LUST	0.500		0	6	38	NR	NR	44
INDIAN LUST	0.500		0	0	0	NR	NR	0
IL LUST TRUST	0.500		0	0	0	NR	NR	0
<i>Lists of state and tribal registered storage tanks</i>								
FEMA UST	0.250		0	0	NR	NR	NR	0
IL UST	0.250		2	19	NR	NR	NR	21
IL AST	0.250		0	0	NR	NR	NR	0
INDIAN UST	0.250		0	0	NR	NR	NR	0
<i>State and tribal institutional control / engineering control registries</i>								
IL ENG CONTROLS	0.500		0	4	0	NR	NR	4
IL INST CONTROL	0.500		0	6	3	NR	NR	9
<i>Lists of state and tribal voluntary cleanup sites</i>								
IL SRP	0.500		0	6	5	NR	NR	11
INDIAN VCP	0.500		0	0	0	NR	NR	0
<i>Lists of state and tribal brownfield sites</i>								
IL BROWNFIELDS	0.500		2	2	3	NR	NR	7
<u>ADDITIONAL ENVIRONMENTAL RECORDS</u>								
<i>Local Brownfield lists</i>								
US BROWNFIELDS	0.500		2	7	21	NR	NR	30
<i>Local Lists of Landfill / Solid Waste Disposal Sites</i>								
INDIAN ODI	0.500		0	0	0	NR	NR	0
ODI	0.500		0	0	0	NR	NR	0
DEBRIS REGION 9	0.500		0	0	0	NR	NR	0
IHS OPEN DUMPS	0.500		0	0	0	NR	NR	0
<i>Local Lists of Hazardous waste / Contaminated Sites</i>								
US HIST CDL	0.001		0	NR	NR	NR	NR	0
IL CDL	0.001		0	NR	NR	NR	NR	0
US CDL	0.001		0	NR	NR	NR	NR	0
<i>Local Land Records</i>								
LIENS 2	0.001		0	NR	NR	NR	NR	0
<i>Records of Emergency Release Reports</i>								
HMIRS	0.001		0	NR	NR	NR	NR	0
IL SPILLS	0.001		0	NR	NR	NR	NR	0
IL SPILLS 90	0.001		0	NR	NR	NR	NR	0
<i>Other Ascertainable Records</i>								
RCRA NonGen / NLR	0.250		3	11	NR	NR	NR	14

MAP FINDINGS SUMMARY

Database	Search Distance (Miles)	Target Property	< 1/8	1/8 - 1/4	1/4 - 1/2	1/2 - 1	> 1	Total Plotted
FUDS	1.000		0	0	0	0	NR	0
DOD	1.000		0	0	0	0	NR	0
SCRD DRYCLEANERS	0.500		0	0	0	NR	NR	0
US FIN ASSUR	0.001		0	NR	NR	NR	NR	0
EPA WATCH LIST	0.001		0	NR	NR	NR	NR	0
2020 COR ACTION	0.250		0	0	NR	NR	NR	0
TSCA	0.001		0	NR	NR	NR	NR	0
TRIS	0.001		0	NR	NR	NR	NR	0
SSTS	0.001		0	NR	NR	NR	NR	0
ROD	1.000		0	0	0	1	NR	1
RMP	0.001		0	NR	NR	NR	NR	0
RAATS	0.001		0	NR	NR	NR	NR	0
PRP	0.001		0	NR	NR	NR	NR	0
PADS	0.001		0	NR	NR	NR	NR	0
ICIS	0.001		0	NR	NR	NR	NR	0
FTTS	0.001		0	NR	NR	NR	NR	0
MLTS	0.001		0	NR	NR	NR	NR	0
COAL ASH DOE	0.001		0	NR	NR	NR	NR	0
COAL ASH EPA	0.500		0	0	0	NR	NR	0
PCB TRANSFORMER	0.001		0	NR	NR	NR	NR	0
RADINFO	0.001		0	NR	NR	NR	NR	0
HIST FTTS	0.001		0	NR	NR	NR	NR	0
DOT OPS	0.001		0	NR	NR	NR	NR	0
CONSENT	1.000		0	0	0	1	NR	1
INDIAN RESERV	1.000		0	0	0	0	NR	0
FUSRAP	1.000		0	0	0	0	NR	0
UMTRA	0.500		0	0	0	NR	NR	0
LEAD SMELTERS	0.001		0	NR	NR	NR	NR	0
US AIRS	0.001		0	NR	NR	NR	NR	0
US MINES	0.250		0	0	NR	NR	NR	0
ABANDONED MINES	0.250		0	0	NR	NR	NR	0
MINES MRDS	0.250		0	0	NR	NR	NR	0
FINDS	0.001		0	NR	NR	NR	NR	0
ECHO	0.001		0	NR	NR	NR	NR	0
UXO	1.000		0	0	0	0	NR	0
DOCKET HWC	0.001		0	NR	NR	NR	NR	0
FUELS PROGRAM	0.250		0	0	NR	NR	NR	0
PFAS NPL	0.250		0	0	NR	NR	NR	0
PFAS FEDERAL SITES	0.250		0	0	NR	NR	NR	0
PFAS TRIS	0.250		0	0	NR	NR	NR	0
PFAS TSCA	0.250		0	0	NR	NR	NR	0
PFAS RCRA MANIFEST	0.250		0	0	NR	NR	NR	0
PFAS ATSDR	0.250		0	0	NR	NR	NR	0
PFAS WQP	0.250		0	0	NR	NR	NR	0
PFAS PROJECT	0.250		0	0	NR	NR	NR	0
PFAS NPDES	0.250		0	0	NR	NR	NR	0
PFAS ECHO	0.250		0	2	NR	NR	NR	2
PFAS ECHO FIRE TRAIN	0.250		0	0	NR	NR	NR	0
PFAS PT 139 AIRPORT	0.250		0	0	NR	NR	NR	0
AQUEOUS FOAM NRC	0.250		0	0	NR	NR	NR	0
BIOSOLIDS	0.001		0	NR	NR	NR	NR	0



www.msetinc.com

MIDLAND STANDARD ENGINEERING & TESTING, INC.

410 Nolen Drive, South Elgin, Illinois 60177

(847) 844-1895 f(847) 844-3875

December 8, 2025

Ms. Andrea H. Pracht, PE, CFM

HR Green, Inc.

1391 Corporate Drive, Suite 203

McHenry, Illinois 60050

andrea.pracht@hrgreen.com

Re: Geotechnical Analysis
Rock Riverbank Stabilization
Rockford, Illinois
MSET File No. 25868

Dear Ms. Pracht:

Midland Standard Engineering & Testing, Inc. has completed a global stability analysis for the proposed stabilized slope for the referenced project.

The recommendations presented herein are based on the information available at the time of our analysis. After plans and specifications are more complete, we welcome the opportunity to review them with respect to the prevailing soil and groundwater conditions. It may be necessary to conduct further analysis and submit supplemental recommendations at that time. If you have any questions regarding this report, please feel free to call.

Very truly yours,
MIDLAND STANDARD ENGINEERING & TESTING, INC.

Michael H. Prigge, P.E.
Project Engineer



exp 11/30/27

Attachments: Boring Location Map
MSET Soil Boring B-1
Global Stability Analysis – Per Design 1.4:1 Slope
Global Stability Analysis – Alternate 2:1 to 2.5:1 Slope
IDOT Typical Detail BD-51 – Benching Detail for Embankment Widening

INTRODUCTION

Purpose and Scope

The purpose of this analysis was to provide a global stability analysis for the planned bank stabilization project and provide general recommendations for filling on the existing slope. The following documents were provided and were considered in our analysis:

- 60 percent Design Plans, Rock River Erosion Repair dated 9/18/2025.
- MSET Soil Boring B-1 performed on 7/8/2025, MSET File No. 25507

GLOBAL STABILITY ANALYSIS

Discussion

The project site is located on the eastern bank of the Rock River, approximately 900 feet south of the Fordham Dam. The top of the slope sits at an elevation of 712± down to an elevation of 690± at the toe of the slope. The steepness of the slope varies between 1.1:1 and 1.8:1. A normal water level within the river is reported at elevation 692.10. A highwater level and a base flood elevation were reported at 700.00 and 703.20. Approximately 379 lineal feet of bike path (sta. 100+50 to 104+29) run along the top of the slope.

Two failure areas have been identified located between stations 100+72 to 101+40 and 102+66 to 103+33. Plans to restore the riverbank include filling on the existing slope to re-establish the natural slope. Rip rap will be placed at the toe of the slope and a concrete revetment mat by Flexamat® will be installed across the face of the slope to prevent future erosion.

Soil Parameters

A global stability analysis of the wall section was modeled using the proposed grading plans considering the engineering properties of soils encountered at the boring B-1 performed during a previous exploration (MSET File No. 25507). The following soil properties of the planned section were used for analysis:

Soil Classification	Elevation	Unit Weight (pcf)	Total Stress		Effective Stress	
			c (psf)	ϕ (°)	c (psf)	ϕ (°)
NEW FILL	--	125	2,000	0°	270	28°
GRAVEL: FILL	712-707	130	0	38°	0	38°
SAND, SP	707-687	130	0	35°	0	35°
Silty SAND to SILT, SM-ML	687-670	130	0	32°	0	32°
Sandy Lean CLAY, CL	670-662	135	3500	0°	450	30°

Slope Stability Analysis

A global stability analysis of the wall section was performed using Slide 2 software by Rocscience. A minimum factor of safety (**FOS**) of **1.5** against global failure is recommended. The global stability analysis was computed using the Bishop Failure Method for circular failures and the Spencer Method for non-circular failures. Global stability of the planned slope was modeled considering the normal water level (NWL), 10-year water level (10 YR), and base flood elevations (BFE) as follows:

Cross Section	Analysis	FOS – NWL 692.10	FOS – 10 YR 700.00	FOS – BFE 703.20
Sta. 103+00 1.4:1 Slope	Circular Failure	1.30	1.20	1.22
	Non-Circular Failure	1.20	1.18	1.18

The resulting slope stability analysis indicates a potential FOS less than 1.5 and is not considered stable as designed. The planned revetment mat will serve to protect the slope from erosion. Deeper stabilization of slope, such as soil nailing, is recommended to increase the overall factor of safety against global failure. A slope stabilization project was modeled considering soil nails extending a depth of 12 feet on a 5x5 foot grid pattern. An increased minimum **FOS of 1.51** was determined and would be acceptable for design. The actual soil nailing system should be designed by a specialty geotechnical contractor.

Alternative Slope

An alternative slope including flattening the existing slope to a 2:1 angle was analyzed. Results of the slope stability analysis on the flatter slope yield a higher FOS against global failure as follows:

Cross Section	Analysis	FOS – NWL 692.10	FOS – 10 YR 700.00	FOS – BFE 703.20
Sta. 103+00 2:1 Slope	Circular Failure	1.53	1.43	1.46
	Non-Circular Failure	1.45	1.42	1.44

An additional iteration was performed further flattening the slope to a 2.5:1 angle. The result yields a minimum **FOS of 1.60**.

Slope Stabilization Recommendations

Stabilization of slope should be designed to meet a minimum FOS of 1.5. The critical failure state occurs as a non-circular failure when the water is at a 10-year high. Based on this condition, stabilization of the slope may include:

1. **Construct the slope at the designed slope approximately 1.4:1 and stabilize the slope using soil nailing procedures.** The stabilization program should be designed by a specialty geotechnical contractor, experienced in soil nailing. Preliminary modeling with soil nails extending to a depth of 12 feet at a 5'x5' grid spacing yields a FOS of 1.51.

2. **Flatten the slope to a 2.5:1 angle.** The flatter slope would yield a minimum FOS of 1.60. The flatter slope would impact and require re-alignment of the existing bike path.

CONSTRUCTION RECOMMENDATIONS

Embankment Fill

Materials used as FILL to restore the existing slope grade should consist of a cohesive (clay type) material, classified as 'CL' in accordance with ASTM D-2487 'Classification of Soils for Engineering Purposes'. Additionally, the clay soils should have a minimum plasticity index (PI) greater than 12 and a fine sand and silt content of less than 65 percent. Embankment FILL should be placed in lifts not greater than 8 inches loose measure and compacted to a minimum of 95 percent of the maximum dry density as defined by ASTM D698 (Standard Proctor).

Benching

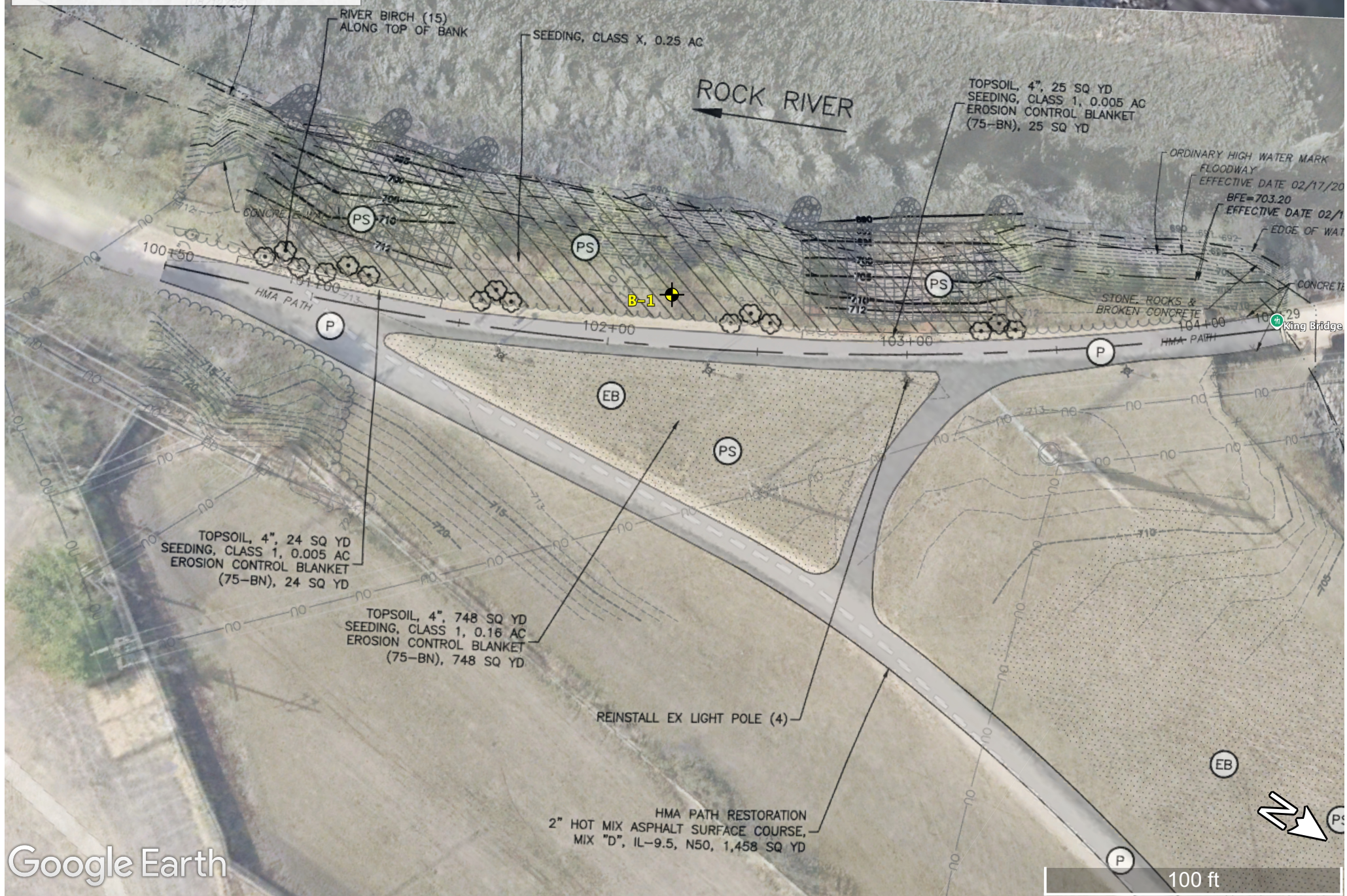
Prior to placing FILL materials, the area should be stripped of all topsoil and surface vegetation. Unstable soils eroded or sloughed off down the slope should be removed to the depth encountered. When placing fill on slopes steeper than 3:1, the slope should be prepared by cutting in steps by benching into the existing slope prior to FILL placement as shown in the attached IDOT Typical Detail BD-51 'Benching Detail for Embankment Widening'.

Boring Location Map

Rock Riverbank Stabilization
Rockford, Illinois
MSET File No. 25868

Legend

◆ Soil Boring (MSET 25507)



PROJECT: **Rock River Erosion Repair** SITE LOCATION: **Rockford, Illinois**
 BORING LOCATION: **Proposed Sheet Pile Wall** CLIENT: **HR Green**

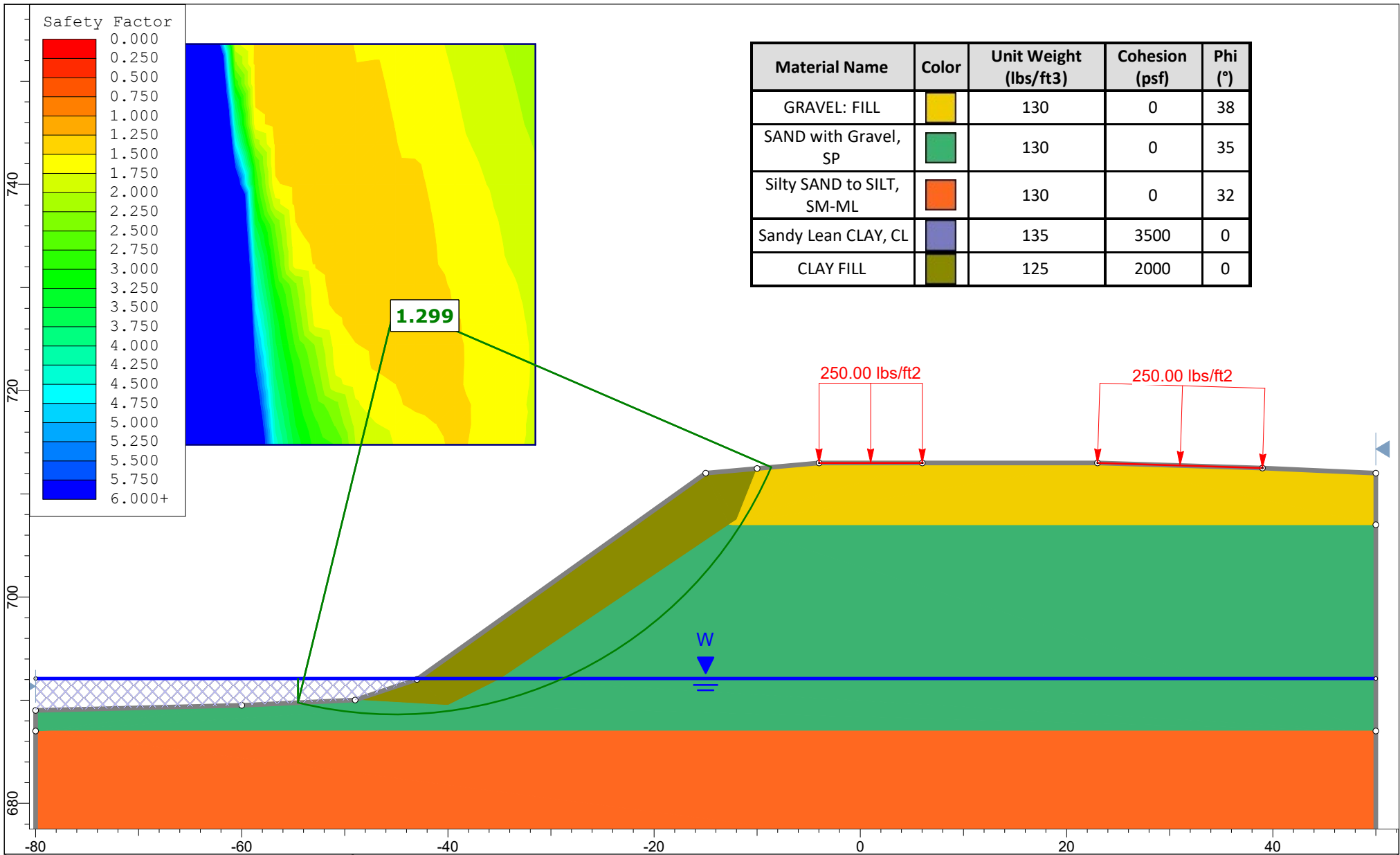
DEPTH (feet)	SOIL TYPE	Material Description	Elevation	SAMPLE			TESTS			REMARKS
				TYPE/INTERVAL	NO.	N-VALUE Blows per ft.	Wc%	Dry Unit Weight, pcf	Unconfined Compressive Strength, tsf	
0		11" Black Sandy TOPSOIL	712.3							
		POSSIBLE FILL - Brown and Dark Grey GRAVEL with Sand, GP, medium dense to slightly dense	711.4	SS	1	23	3			
				SS	2	9	6			
5		Brown SAND (f-c) with Gravel, SP, medium dense	706.8	SS	3	11	2			
				SS	4	14	2			
				SS	5	15	2			
				SS	6	17	2			
				SS	7	12	3			
				SS	8	11	8			
				SS	9	6	16			
				SS	10	15	14			
		Dark Grey Silty SAND, SM, wet, slightly dense	686.8	SS	11	12	17			
		Yellowish-Brown Silty SAND, SM, wet, medium dense	684.3	SS	12	11	19			
		Grey SILT with Sand, ML, medium dense	680.3	SS	13	15	20			

<p>DURING DRILLING:  21.5'</p> <p>IMMEDIATELY AFTER DRILLING:  Dry</p> <p>DELAYED READING AFTER CAVE IN DEPTH  6.0'</p>		<p>BORING STARTED: <u>7/8/25</u></p> <p>BORING COMPLETED: <u>7/8/25</u></p> <p>LOGGED BY: <u>MM</u></p> <p>BORING METHOD: <u>HSA</u></p>
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PROJECT: **Rock River Erosion Repair** SITE LOCATION: **Rockford, Illinois**
 BORING LOCATION: **Proposed Sheet Pile Wall** CLIENT: **HR Green**

DEPTH (feet)	SOIL TYPE	Material Description	Elevation	SAMPLE			TESTS			REMARKS
				TYPE/INTERVAL	NO.	N-VALUE Blows per ft.	Wc%	Dry Unit Weight, pcf	Unconfined Compressive Strength, tsf	
40		Grey SILT with Sand, ML, medium dense		SS	14	27	18			
45		Grey Sandy Lean CLAY, CL, very stiff	670.3	SS	15	36	12	122	3.80	
50		End of Boring at 50'	662.3	SS	16	36	15		2.5 Qp	

<p>DURING DRILLING:  21.5'</p> <p>IMMEDIATELY AFTER DRILLING:  Dry</p> <p>DELAYED READING AFTER CAVE IN DEPTH  6.0'</p>	 MSET	<p>BORING STARTED: <u>7/8/25</u></p> <p>BORING COMPLETED: <u>7/8/25</u></p> <p>LOGGED BY: <u>MM</u></p> <p>BORING METHOD: <u>HSA</u></p>
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Material Name	Color	Unit Weight (lbs/ft ³)	Cohesion (psf)	Phi (°)
GRAVEL: FILL		130	0	38
SAND with Gravel, SP		130	0	35
Silty SAND to SILT, SM-ML		130	0	32
Sandy Lean CLAY, CL		135	3500	0
CLAY FILL		125	2000	0

1.299

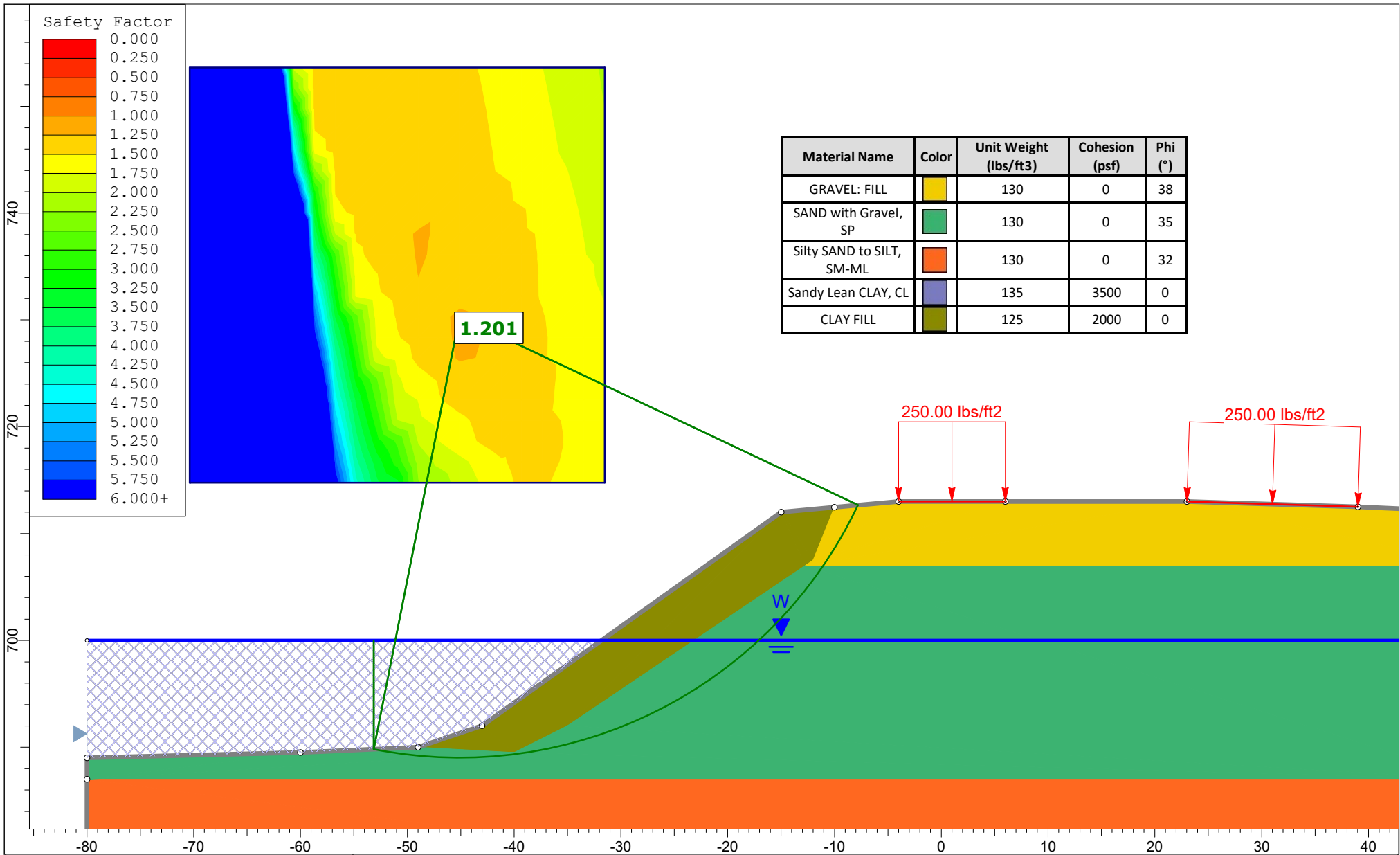
250.00 lbs/ft²

250.00 lbs/ft²

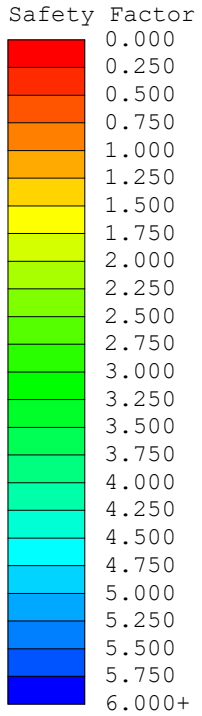
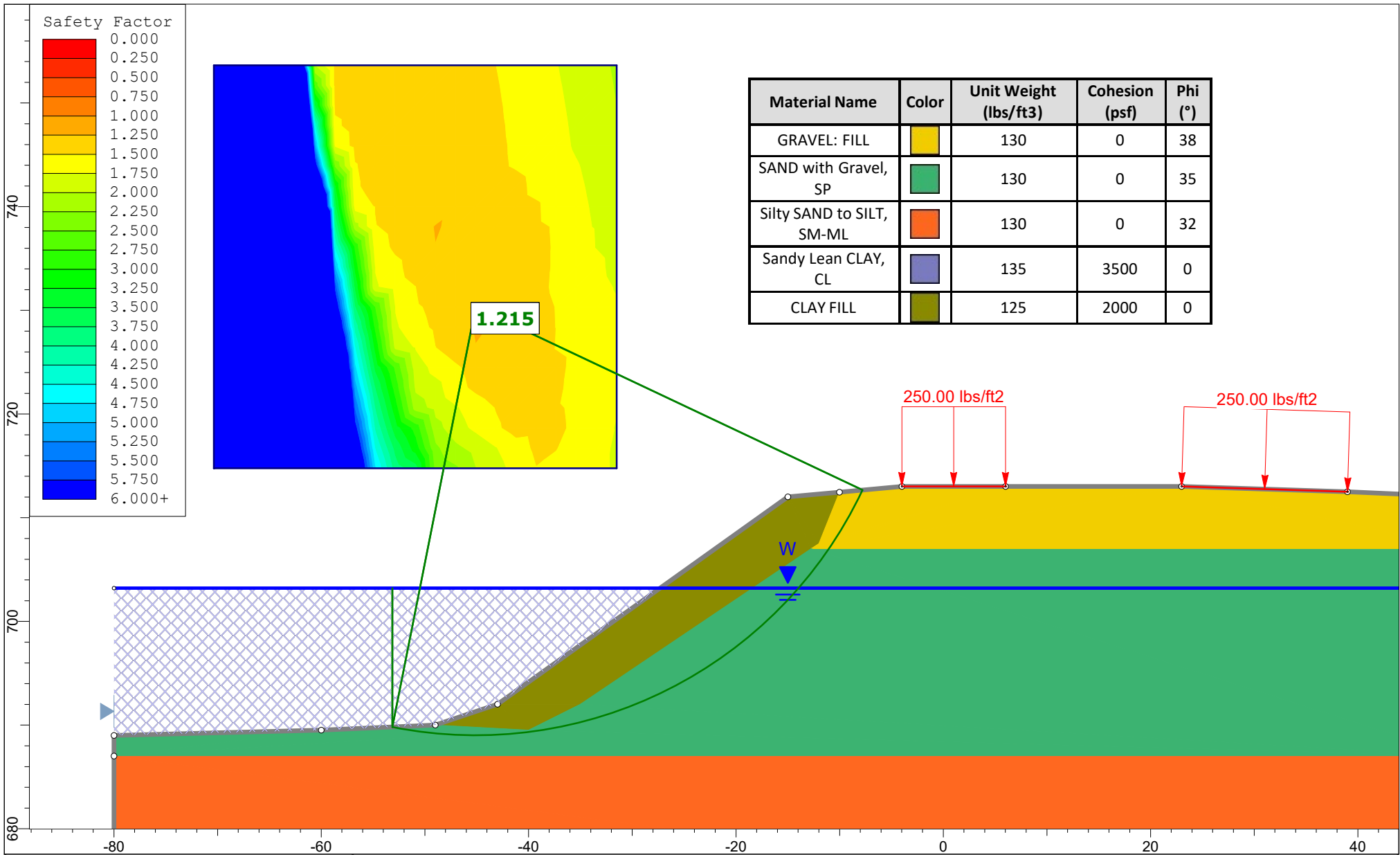


SLIDEINTERPRET 9.038

Project	Rock River Slope Repair		
Group	sta. 103+00, 1.4:1 slope	Scenario	Circular Failure - NWL
Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd

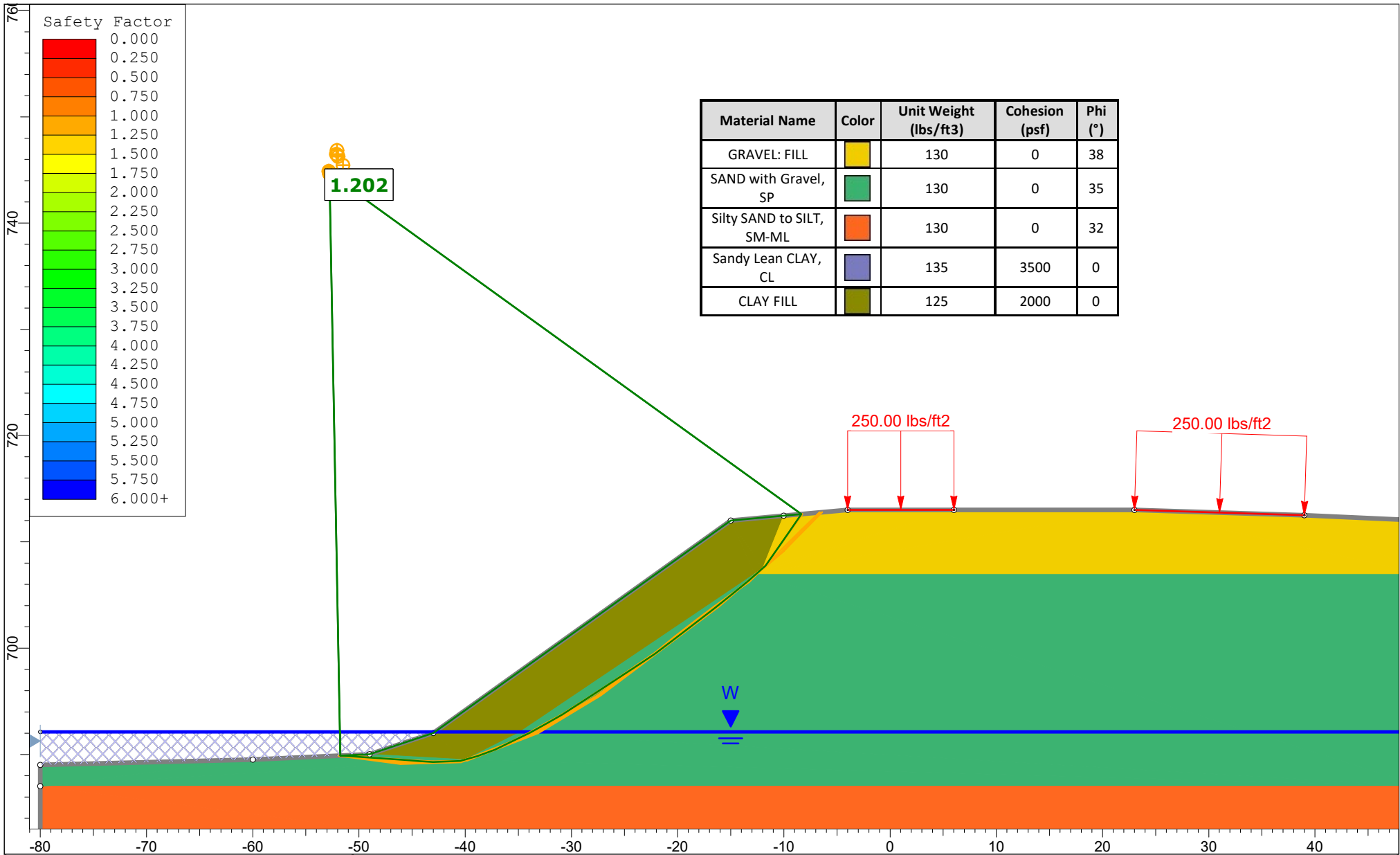


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	Group	sta. 103+00, 1.4:1 slope	Scenario	Circular Failure - 10 YR
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
	Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd
	SLIDEINTERPRET 9.038			



Material Name	Color	Unit Weight (lbs/ft ³)	Cohesion (psf)	Phi (°)
GRAVEL: FILL		130	0	38
SAND with Gravel, SP		130	0	35
Silty SAND to SILT, SM-ML		130	0	32
Sandy Lean CLAY, CL		135	3500	0
CLAY FILL		125	2000	0

	Project		Rock River Slope Repair		
	Group		sta. 103+00, 1.4:1 slope	Scenario	Circular Failure - BFE
	Drawn By		MHP	Company	Midland Standard Engineering & Testing, Inc.
	Date		12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd
	SLIDEINTERPRET 9.038				



Material Name	Color	Unit Weight (lbs/ft ³)	Cohesion (psf)	Phi (°)
GRAVEL: FILL	Yellow	130	0	38
SAND with Gravel, SP	Green	130	0	35
Silty SAND to SILT, SM-ML	Orange	130	0	32
Sandy Lean CLAY, CL	Blue	135	3500	0
CLAY FILL	Olive	125	2000	0

1.202

250.00 lbs/ft²

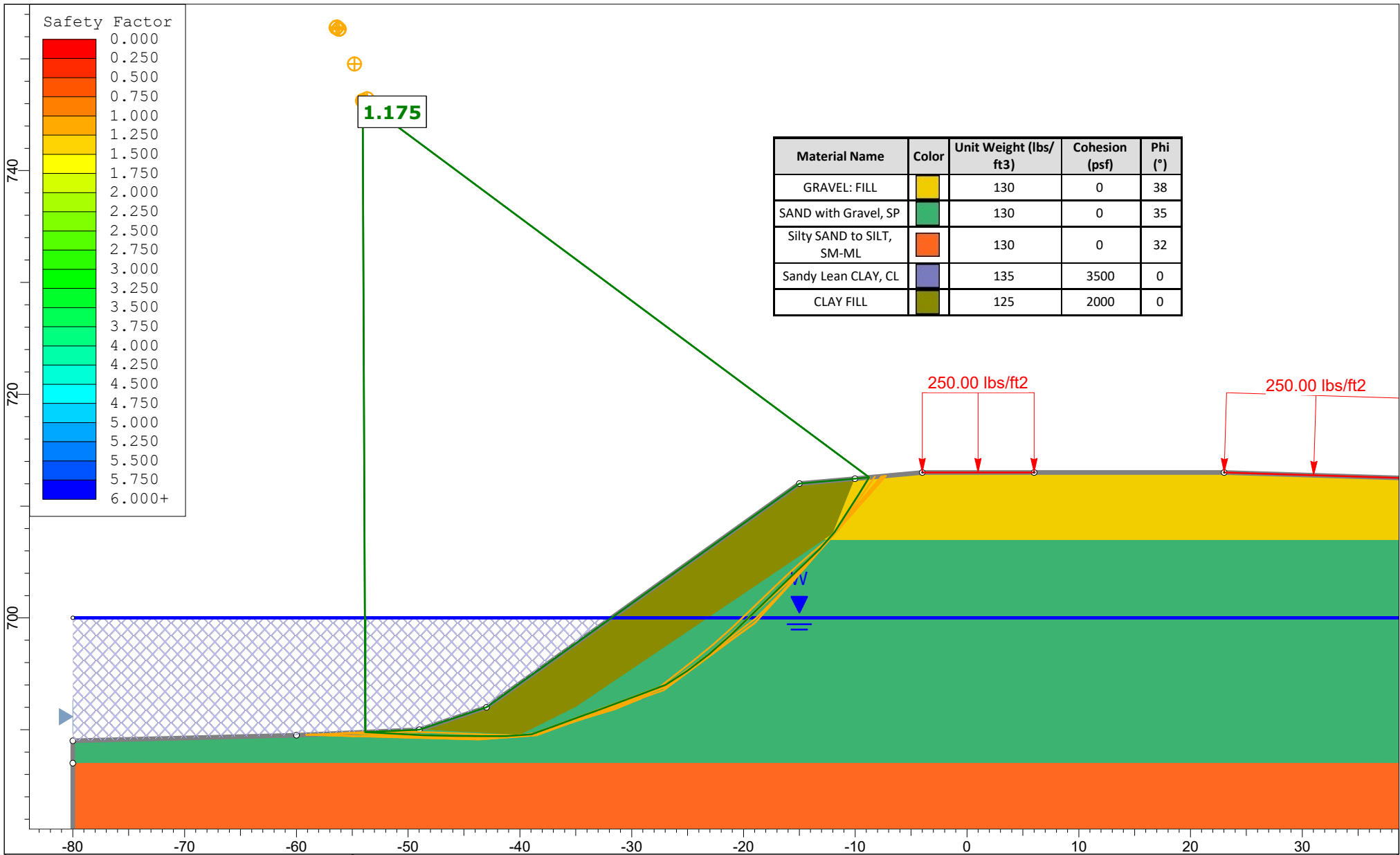
250.00 lbs/ft²


W

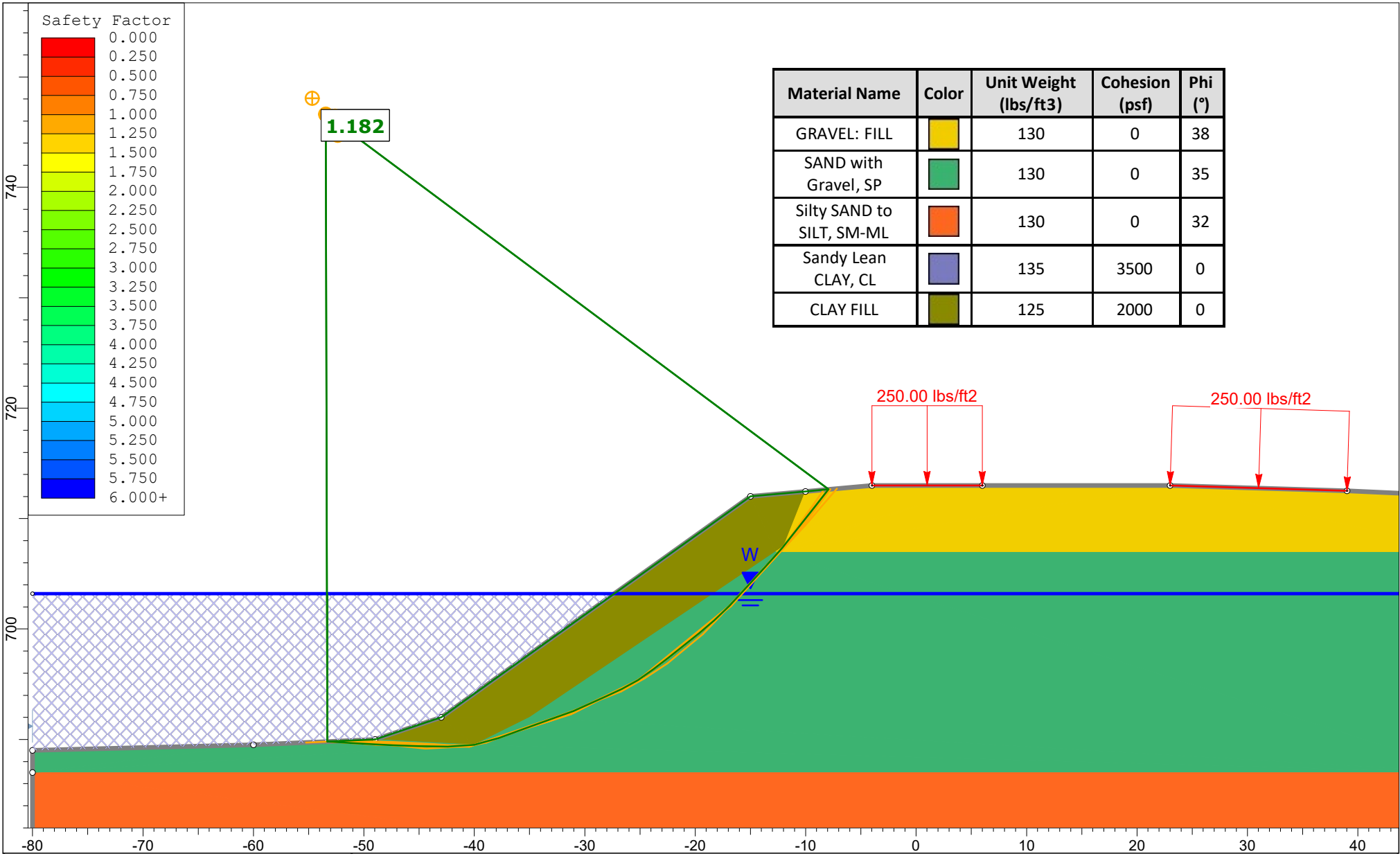


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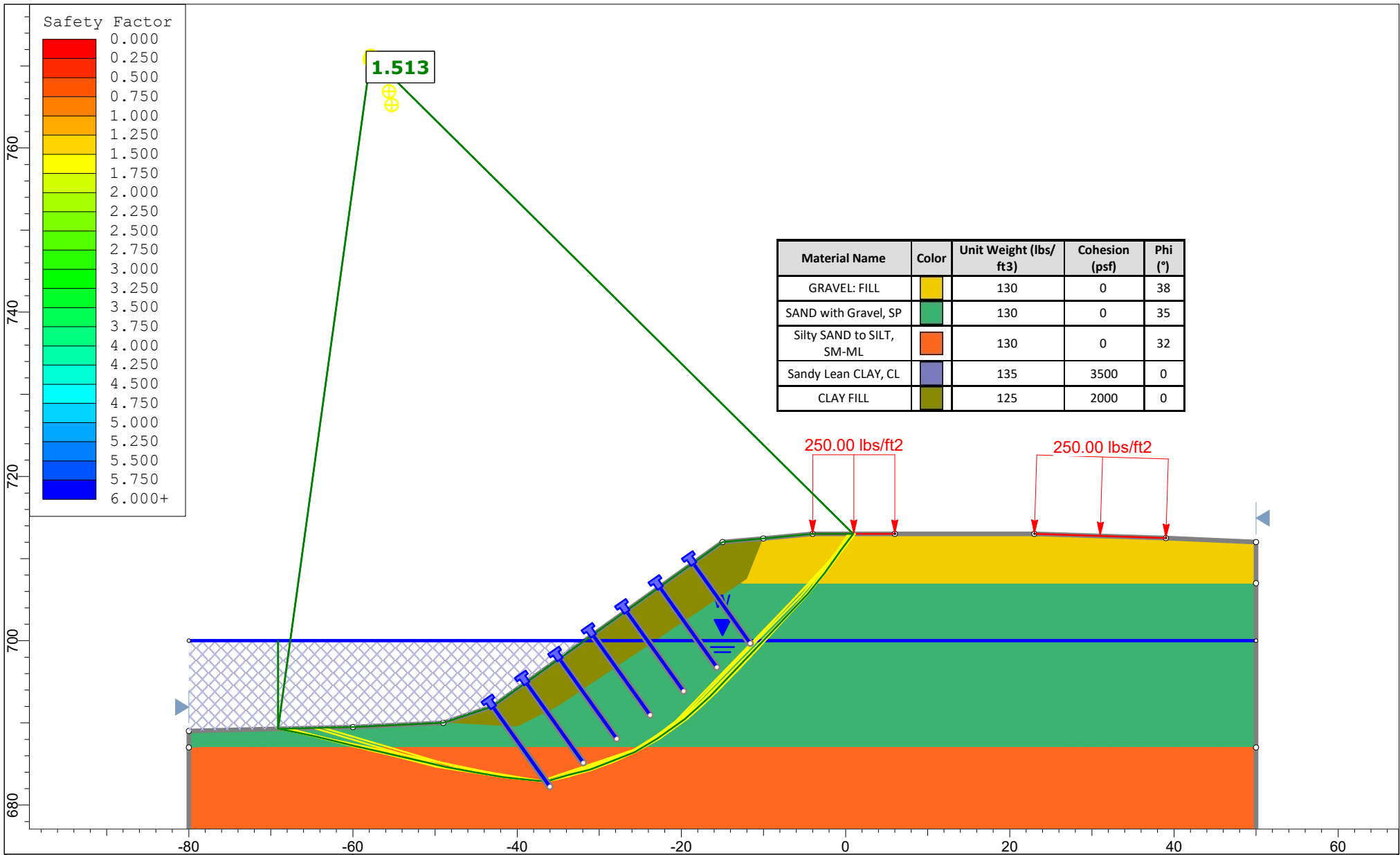
Project			
Rock River Slope Repair			
Group	sta. 103+00, 1.4:1 slope	Scenario	Non-Circular Failure - NWL
Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd



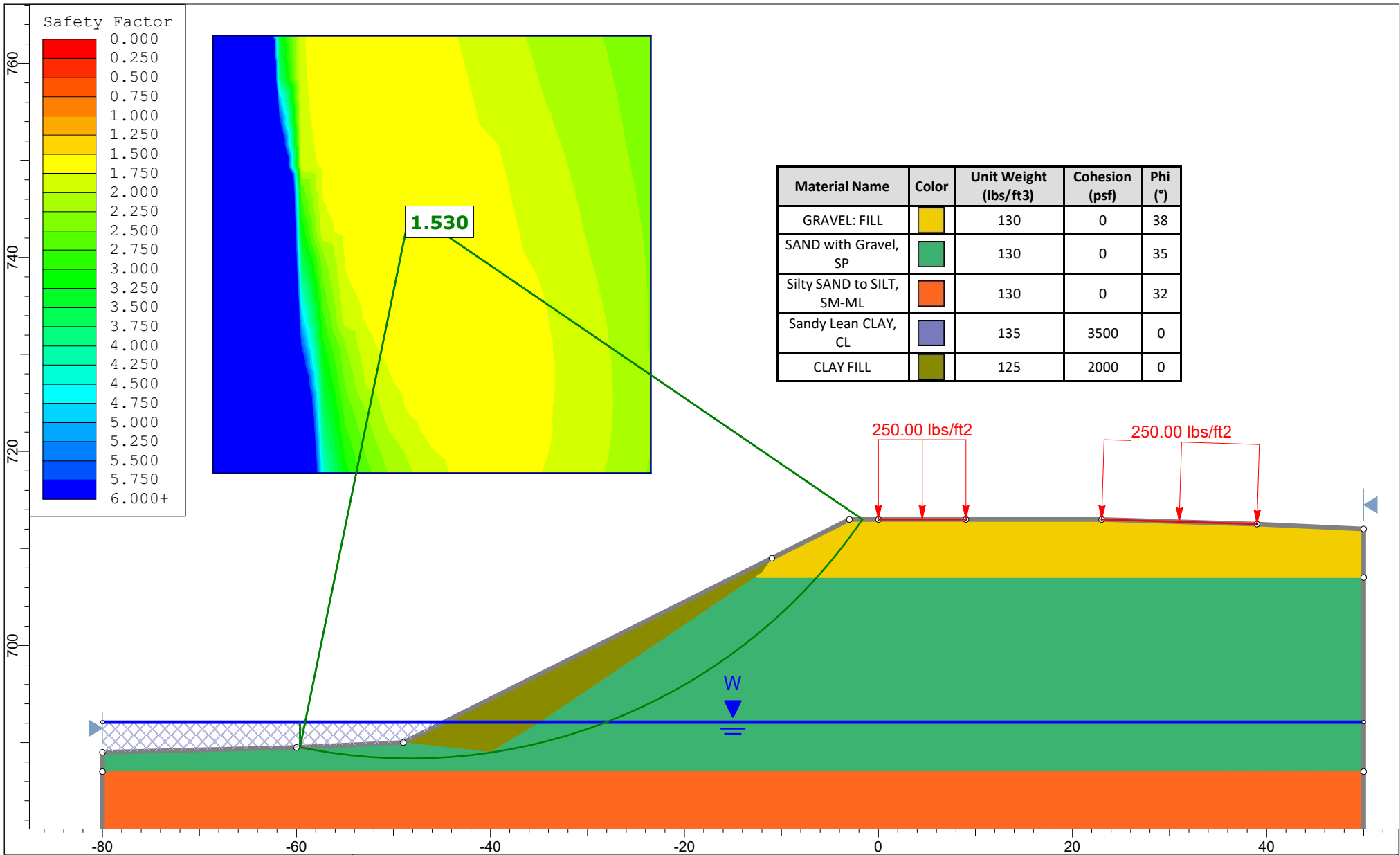
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	Group	sta. 103+00, 1.4:1 slope	Scenario	Non-Circular Failure - 10 YR
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
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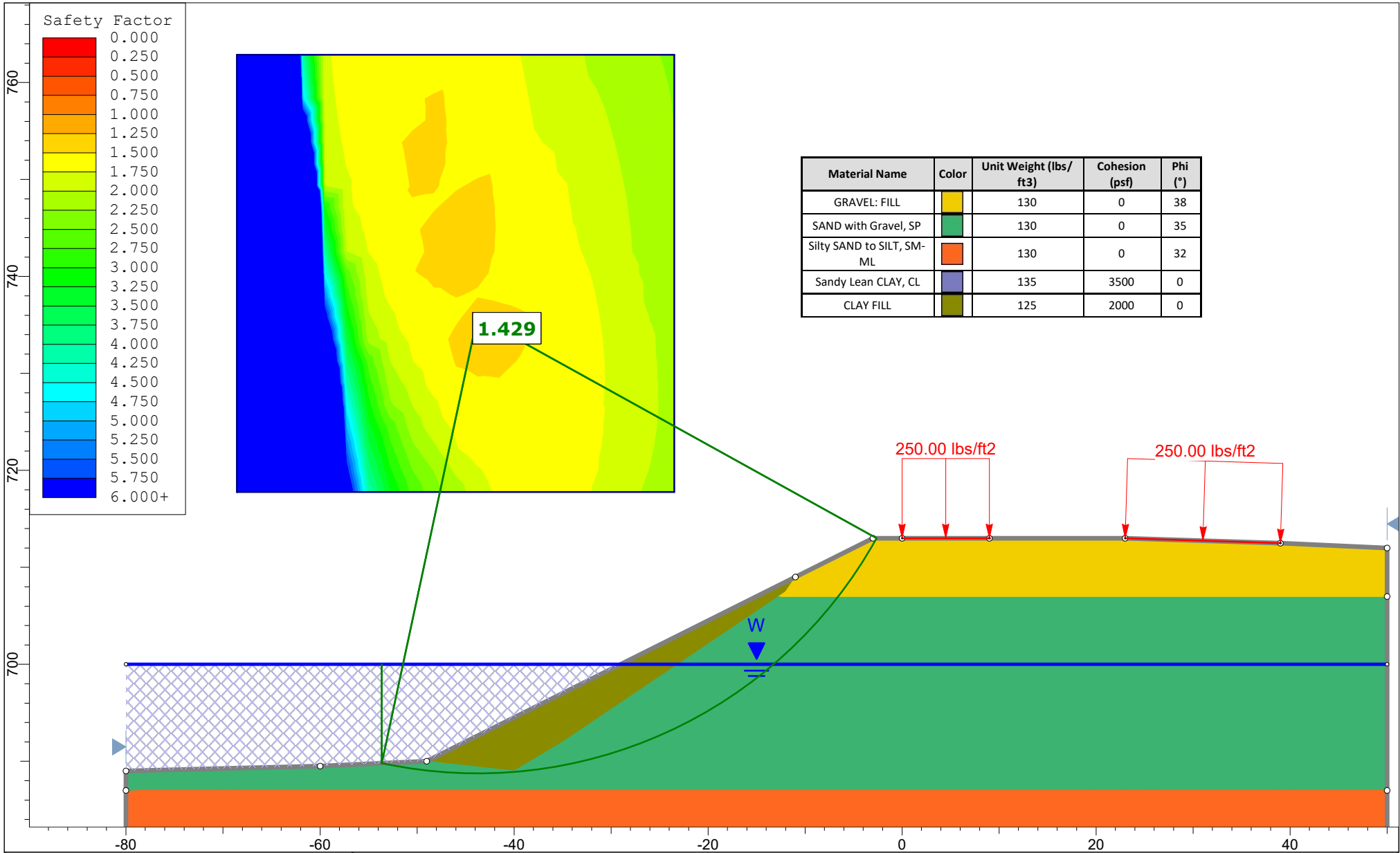
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	Group	sta. 103+00, 1.4:1 slope	Scenario	Non-Circular Failure - BFE
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
	Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd
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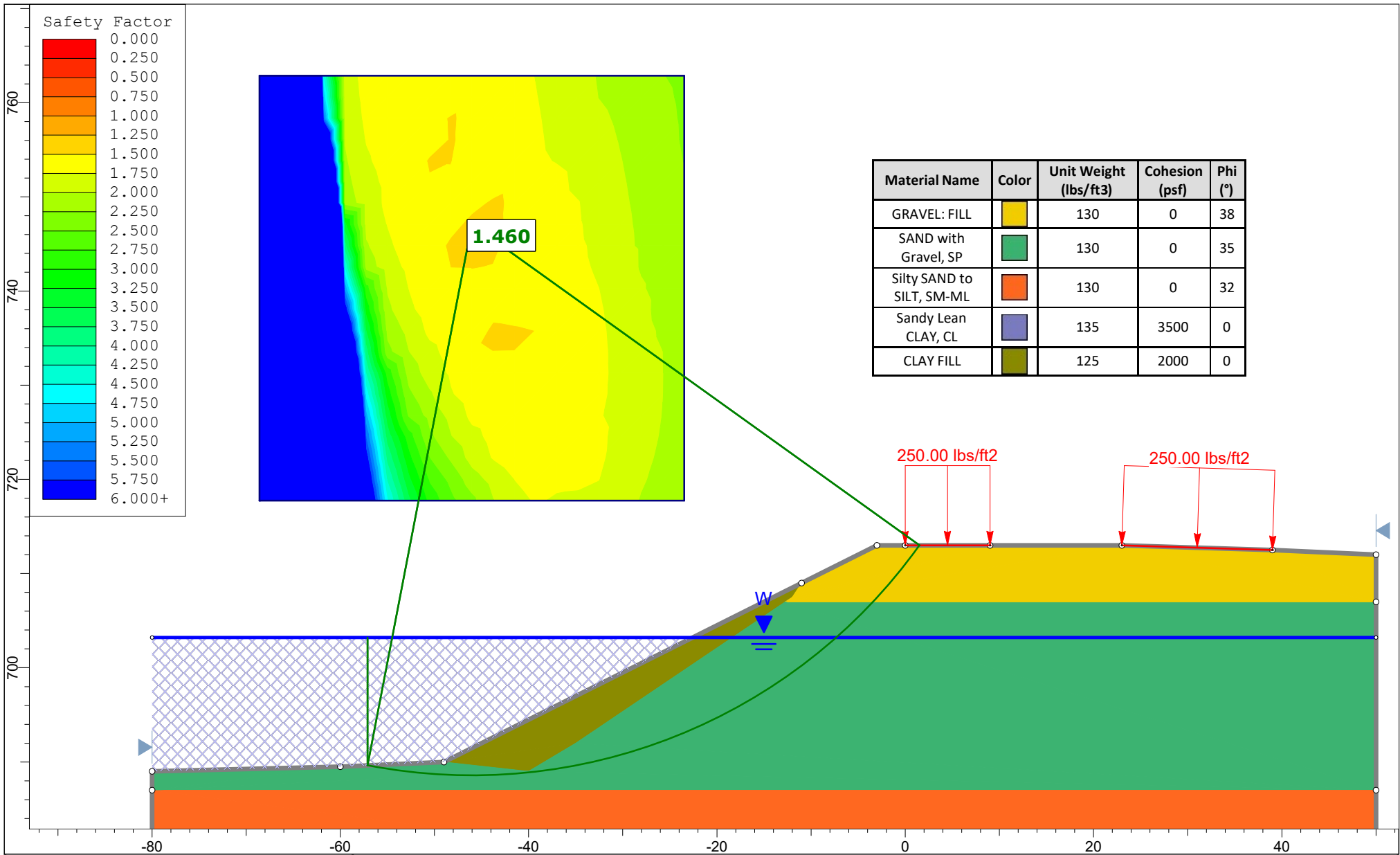
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	Group	sta. 103+00, 1.4:1 slope	Scenario	Non-Circular Failure w/ Soil Nailing - 10 YR
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
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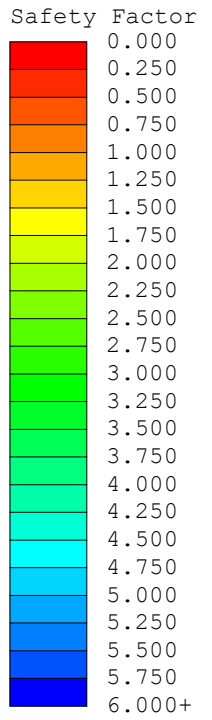
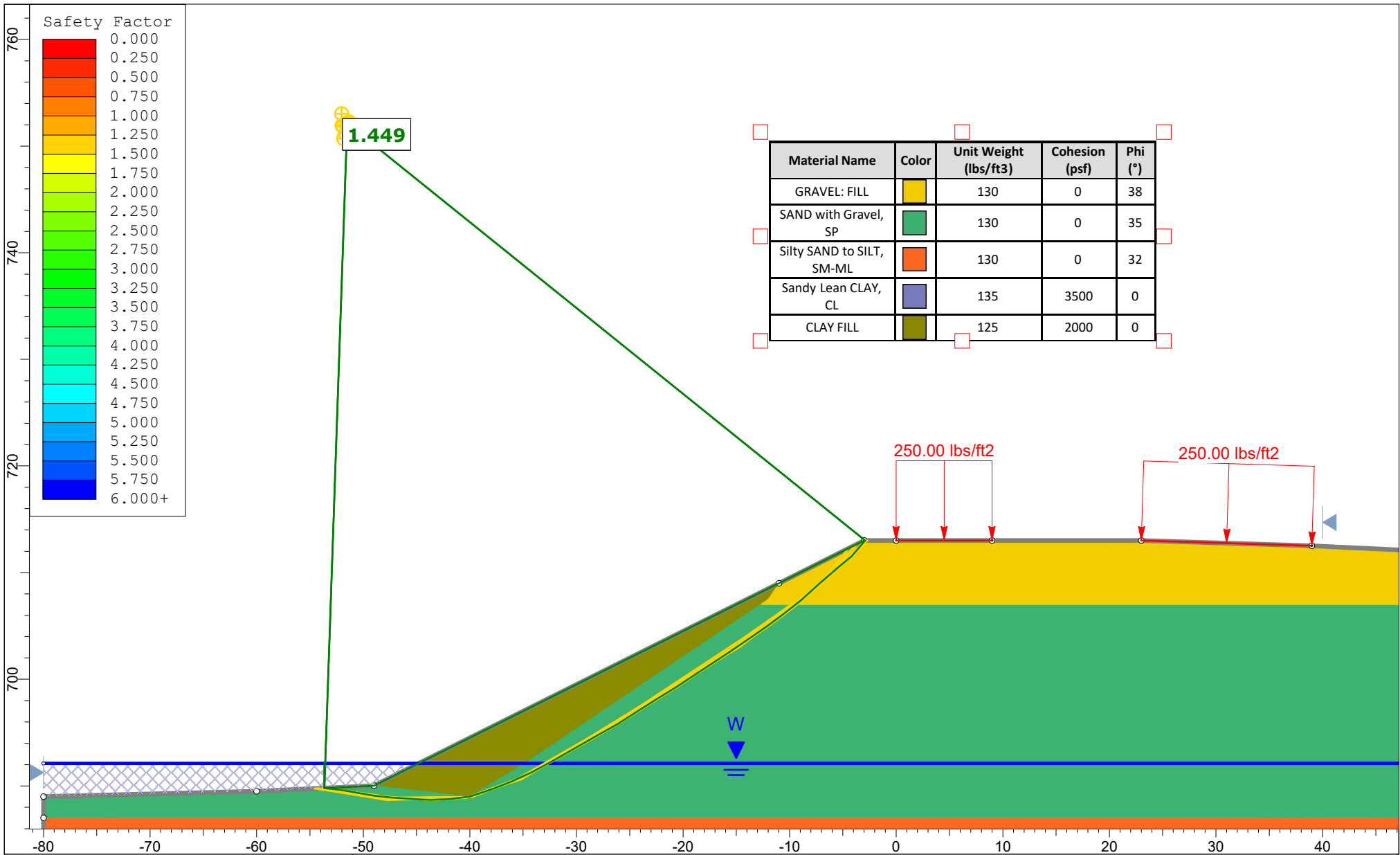
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	Group	sta. 103+00, 2:1 Slope	Scenario	Circular Failure - NWL
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
	Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd
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	Project Rock River Slope Repair	
	Group sta. 103+00, 2:1 Slope	Scenario Circular Failure - 10 YR
	Drawn By MHP	Company Midland Standard Engineering & Testing, Inc.
	Date 12/3/2025, 1:04:05 PM	File Name Rock River Slope Repair.slmd
	<small>SLIDEINTERPRET 9.038</small>	



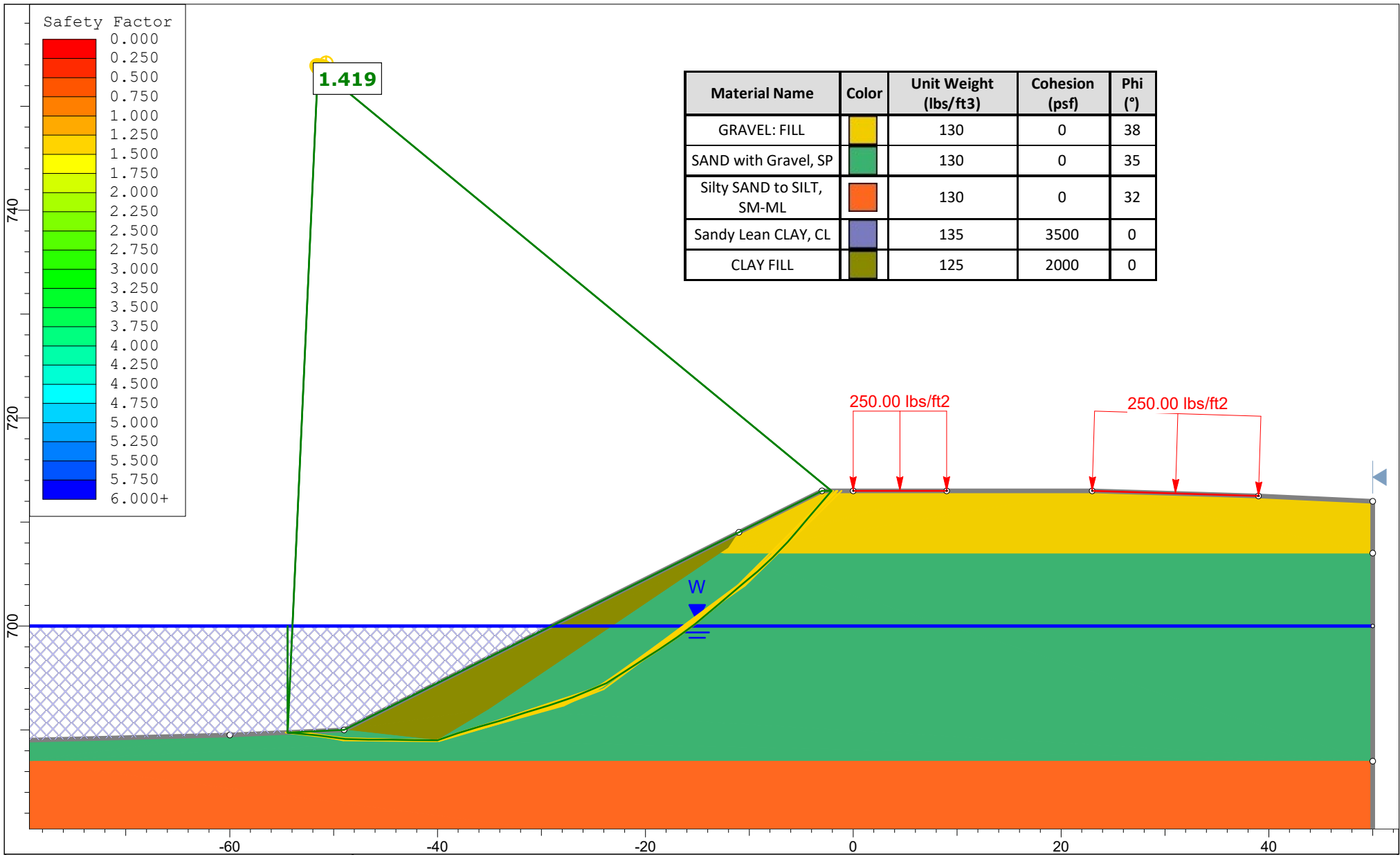
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	Group	sta. 103+00, 2:1 Slope	Scenario	Circular Failure - BFE
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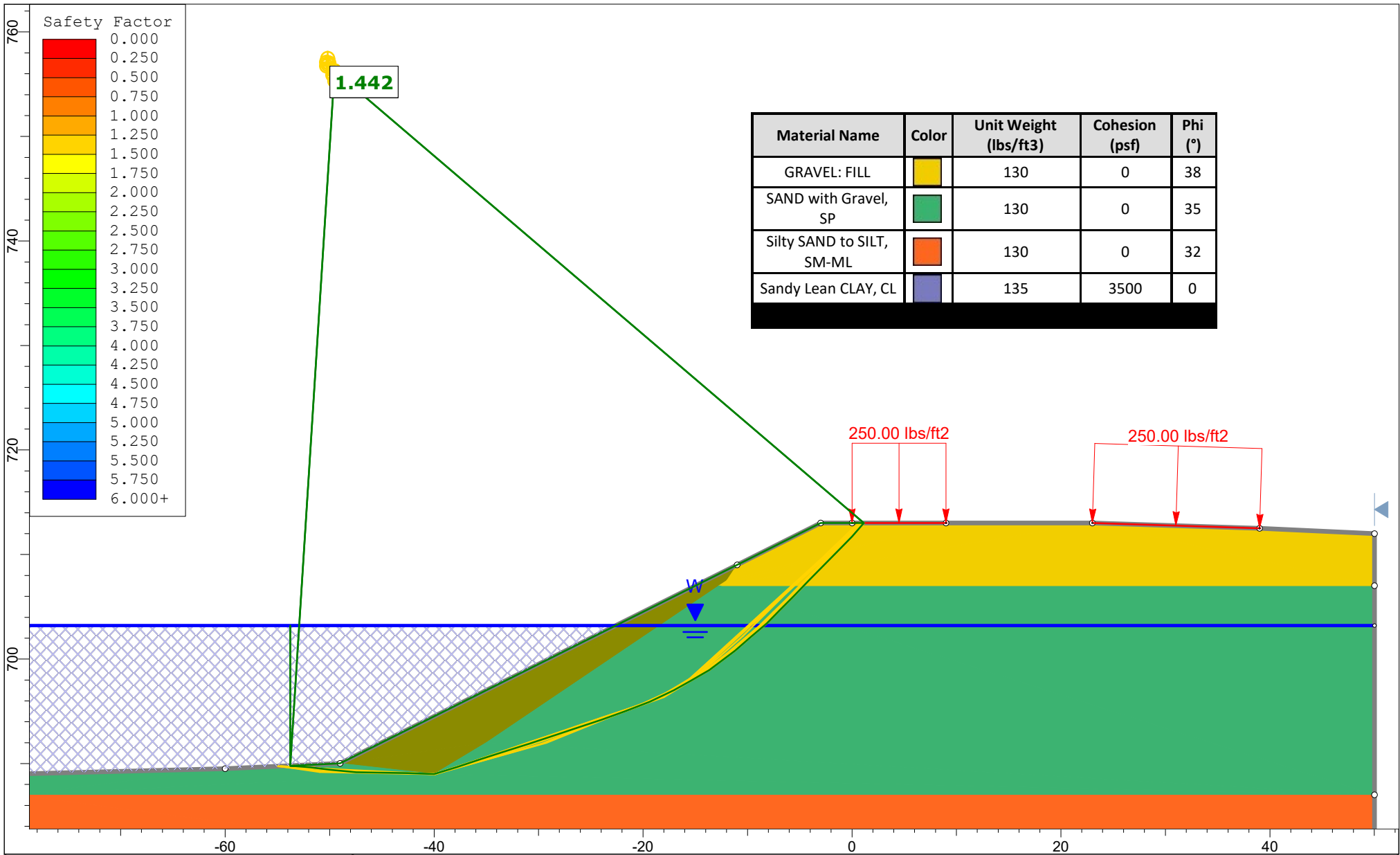
Material Name	Color	Unit Weight (lbs/ft3)	Cohesion (psf)	Phi (°)
GRAVEL: FILL		130	0	38
SAND with Gravel, SP		130	0	35
Silty SAND to SILT, SM-ML		130	0	32
Sandy Lean CLAY, CL		135	3500	0
CLAY FILL		125	2000	0



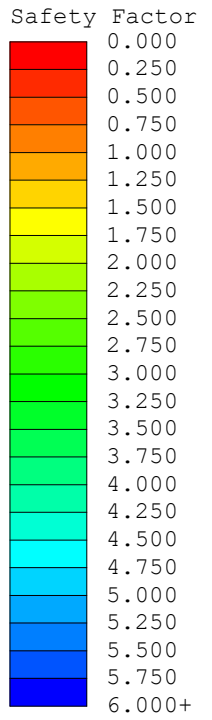
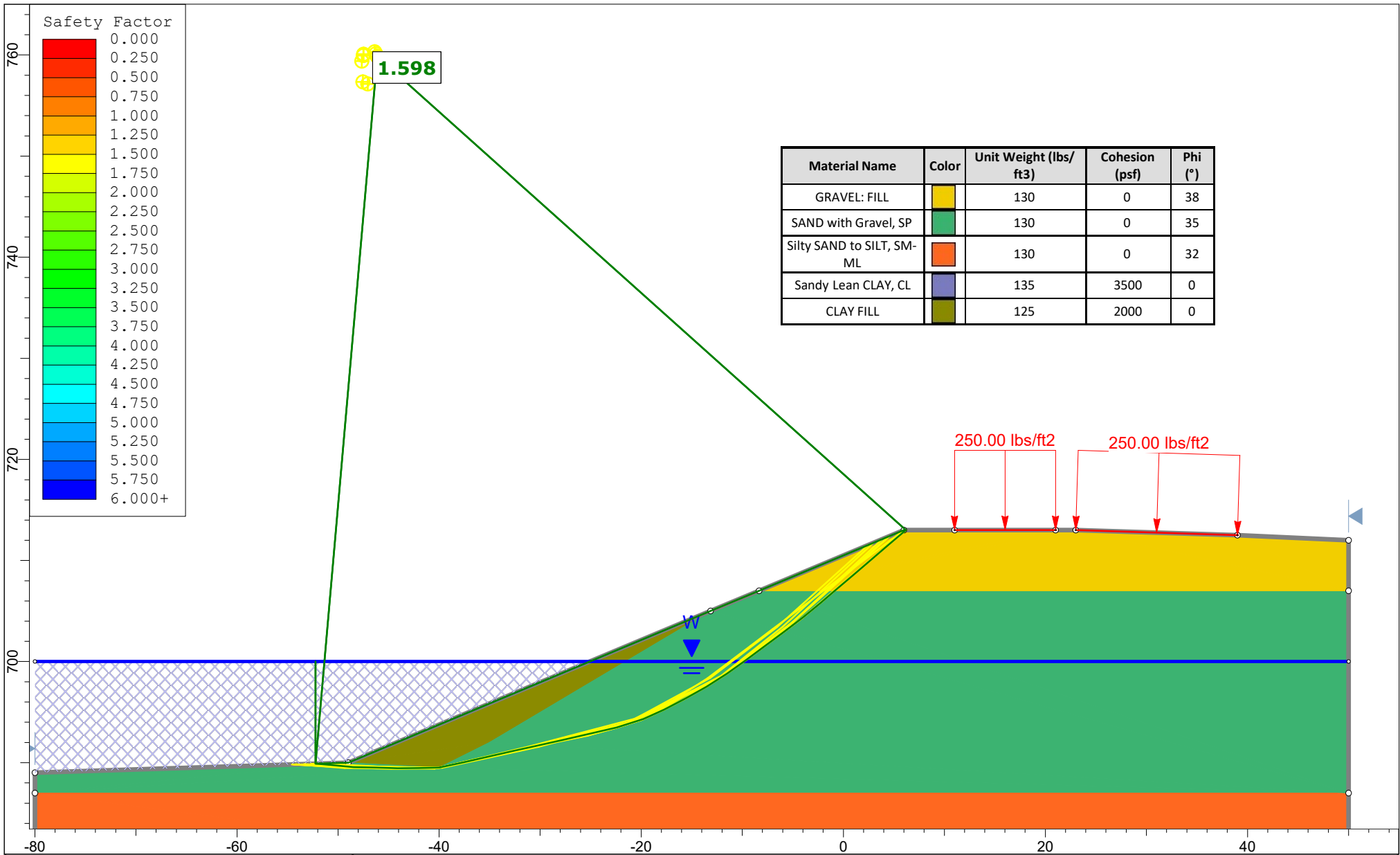
Project			
Rock River Slope Repair			
Group	sta. 103+00, 2:1 Slope	Scenario	Non-Circular Failure - NWL
Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd



	Project Rock River Slope Repair		
	Group sta. 103+00, 2:1 Slope	Scenario Non-Circular Failure - 10 YR	
	Drawn By MHP	Company Midland Standard Engineering & Testing, Inc.	
	Date 12/3/2025, 1:04:05 PM	File Name Rock River Slope Repair.slmd	
	<small>SLIDEINTERPRET 9.038</small>		

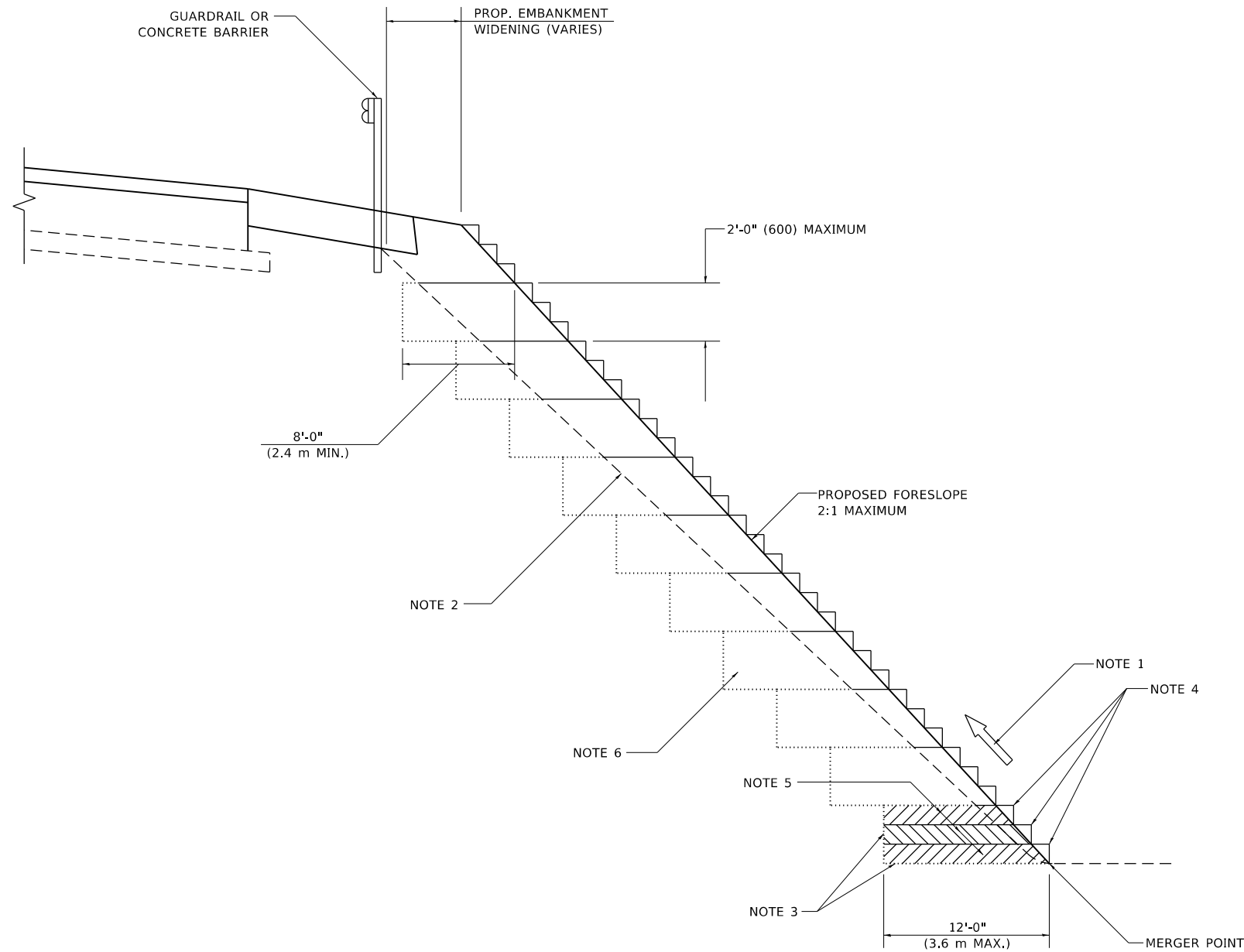


	Project		Rock River Slope Repair	
	Group	sta. 103+00, 2:1 Slope	Scenario	Non-Circular Failure - BFE
	Drawn By	MHP	Company	Midland Standard Engineering & Testing, Inc.
	Date	12/3/2025, 1:04:05 PM	File Name	Rock River Slope Repair.slmd
	SLIDEINTERPRET 9.038			



Material Name	Color	Unit Weight (lbs/ft3)	Cohesion (psf)	Phi (°)
GRAVEL: FILL	Yellow	130	0	38
SAND with Gravel, SP	Green	130	0	35
Silty SAND to SILT, SM-ML	Orange	130	0	32
Sandy Lean CLAY, CL	Blue	135	3500	0
CLAY FILL	Brown	125	2000	0

	Project	Rock River Slope Repair	
	Group	sta. 103+00, 2.5:1 Slope	Scenario Non-Circular Failure - 10 YR
	Drawn By	MHP	Company Midland Standard Engineering & Testing, Inc.
	Date	12/3/2025, 1:04:05 PM	File Name Rock River Slope Repair.slmd
	SLIDEINTERPRET 9.038		



**TYPICAL BENCHING DETAIL
FOR EMBANKMENT**

GENERAL NOTES

1. CONSTRUCT SUCCEEDING BENCH CUTS AND EMBANKMENT PLACEMENT AND COMPACTION FROM BOTTOM TO TOP IN STAIRSTEP FASHION.
2. EXISTING FORESLOPE PREPARED IN ACCORDANCE WITH ARTICLE 205.03 OF THE STANDARD SPECIFICATIONS.
3. BENCH CUT EXISTING SLOPE TYPICAL FOR EACH STEP.
4. TRIM TO FINAL SLOPE.
5. EQUAL 8-INCH (200) LIFTS OF EMBANKMENT COMPACTED IN ACCORDANCE WITH ARTICLE 205.05 OF THE STANDARD SPECIFICATIONS.

BASIS OF PAYMENT

1. EXCAVATION OF BENCH CUTS WITHIN EXISTING EMBANKMENT WILL BE PAID FOR AT THE CONTRACT UNIT PRICE PER CUBIC METER OR CUBIC YARD FOR "EARTH EXCAVATION". THIS PRICE WILL INCLUDE ALL LABOR AND MATERIAL, NO ADDITIONAL COMPENSATION WILL BE ALLOWED.

ALL DIMENSIONS ARE IN INCHES (MILLIMETERS)
UNLESS OTHERWISE SHOWN.

MODEL: Default
FILE: h:\m\caw_bentley.com\p\illinois\dot\documents\dot\office\dot\h\projects\dot\h\22\3\cadd\dot\h\caw\sheet\1951.dgn

USER NAME = Lawrence,DeManche	DESIGNED -	REVISED - K. SMITH 11-18-22
	DRAWN - CADD	REVISED -
PLOT SCALE = 100.0000' / in.	CHECKED - S.E.B.	REVISED -
PLOT DATE = 11/18/2022	DATE - 06-16-04	REVISED -

**STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION**

**BENCHING DETAIL
FOR EMBANKMENT WIDENING**

SCALE: NONE SHEET 1 OF 1 SHEETS STA. TO STA.

F.A. RTE.	SECTION	COUNTY	TOTAL SHEETS	SHEET NO.
BD-51			CONTRACT NO.	
ILLINOIS FED. AID PROJECT				



Print Form Print With Instructions Reset Form

Local Public Agency: The City of Rockford; County: Winnebago; Section Number: []

Check this box for lettings prior to 01/01/2026

The Following Recurring Special Provisions Indicated By An "X" Are Applicable To This Contract And Are Included By Reference:

Recurring Special Provisions

Table with 3 columns: Check Sheet #, Description, Page No. (1-32)

The City of Rockford

Winnebago

The Following Local Roads And Streets Recurring Special Provisions Indicated By An "X" Are Applicable To This Contract And Are Included By Reference:

Local Roads And Streets Recurring Special Provisions

<u>Check Sheet #</u>		<u>Page No.</u>
LRS 1	Reserved	181
LRS 2	<input type="checkbox"/> Furnished Excavation	182
LRS 3	<input checked="" type="checkbox"/> Work Zone Traffic Control Surveillance	183
LRS 4	<input checked="" type="checkbox"/> Flaggers in Work Zones	184
LRS 5	<input checked="" type="checkbox"/> Contract Claims	185
LRS 6	<input type="checkbox"/> Bidding Requirements and Conditions for Contract Proposals	186
LRS 7	<input type="checkbox"/> Bidding Requirements and Conditions for Material Proposals	192
LRS 8	Reserved	198
LRS 9	<input type="checkbox"/> Bituminous Surface Treatments	199
LRS 10	Reserved	203
LRS 11	<input type="checkbox"/> Employment Practices	204
LRS 12	<input type="checkbox"/> Wages of Employees on Public Works	206
LRS 13	<input type="checkbox"/> Selection of Labor	208
LRS 14	<input type="checkbox"/> Paving Brick and Concrete Paver Pavements and Sidewalks	209
LRS 15	<input checked="" type="checkbox"/> Partial Payments	212
LRS 16	<input type="checkbox"/> Protests on Local Lettings	213
LRS 17	<input type="checkbox"/> Substance Abuse Prevention Program	214
LRS 18	<input type="checkbox"/> Multigrade Cold Mix Asphalt	215
LRS 19	<input type="checkbox"/> Reflective Crack Control Treatment	216

State of Illinois
Department of Transportation
Bureau of Local Roads and Streets

SPECIAL PROVISION
FOR
WORK ZONE TRAFFIC CONTROL SURVEILLANCE

Effective: January 1, 1999
Revised: January 1, 2018

Revise Article 701.10 of the Standard Specifications to read:

“The Contractor shall conduct inspections of the worksite at a frequency that will allow for the timely replacement of any traffic control device that has become displaced, worn, or damaged. A sufficient quantity of replacement devices, based on vulnerability to damage, shall be readily available to meet this requirement.”

Delete Article 701.20(g) of the Standard Specifications.

CHECK SHEET #LRS4

State of Illinois
Department of Transportation
Bureau of Local Roads and Streets

SPECIAL PROVISION
FOR
FLAGGERS IN WORK ZONES

Effective: January 1, 1999
Revised: January 1, 2007

Revise the last paragraph of Article 701.13 of the Standard Specifications to read:

“Flaggers are required only when workers are present.”

State of Illinois
Department of Transportation
Bureau of Local Roads and Streets

SPECIAL PROVISION
FOR
CONTRACT CLAIMS

Effective: January 1, 2002
Revised: January 1, 2007

Revise the second sentence of subparagraph (a) of Article 109.09 of the Standard Specifications to read:

"All claims shall be submitted to the Engineer."

Revise subparagraph (e) of Article 109.09 of the Standard Specifications to read:

"(e) Procedure. All Claims shall be submitted to the Engineer. The Engineer will consider all information submitted with the claim. Claims not conforming to this Article will be returned without consideration. The Engineer may schedule a claim presentation meeting if, in the Engineer's judgment, such a meeting would aid in resolution of the claim, otherwise a decision will be based on the claim documentation submitted. A final decision will be rendered within 90 days of receipt of the claim.

Full compliance by the Contractor with the provisions specified in this Article is a contractual condition precedent to the Contractor's right to seek relief in the Court of Claims. The Engineer's written decision shall be the final administrative action of the Department. Unless the Contractor files a claim for adjudication by the Court of Claims within 60 days after the date of the written decision, the failure to file shall constitute a release and waiver of the claim."

CHECK SHEET #LRS15

State of Illinois
Department of Transportation

**SPECIAL PROVISION
FOR
PARTIAL PAYMENTS**

Effective: January 1, 2007

Revised: January 1, 2025

Add the following after the first paragraph of Article 109.07(a) of the Standard Specifications:

“Prior to completion of 50 percent of the contract, the State will not withhold retainage from any payment in excess of ten percent of any payment made prior to the date of completion of 50 percent of the contract. When the contract is at least 50 percent complete, the State will reduce the retainage so that no more than five percent is held.

Prior to the completion of 50 percent of the contract, the Contractor and their respective subcontractors shall not withhold from their subcontractors retainage in excess of ten percent of any payment made prior to the date of completion of 50 percent of the contract. When the contract is at least 50 percent complete, the Contractor and its subcontractors shall reduce the retainage so that no more than 5 percent is withheld from their respective subcontractors.

When the principal items of the work have been satisfactorily completed, a semi-final estimate may be made with the consent of the surety. Payment to the Contractor under such an estimate shall not exceed 90 percent of the amount retained after making partial payments, but in no event shall the amount retained after making the semi-final payment be less than one percent of the adjusted contract price, nor less than \$500.00.

When any payment is made directly to the State, payments for completed work shall have deducted the proportionate share of the cost to be borne by the State. The deduction will be the estimated cost to the State divided by the awarded contract value with this percentage applied to the value of work in place. Any adjustment to be made because of changed quantities will be made when the final payment is being processed. No retainage will be held from the value of such payments.”

SCHEDULE OF PRICES

City of Rockford



Item No.	Items	Units	Quantity	Unit Price	Total
1	CONSTRUCTION LAYOUT	LSUM	1		
2	MOBILIZATION	LSUM	1		
3	PARKWAY RESTORATION	LSUM	1		
4	TRAFFIC CONTROL AND PROTECTION, SPECIAL	LSUM	1		
5	ADJUST EX 8" DIP OUTFALL, SPECIAL	EACH	1		
6	TREE REMOVAL (6 TO 15 UNITS DIAMETER)	UNIT	62		
7	PAVEMENT REMOVAL	SQ YD	1398		
8	STONE OR BROKEN CONCRETE DUMPED RIPRAP, CLASS A7	SQ YD	59.7778		
9	STONE OR BROKEN CONCRETE DUMPED RIPRAP, CLASS A5	SQ YD	535		
10	SEEDING, MESIC PRAIRIE SEEDING	AC	0.16		
11	MAINTENANCE, MONITORING & REPORTING OF NATIVE PLANTINGS	LSUM	1		
12	EROSION CONTROL BLANKET, SC-150BN	SQ YD	745		
13	TOPSOIL FURNISH AND PLACE, 4 "	SQ YD	745		
14	NON-SPECIAL WASTE DISPOSAL	CU YD	10		
15	SPECIAL WASTE DISPOSAL	CU YD	10		
16	SPECIAL WASTE PLANS AND REPORTS	EACH	1		
17	SOIL DISPOSAL ANALYSIS	EACH	1		
18	RELOCATE EXISTING LIGHTING UNIT (SPECIAL)	EACH	5		
19	INCIDENTAL HOT-MIX ASPHALT SURFACING, IL-9.5, MIX "D", N50, 3"	TON	241		
20	AGGREGATE BASE COURSE, TYPE B 6"	SQ YD	1398		
21	FLOATING SILT CURTAIN	FT	283		
22	PERIMETER EROSION BARRIER	FT	130		
23	STABILIZED CONSTRUCTION ENTRANCE	SQ YD	300		
24	SEGMENTAL CONCRETE BLOCK WALL	SQ FT	1026		
Page 1		Sub Total	As read		
			As corrected		

